

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

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James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
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EXECUTIVE DIRECTOR
Steven S. Sandvoss

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Casandra B. Watson

AGENDA STATE BOARD OF ELECTIONS BOARD MEETING Tuesday, April 21, 2015 10:00 a.m.

James R. Thompson Center – Room 9-040
Chicago, Illinois
and via videoconference
2329 S. MacArthur Blvd.
Springfield, Illinois

Roll call.

1. Executive session.
2. Approval of the minutes from the March 16 meeting. (pgs.1-5)
3. Presentation of resolution for retiring staff member Sharon Steward.
4. Report of the General Counsel
 - a. Campaign Disclosure;
Motion for reconsideration
 - 1) *SBE v. Friends of Figgs*, 24941, 14JQ128; (pgs.6-8)
 - Request for settlement offer
 - 2) *SBE v. Evanston Regular Republican Org.*, 221, 14JQ004; (pgs.9-11)
 - 3) *SBE v. Friends for Howland*, 19201, 15DQ042; (pgs.12-13)
 - 4) *SBE v. Committee to Elect Jennifer Pritchett*, 24563, 12MA124 & 13DQ248; (pgs.14-16)
 - 5) *SBE v. Cushing for Judge*, 25564, 14MA018; (pgs.17-27)
 - Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted
 - 6) *SBE v. Illinois Hospital Association PAC*, 675, 14AS005; (pgs.28-31)
 - 7) *SBE v. Wayne County Republican Party*, 1209, 15AD013; (pgs.32-34)
 - 8) *SBE v. United Home Owners/Good Government Party*, 4271, 15AD015; (pgs.35-40)
 - 9) *SBE v. Lake Forest Caucus*, 4870, 14JQ010; (pgs.41-44)
 - 10) *SBE v. Fayette County Republican Women's Club*, 6482, 15DQ015; (pgs.45-48)
 - 11) *SBE v. Citizens for Jim Langfelder*, 12048, 14AJ016; (pgs.49-52)
 - 12) *SBE v. Silverstein for Senator*, 13356, 14AS016; (pgs.53-58)
 - 13) *SBE v. Collinsville Education Association (IEA-NEA)*, 14453, 15AD023; (pgs.59-62)
 - 14) *SBE v. 23rd Ward Regular Democratic Organization*, 19167, 14AS027; (pgs.63-67)
 - 15) *SBE v. Friends of Will Burns*, 20749, 15MA017; (pgs.68-77)
 - 16) *SBE v. Friends of the Schaumburg Township Republican Team*, 21072, 14AS034; (pgs.78-82)
 - 17) *SBE v. Committee to Elect Richard G. Karwaczka*, 22228, 14SQ072; (pgs.83-85)

- 18) *SBE v. Citizens for Bita Buenrostro*, 22801, 14MQ093; (pgs.86-92)
- 19) *SBE v. Citizens for Darin LaHood*, 23532, 15AD034; (pgs.93-99)
- 20) *SBE v. Friends of Laura Fine for State Rep.*, 24013, 15DQ080; (pgs.100-104)
- 21) *SBE v. We the People*, 24596, 15DQ090; (pgs.105-107)
- 22) *SBE v. Friends of Jay Travis*, 25569, 14MA028; (pgs.108-113)
- 23) *SBE v. Citizens for Jim Moynihan*, 25619, 15AD042; (pgs.114-123)
- 24) *SBE v. Allan Beaber for Sheriff*, 25663, 14AS060; (pgs.124-126)
- 25) *SBE v. Keiana Barrett for 7th Ward*, 25857, 15AD048; (pgs.127-130)
- 26) *SBE v. Working America*, 25990, 14AS074; (pgs.131-150)
- 27) *SBE v. Citizens to Elect Doug Johnson*, 26006, 14AS076; (pgs.151-153)
- 28) *SBE v. Friends of Rich Martinez*, 26042, 15DQ131; (pgs.154-156)
- 29) *SBE v. Citizens for Lemont Public Library Improvements*, 26099, 14SQ141; (pgs.157-159)
- 30) *SBE v. Citizens to Elect Susan Sadloski Garza*, 26168, 15AD062; (pgs.160-163)
- 31) *SBE v. Friends of T. Ray McJunkins*, 27289, 15DQ159; (pgs.164-166)
- Appeals of campaign disclosure fines – hearing officer recommendation appeals be denied
- 32) *SBE v. Randolph County Democratic Central Committee*, 44, 15AD001; (pgs.167-171)
- 33) *SBE v. Maine Township Regular Republican Organization*, 73, 14SQ001; (pgs.172-175)
- 34) *SBE v. Randolph County Republican Central Committee*, 154, 15AD003; (pgs.176-178)
- 35) *SBE v. Marion County Republican Central Committee*, 280, 15AD004; (pgs.179-184)
- 36) *SBE v. Kankakee County Democratic Central Committee*, 325, 15AD008; (pgs.185-190)
- 37) *SBE v. Communication Workers of America Dist. 4 PEC*, 763, 14AS006; (pgs.191-194)
- 38) *SBE v. Skokie Caucus Party*, 4273, 14AJ011; (pgs.195-201)
- 39) *SBE v. Wayne Township Republican Organization*, 4463, 15MA006; (pgs.202-205)
- 40) *SBE v. Committee to Retain John Baricevic*, 5499, 14SQ015; (pgs.206-208)
- 41) *SBE v. Democratic Citizens Association of Caseyville Township*, 5512, 14SQ016; (pgs.209-212)
- 42) *SBE v. Williamson County Republican Women's Club*, 5570, 15DQ013; (pgs.213-226)
- 43) *SBE v. McHenry Township Republican Team Fund*, 9158, 14SQ021; (pgs.227-229)
- 44) *SBE v. Citizens for Jim Langfelder*, 12048, 15AD021; (pgs.230-234)
- 45) *SBE v. Coalition for a Better Dolton*, 13550, 14SQ028; (pgs.235-241)
- 46) *SBE v. Committee to Elect Wayne Motley*, 15611, 15AD024; (pgs.242-246)
- 47) *SBE v. Citizens to Elect Tinley Park Village Officials*, 15724, 14AS020; (pgs.247-250)
- 48) *SBE v. Friends of Paul Palazzolo*, 15925, 14AJ021; (pgs.251-254)
- 49) *SBE v. Friends of Paul Palazzolo*, 15925, 15AD025; (pgs.255-258)
- 50) *SBE v. Holland & Knight LLP IL Committee for Effective Government*, 16109, 14AS021; (pgs.259-263)
- 51) *SBE v. McAvoy for Alderman Committee*, 17306, 14SQ038; (pgs.264-266)
- 52) *SBE v. Republican State Senate Campaign Committee*, 17589, 15AD027; (pgs.267-270)
- 53) *SBE v. Batavia Township Republican Organization*, 17662, 14SQ040; (pgs.271-275)
- 54) *SBE v. Statewide Medical Alliance for the Survival of Healthcare*, 17787, 15AD028; (pgs.276-284)
- 55) *SBE v. Naperville Township Democratic Organization*, 18389, 14AS025; (pgs.285-288)
- 56) *SBE v. Friends of Mike Jacobs*, 18410, 15AD030; (pgs.289-294)

- 57) *SBE v. Naperville Area Republican Women's Organization*, 19114, 15MA014; (pgs.295-298)
- 58) *SBE v. Friends of Miriam Shabo*, 21302, 15DQ052; (pgs.299-302)
- 59) *SBE v. Citizens to Re-Elect Kathy Svoboda*, 21438, 14SQ064; (pgs.303-305)
- 60) *SBE v. Concerned Citizens for Judy Ogalla*, 22505, 15DQ060; (pgs.306-312)
- 61) *SBE v. Mary Rakers for Clinton County Clerk*, 22630, 15DQ061; (pgs.313-315)
- 62) *SBE v. Friends of Jackie Traynere*, 22669, 15DQ063; (pgs.316-318)
- 63) *SBE v. Chicago Latino Public Affairs Committee*, 22755, 15MA019; (pgs.319-325)
- 64) *SBE v. The Chicago Committee*, 23526, 14AM084; (pgs.326-329)
- 65) *SBE v. Citizens to Elect Reginald C. Mathews State's Attorney*, 23795, 14SQ086; (pgs.330-332)
- 66) *SBE v. Friends of Christian Mitchell*, 23849, 15MA024; (pgs.333-342)
- 67) *SBE v. Collinsville Township Republicans*, 24482, 14SQ094; (pgs.343-345)
- 68) *SBE v. Citizens for Derek Krauss*, 24921, 14SQ101; (pgs.346-348)
- 69) *SBE v. Black for City Council*, 25052, 15MA031; (pgs.349-353)
- 70) *SBE v. Citizens to Elect Caroline Kennedy Elkins*, 25592, 14MA029; (pgs.354-390)
- 71) *SBE v. Central Illinois for Responsible Government, NFP*, 25829, 15MA035; (pgs.391-403)
- 72) *SBE v. YES for Tognarelli Committee*, 25935, 14AJ057; (pgs.404-406)
- 73) *SBE v. Vote Yes to Growing Parks Strong in 2014*, 26091, 15AD057; (pgs.407-410)
- 74) *SBE v. Friends to Elect Karen Kase*, 26111, 15MA046; (pgs.411-414)
- 75) *SBE v. Friends of Kankakee County Schools*, 26150, 15AD060; (pgs.415-417)
- 76) *SBE v. Citizens to Elect Steve Bareis Sheriff*, 26175, 15DQ141; (pgs.418-420)
- 77) *SBE v. Friends for Nina*, 26215, 15DQ146; (pgs.421-422)
- 78) *SBE v. Horn for Decatur*, 27243, 15DQ152; (pgs.423-425)
- 79) *SBE v. Hanover Park United Party*, 27305, 15DQ163; (pgs.426-428)

Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted & denied

- 80) *SBE v. Village Economy Party aka Norridge Improvement Party*, 4274, 15MA005; (pgs.429-433)
- 81) *SBE v. Aurora Township Democratic Central Committee*, 5301, 15MA007; (pgs.434-441)

Failure to comply with a board order

- 82) *SBE v. votedebby.com*, 14CD136; (pg.442)
- 83) *SBE v. Citizens to Elect Alvin Parks, Jr.*, 14CD137; (pg.443)
- 84) *SBE v. Re-Elect Eric Leys*, 14CD145; (pg.444)
- 85) *SBE v. Jackson for Committeeman Ind. Democratic Political Org.*, 14CD149; (pg.445)
- 86) *SBE v. Friends of Jeremy J. Ly*, 14CD153; (pg.446)
- 87) *SBE v. Tammy Baer for Douglas County State's Attorney*, 14CD155; (pg.447)
- 88) *SBE v. Citizens for Rudd*, 14CD161; (pg.448)
- 89) *SBE v. Friends of David Moore*, 14CD162; (pg.449)
- 90) *SBE v. Citizens to Elect Anthony Coleman for Mayor of North Chicago*, 14CD163; (pg.450)
- 91) *SBE v. Braiman for Judge*, 14CD165; (pg.451)
- 92) *SBE v. Friends of Christina Campos*, 14CD170; (pg.452)
- 93) *SBE v. Citizens to Elect 169 Write-ins*, 14CD173; (pg.453)
- 94) *SBE v. Friends for Mary C. Childers*, 14CD176; (pg.454)
- 95) *SBE v. Supporters of Joe Cook*, 14CD179; (pg.455)
- 96) *SBE v. Citizens to Elect Frazier Garner*, 14CD183; (pg.456)
- 97) *SBE v. Fans of Harness Racing*, 14CD185; (pg.457)
- 98) *SBE v. The Committee to Elect Robert R. McKay*, 14CD189; (pg.458)

Other campaign disclosure items

- 99) Assessments/Board Orders; (pgs.459-467)
- 100) Payment of civil penalties – informational. (pgs.468-469)

5. Report of the Executive Director
 - a. April 7, 2015 Consolidated Election;
 - 1) Post-election report; (pg.470)
 - 2) Authorization for staff to certify the winners of Fox Waterway Agency office; (pg.471)
 - 3) Late precinct reporting; (sent under separate cover)
 - 4) Voting system testing – informational; (pg.472)
 - 5) Election judge training schools – informational; (pg.473)
 - b. Special Election in the 18th Congressional District;
 - 1) 2015 Consent Decree; (pgs.474-501)
 - c. Legislative update; (oral report)
 - d. Senate Bill 172 update; (pg.502)
 - e. Consideration of board meeting schedule for FY16; (pg.503)
 - f. FY15 budget update; (pg.504)
 - g. Fiscal status reports – informational;
 - 1) FY15 – month ending March 31; (pgs.505-512)
 - 2) FY15 – Help Illinois Vote Fund; (pgs.513-518)
 - h. Two year plan of staff activity for the months of April & May – informational. (pgs.519-522)
6. Follow up. (pg.523)
7. Comments from the general public. (pg.523)
8. Next Board Meeting scheduled for Monday, May 18, 2015 in Springfield. (pg.523)
9. Executive session. (pgs.524-541)

STATE BOARD OF ELECTIONS
Regular Meeting
Monday, March 16, 2015

MINUTES

PRESENT: Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers, Member
Betty J. Coffrin, Member
Ernest L. Gowen, Member
William M. McGuffage, Member
Casandra B. Watson, Member

ABSENT: Bryan A. Schneider, Member

ALSO PRESENT: Steven S. Sandvoss, Executive Director
James Tenuto, Assistant Executive Director
Kenneth R. Menzel, General Counsel
Amy L. Calvin, Administrative Assistant II

The meeting convened at 10:30 a.m. via videoconference with seven members present. Chairman Smart and Members Byers, Coffrin, Gowen and McGuffage were present in Springfield and Member Watson was present in Chicago. Vice Chairman Scholz was present via teleconference. Member Schneider was absent and Chairman Smart held his proxy.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

Member Coffrin moved to approve the February 18 minutes as presented. Member Byers seconded the motion which passed unanimously.

The General Counsel presented the following appeals of campaign disclosure fines where he concurred with the hearing officer recommendation to grant the appeals for Agenda items 2.a.1-6:

- 1) *SBE v. Friends of John A. Shaw*, 20952, 14AJ028;
- 2) *SBE v. Friends of Miriam Shabo*, 21302, 14JQ060;
- 3) *SBE v. Committee to Elect Erik Rankin*, 21447, 14JQ062;
- 4) *SBE v. Friends of Melinda Hult*, 24313, 14JQ107;
- 5) *SBE v. Citizens for Dick Rawlings*, 24325, 14JQ108;
- 6) *SBE v. Committee to Raise Illinois's Minimum Wage*, 25946, 14AJ058.

Member Gowen moved to grant the above noted appeals. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented the following appeals of campaign disclosure fines where he concurred with the hearing officer recommendation to deny the appeals for Agenda items 2.a.7, 8, 13 & 16-21:

- 7) *SBE v. Citizens to Elect Eckhoff*, 4562, 14AJ013;
- 8) *SBE v. Friends of Leslie Sgro*, 6972, 14JQ017;
- 13) *SBE v. Citizens for David Friess*, 24200, 14JQ102;
- 16) *SBE v. Citizens for Joanne Rosado*, 25478, 14AM055;
- 17) *SBE v. Citizens for Joanne Rosado*, 25478, 14JQ147;

- 18) *SBE v. Winger for Rep*, 25510, 14AM087;
- 19) *SBE v. Citizens for Mike Webster*, 25607, 14AJ060;
- 20) *SBE v. Citizens for Ronnie White*, 25700, 14JQ162;
- 21) *SBE v. Committee to Elect Molt for Judge*, 25872, 14MA023.

No one was present on behalf of the respondent committees. Member Coffrin moved to deny the above noted appeals. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented an appeal for Agenda item 2.a.9, *SBE v. Committee to Elect Mike Bost*, 7985, 14AJ014 and recommended the appeal be granted. He indicated the proceeds from the sale of assets should not have been reported as a contribution and the committee was not required to file an A-1. Member McGuffage moved to grant the appeal. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented an appeal and settlement for Agenda item 2.a.11, *SBE v. Friends for Franco*, 19135, 14JQ053 and concurred with the hearing officer recommendation to deny the appeal. He further recommended the 50% settlement offer of \$312.50 be accepted. Member McGuffage moved to accept the recommendation of the General Counsel. Member Watson seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented an appeal and settlement offer for Agenda item 2.a.14, *SBE v. Friends to Elect Jacqueline D. Franklin*, 24987, 14JQ130 and concurred with the hearing officer to deny both because the amount offered was below 50% of the penalty. Member Gowen moved to deny the appeal and the settlement offer. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented an appeal and settlement offer for Agenda item 2.a.15, *SBE v. Franco for Mayor*, 25024, 14JQ131 and concurred with the hearing officer to deny both because the amount offered did not meet the Board's guidelines. Member McGuffage moved to deny the appeal and the settlement offer. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented an appeal for Agenda item 2.a.22, *SBE v. Friends of Tim Parker*, 24507, 14MQ127 & 14AD042 and concurred with the hearing officer recommendation to grant the appeal in part and deny in part. Member Gowen moved to accept the recommendation of the General Counsel. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented an appeal for Agenda item 2.a.23, *SBE v. Friends of Kristal Rivers*, 25514, 14MA017 and concurred with the hearing officer recommendation to grant the appeal in part and deny in part. Thomas Jaconetty was present on behalf of the committee and said the committee was mostly family funded and the late filings were inadvertent and unintentional. He said the combination of computer issues and the serious medical condition of the committee's treasurer attributed to the violations. It was noted that the amended reports were filed and the committee since closed its account with a zero balance. Member McGuffage moved to grant the appeal in part and deny in part and accept at 10% settlement offer of the assessed penalty. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda items 2.a.10 & 12, *SBE v. Citizens for Lisa Madigan*, 13471, 14AD023 and *SBE v. Friends of Robyn Gabel*, 22260, 14AD062 and concurred with the hearing officer recommendation to deny the appeals. Mike Kasper was present on behalf

of both matters and explained that in both instances there were multiple checks included on one deposit slip. He felt these should be treated as one violation and the penalty stayed as a first violation. After discussion, Member McGuffage moved to deny the appeals, treat as one violation and stay the penalty. Member Watson seconded the motion which passed by roll call vote of 8-0.

A listing of civil penalty assessments necessitating a final board order was presented. Member Coffrin moved to assess the civil penalty against the committees listed on pages 209-213 of the board packet. Member Byers seconded the motion which passed by roll call vote of 8-0.

A listing of payment of civil penalties was presented for informational purposes.

Member Coffrin moved to recess to executive session to consider complaints following closed hearing and personnel matters. Member Gowen seconded the motion which passed by roll call vote of 8-0. The meeting recessed at 11:12 a.m. and reconvened at 11:30 a.m. with the same attendance as noted in the initial roll call.

Chairman Smart congratulated Sharon Steward, Director of Campaign Disclosure, who announced her intent to retire on April 30, 2015.

Agenda items 2.a.26, 28 & 29: *Matthew v. Friends of Marc Loveless*, 15CD003; *Lopez v. Davis, Jr.*, 15CD005; and *Lopez v. Davis, Jr.*, 15CD006 were pulled due to lack of service on the parties.

As to Agenda item 2.a.27, *Olejniczak v. Citizens for Tunney*, 15CD004, Member Gowen moved to find that the complaint was not filed on justifiable grounds and the matter be dismissed. Member Byers seconded the motion which passed by roll call vote of 8-0.

As to Agenda item 2.a.30, *Klonsky v. Citizens to Elect Richard Wooten*, 15CD007, Member Gowen moved to find that the complaint was filed on justifiable grounds with respect to the specified in-kind contributions and that on that basis the matter proceed to a public hearing. With respect to the attribution of source, the complaint was found to have not been filed on justifiable grounds and no further action necessary. Member Byers seconded the motion which passed by roll call vote of 8-0.

The Executive Director began his report with updates on the 2015 consolidated elections. The February 24 post-election and late precinct reports were briefly discussed. He noted that the late precinct reporting update began several years ago and, for the February 24 Consolidated Primary Election, only the City of Chicago experienced precincts reporting after 2:00 a.m. Twenty-nine precincts were late due to either a human error with uploading the results or a mechanical error with the upload. The ballots were either re-run the next day and the votes tabulated or the memory sticks were located and uploaded. There were no subsequent issues with either of these situations.

The April 7, 2015 Consolidated Election staff assignments and a listing of election judge training schools were presented for informational purposes.

The Executive Director presented the PEW Foundation Grant application for consideration. He explained that SB172 requires the agency to enter into an agreement with the

Election Registration Information Center (ERIC) and one of the requirements of ERIC is to send a mailing to all the individuals in Illinois of voting age that are not currently registered. The estimated cost of production of the cards and postage for the mailing will be approximately \$835,000. To help offset this cost, the PEW Charitable Trust is offering financial assistance through a grant that would cover roughly 75% of the anticipated costs and asked the Board for approval to apply for such grant. Vice Chairman Scholz moved to grant authorization to staff to submit an application for the PEW grant. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

Next on the agenda was consideration of approval of modification to Hart Voting System 6.2.1 – upgrade to Windows 7 Professional. Kyle Thomas reported that this was an upgrade to the operating system on the computers that contain the election program and where reports are compiled. Staff ran 5, 510 ballots that the tabulators counted accurately and the computer utilizing Windows 7 compiled the results correctly. Mr. Thomas recommended the Board grant a two year interim approval for the upgraded 6.2.1 system. Member Coffrin moved to grant a two year interim approval for such system. Member Gowen seconded the motion which passed by roll call vote of 8-0.

The Executive Director presented proposed legislation amending Article 28 of the Election Code relating to questions of public policy statewide. The language in the amendment includes technical changes necessary to implement the provisions of Senate Bill 172, which will allow advisory question petition processing to be more consistent with constitutional amendment processing. Cris Cray added that a motion was needed to include this legislation to the Spring 2015 legislative agenda. She also noted that the first House appropriation hearing on March 4 went very well and the first Senate appropriation hearing is scheduled at 9:00 a.m. on March 26. Member McGuffage moved to add the proposed legislation to the Spring 2015 legislative agenda. Member Byers seconded the motion which passed by roll call vote of 8-0.

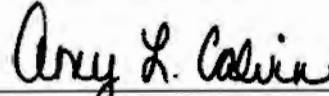
Next on the agenda was an update on the implementation of Senate Bill 172 and Kevin Turner reported that the major portion of the project to merge the IVRS and SBE domains was completed at the end of February. Technical specifications for the data exchange with the other agencies named in the legislation are in the process of development and anticipate completion in a couple of weeks. Mr. Turner indicated that a project to merge the Paperless Online Voter Application (POVA) system with the paper-based Online Voter Registration (OVR) system is underway and scheduled to be released after the April election. Kyle Thomas noted that meetings with the other agencies regarding the exchange of data have been going very well and future meetings are scheduled for March 10 and 11.

A report on the NASED winter conference, fiscal status reports and two year plan of staff activity for the months of March and April were presented for informational purposes.

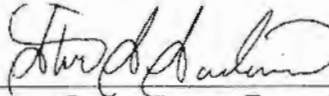
Under follow up, Chairman Smart asked if staff has received any further correspondence from Ms. Meroni since last month's presentation from the City of Chicago. The General Counsel indicated that he has not received anything directly from Sharon Meroni, but he did receive copies of correspondence from the City of Chicago.

With there being no further business before the Board, Vice Chairman Scholz moved to adjourn until Tuesday, April 21, 2015 in Chicago. Member Coffrin seconded the motion which passed unanimously. The meeting adjourned at 12:05 p.m.

Respectfully submitted,



Amy L. Calvin, Administrative Assistant II



Steven S. Sandvoss, Executive Director

15 MAR 24 AM 11:43

Friends of Figgs
383 Stoney Island
Calumet City IL, 60409
March 24, 2015

Ken Menzel
Board of Directors
2329 S. MacArthur
Springfield, Illinois 62704

A MOTION FOR RECONSIDERATION

Dear Ken Menzel:

Thank you for taking the time to consider my request.

I am requesting reconsideration for the penalty for the second quarter of 2014. First I would like to say it has been challenging learning the system and I have attempted to do my best.

The second quarterly report was filed late approximately three (3) minutes late. I can contribute the few minutes to not knowing exactly how to file after all information was entered. The first time I filed which was stayed I called your staff who filed the report for me. I was informed the first time I would be given a pass. I was determined NOT to be late again, as following rules and regulations are important to me. As a result, my 2nd report was filed only a very few minutes after the due time (12:01-3 a.m.).

Now, I'm not exactly sure how I missed the time frame for appeal. I travel quite often and spend at least sixty percent of the time away from home and may have missed the letter for appeal. I became aware of the fine when I called eagerly waiting to appeal due to the very few minutes; I was sure the board would provide leniency. Unfortunately, I was late, however your very helpful staff informed me I could try a motion for reconsideration.

Mr. Menzal, please reconsider my appeal, I am not looking to give excuses, as it is my sole responsibility....I am asking for empathy and another chance. I have planned to schedule for the training classes for campaign disclosure, as I never want to have to write you under these circumstances, the fine is truly a burden especially for a few minutes.

Ken Menzel
March 24, 2015
Page 2

I appreciate the services your office provide and with these tools I will utilize to report better.

Thank you again to you and the board members. I hope you reconsider and waive any current fine and fees for late filing.

Sincerely,

Nyota Figgs

Friends of Figgs
President

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 14 JQ 128
)
Friends of Figgs)
 Respondent(s).)

FINAL ORDER

TO: Friends of Figgs
383 Stoney Island Ave, Apt. 303
Calumet City, IL 60409

ID# 24941

This matter coming to be heard this 18th day of March, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

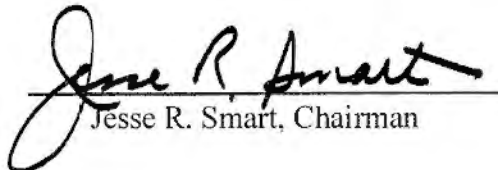
THE BOARD FINDS

1. In case number 14 JQ 128, a \$100.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2014 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$450.00, which was stayed as a first violation, for the delinquent filing of the March 2014 Quarterly report (14 MQ 141). This assessment was not appealed.

IT IS ORDERED:

1. A civil penalty in the amount of \$100.00 is imposed and the stay is lifted on the previously assessed penalty of \$450.00. The total amount of \$550.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is March 18, 2015 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 3/18/2015


Jesse R. Smart, Chairman

15 APR -2 PM 3:09

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325 W Fullerton Pkwy Ste 203
Chicago, IL 60614
(773) 569-5855 (phone)
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April 2, 2015

The Honorable Illinois State Board of Elections
c/o Ken Menzel, General Counsel
James R. Thompson Center
100 W. Randolph, Ste 14-100
Chicago, Illinois 60601

Re: Evanston Regular Republican Org, ID #221

Dear Honorable Members of the Illinois State Board of Elections and Mr. Menzel:

I represent the Evanston Regular Republican Org, ID #221 ("the Committee").

The Committee is in receipt of a letter from the Illinois State Board of Elections dated March 9, 2015 (copy attached), which advises the Committee that due to the Committee's failure to pay its outstanding fines to the State Board of Elections in the amount of \$3,400.00, it may be referred to the Illinois Department of Revenue's Debt Collection Bureau for debt collection.

Insofar as the Committee's total cash on hand is \$803.03, the Committee respectfully requests the opportunity to come before the State Board of Elections to make a settlement offer.

The Committee takes matters of campaign finance seriously and has recently instated a new Treasurer, who has already filed the Committee's 2015 March Quarterly report.

The Committee's closing COH balances for the previous four quarters are:

2015 March Quarterly report:	\$803.03
2014 December Quarterly report:	\$803.03
2014 September Quarterly report:	\$4,155.45
2014 June Quarterly report:	\$4,155.45

The fact that a fine remained outstanding in 2014 was due to the Committee's significant misunderstanding – the Committee believing that *all* of its fines had been stayed by the Board when, in reality, the Board stayed only the Committee's first fine (\$3,200) in its 5/21/2014 order; subsequent 2014 violations– which the Committee did not appeal – both lifted the stay and added to their total fine (adding \$75 and \$125). Throughout 2014 the Committee had an elderly

gentleman serve as Treasurer, who had served as Treasurer very well on-and-off for decades; however, unbeknownst to the other members of the Committee, the job had become too much for him. While not an excuse, the Committee has replaced this Treasurer, yet the fact of the Committee's minimal cash on hand remains.

Because the Committee is not a candidate committee, it does not have the option of simply shutting down. While the Committee's cash on hand is insufficient to make a settlement offer of 50% of the outstanding fines, the Committee hopes the Board may find this a case to deviate from its general settlement policy. The Committee would offer its entire balance as a settlement offer, to at least save the State of Illinois whatever cost the Department of Revenue would incur in their collections action.

Sincerely,

/s/ Laura Jacksack /s/

Laura Jacksack

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
Complainant(s),)
)
Vs.) 14 JQ 004
)
Evanston Regular Republican Org)
Respondent(s).)

FINAL ORDER

TO: Evanston Regular Republican Org ID# 221
PO Box 325
Evanston, IL 60204

This matter coming to be heard this 20th day of January, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

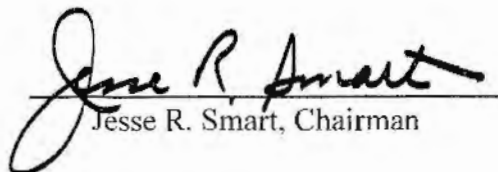
THE BOARD FINDS

1. In case number 14 JQ 004, a \$75.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2014 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$3200.00 for the delinquent filing of the March 2013 quarterly report (13 MQ 260). The appeal of this assessment was denied. The committee was also assessed a penalty of \$1250.00 for the delinquent filing of Schedule A-1's during the first quarter of 2014 (14 AM 003). This assessment was not appealed and was reduced to \$125.00 by the Board.

IT IS ORDERED:

1. A civil penalty in the amount of \$75.00 is imposed and is now due and owing within 30 days of the effective date of this Order. The previously assessed penalty of \$3325.00 remains unpaid and is past due; and
2. The effective date of this Order is January 22, 2015 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015


Jesse R. Smart, Chairman

19201-2

AMANDA D. HOWLAND, M.A., M.S., J.D. STATE BOARD OF ELECTIONS

21707 Old Barn Lane
Lake Zurich, IL 60047
Office: (312)543-2332

635 North Dearborn, Unit 1005
Chicago, IL 60654
Fax: (847)438-9337

15 MAR 16 PM 2:17

e-mail:aahowland@earthlink.net

1500042

Ms. Sharon Steward, Director
Campaign Disclosure Division
2329 S. MacArthur Blvd.
Springfield, IL 62704

Via Facsimile: 312-814-6485

March 11, 2015

Dear Ms. Steward:

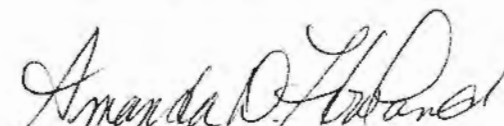
This letter is in response to the fine assessed for my late filing of the December quarterly report. As you may remember, I telephoned you regarding the situation last January.

I am at fault for the late filing. I thought I had filed before we left the country in January. While we were away I received an email that my filing was still due. I then attempted to file while on a cruise ship and could not get the information to go through. When I arrived home I attempted to file during the weekend and the system did not recognize my password. I had to wait until Monday to call the office and reset my password. At that time I filed the report.

Because the account was inactive all last year there were no changes to the balance of \$149.00. I am asking the committee to please consider that and accept payment of 1/2 of the \$100 amount you indicate will be assessed.

The account is active as of mid-February because I am now running for re-election to my Trustee position. I will be sure to timely file the upcoming report.

Thanks you in advance for your consideration.


Amanda D. Howland

cba:AH
Enc.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

ID# 19201

Friends for Howland
Amanda Howland
PO Box 346
Lake Zurich, IL 60047

Dear Friends for Howland:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2014 through December 31, 2014
Filing Period:	January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 1/20/2015, 2 day(s) late. As such, this committee has been assessed a fine of \$100.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward", is written over a horizontal line.

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

State Board of Elections

Campaign Disclosure Department

2329 S MacArthur Blvd.

Springfield, IL 62704

24563

12MA124
13DQ248

Dear Ms. Steward and Elections Board of Directors;

In Election year 2012 I was seeking the position of Circuit Clerk in Johnson County and in November 2012 was defeated. I had opened an account and filed with you for my campaign disclosures. This was my first attempt at a public office so when I sent my last report I thought that I was finished but I was ignorant to the fact that in January 2013 I was to file a Final Report.

I then did file the final report but not in a timely manner and then resulted in a fine with the State Board of Elections, I appealed the decision and lost and was told that this would go away in May of 2016 but I have decided to throw my hat back in the ring for a public office and would like to clear this up. I have been notified that it is a \$5,000 dollar fine to the State Board of Elections.

I am appealing to you once again so that I can make this right. I have been told that the Board usually will settle for 50% of the fine and I will pay this amount if I must but I am asking you to forgive my ignorance and accept an offer of \$1,000 so that I may run once again for office and I will now know the procedures to campaign disclosures.

Thank you for your consideration in this matter and I hope to see you at your next board meeting on April 21st.

Sincerely



Jennifer Pritchett

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 12 MA 124
)
Committee to Elect Jennifer)
Pritchett)
 Respondent(s).)

FINAL ORDER

TO: Committee to Elect Jennifer Pritchett ID# 24563
 2535 Friendship Loop
 Goreville, IL 62939

This matter coming to be heard this 20th day of February, 2013 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 12 MA 124, a \$125.00 civil penalty was assessed against the Respondent for the delinquent filing of the September 2012 Quarterly report; and
2. In case number 12 MA 124, a \$657.37 civil penalty was initially assessed against the Respondent for failing to file a Schedule A-1 during the third quarter of 2012, and
3. An appeal of the civil penalty was not submitted by the committee, and
4. Pursuant to section 9-10 of the Code, the Schedule A-1 assessment is reduced to \$66.00 (10% of the value of the delinquently reported contributions).

IT IS ORDERED:

1. A civil penalty in the amount of \$191.00 is imposed and is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is February 21, 2013 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 2/21/2013


William M. McGuffage, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
Illinois State Board of Elections)
 Complainant(s),)
)
Vs.) 13 DQ 248
)
Committee to Elect Jennifer Pritchett)
Johnson County Clerk)
 Respondent(s).)

FINAL ORDER

TO: Committee to Elect Jennifer Pritchett Johnson County Clerk ID# 24563
 2535 Friendship Loop
 Goreville, IL 62939

This matter coming to be heard this 17th day of March, 2014 as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

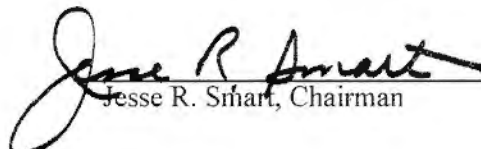
THE BOARD FINDS:

1. In case number 13 DQ 248, a \$5000.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2012 Quarterly report; appeal was taken from this assessment, and
2. The committee was previously assessed a penalty of \$125.00 for the delinquent filing of the September 2012 Quarterly report and a penalty of \$657.37 for failing to file a Schedule A-1 during the third quarter of 2012 (12 MA 124). This assessment was not appealed, the Schedule A-1 assessment was reduced to \$66.00 by the Board, and remains unpaid, and
3. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be denied for lack of an adequate defense, and
4. The committee filed a Final report on November 6, 2013.

IT IS ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is DENIED; and
2. A civil penalty in the amount of \$5000.00 is imposed and the previously assessed penalty of \$191.00 remains unpaid. The total amount of \$5191.00 will be abated on March 19, 2016 if the committee remains dissolved until that time without forming a Successor committee pursuant to Rules and Regulations 100.110(b), and
3. The effective date of this Order is March 19, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 3/19/2014


Jesse R. Smart, Chairman

March 25, 2015

STATE BOARD OF ELECTIONS

15 MAR 30 PM 2:45

State Board of Elections
State of Illinois
2329 South MacArthur Blvd.
Springfield, Illinois 62704

Attn: Ms. Sharon Steward, Director
Campaign Disclosure Division

Re: Cushing for Judge
ID# 25564

April 21, 2015 Meeting of the State Board of Elections

Dear Ms. Steward:

I am writing regarding the civil penalties in the amount of \$6575.00 assessed against the Cushing for Judge committee, formed to support my run for judge in Cook County in the 2014 Democratic primary. (Exhibit A) Hearing Officer Andy Nauman considered the penalties and issued a report on October 9, 2014. (Exhibit B) The penalties were imposed for the Committee's violations of A-1 reporting requirements.

According to the July 22, 2014 notice sent to the committee Chair, Joseph X. Cushing, (Exhibit C) the campaign disclosed 7 contributions of more than \$1,000 in an untimely fashion.

- The campaign filed A-1 reports three days late for 3 of the contributions.
- The other 4 contributions were disclosed on quarterly reports rather than on A-1 reports. Three of those came four days after the A-1 filing was due. One of those, in the amount of \$1500.00, came four months after the A-1 filing was due.

As you know, the penalties are levied against the committee and not against me personally. Nonetheless, I am not satisfied to allow the fines to remain unpaid and am petitioning the Board to consider a reduction in an effort to resolve this matter promptly.

Given my own personal standards and my desire to serve as a judge I deeply regret the failure of the committee to timely file all required disclosures during the campaign. I understand and fully support the important policy considerations behind the reporting requirements.

March 25, 2015

Page 2.

Many years ago I served as Treasurer for my brother's campaign for judge, and I mastered the reporting requirements and performed the duties effectively. The fact that I was running for judge last year, however, precluded me from being actively involved in the fundraising process including handling the details of reporting on campaign contributions.

Judicial candidates are forbidden from personally soliciting or accepting campaign contributions (Code of Judicial Conduct, Rule 67) to ensure the integrity of the judiciary and to prevent the need for a sitting judge to recuse himself from matters involving known contributors. For that reason I deliberately disconnected myself from the process of tracking and reporting contributions. Instead, I was happy to accept the offer of a friend – a banker and a veteran of many political campaigns – to volunteer as Treasurer and to assume all of the banking and reporting duties.

Because I knew this was a critical job I solicited the input of a close friend and sitting judge when I was filling the role. He agreed that our friend would be the right choice for Treasurer because of his thirty years of experience in political campaigns, his work in banking, and his competence and high integrity. He had worked on numerous campaigns on the local, state and national level, and I was fortunate to have him to serve in the role.

When I recommended that the Treasurer attend a training session with the State Board of elections as I had done twenty years ago, he assured me that he was quite familiar with the filing requirements because of his prior experience in the role, and assured me that he would comply with filing requirements and deadlines.

I believed that I had a system in place to ensure timely disclosures to the State Board during the campaign. I had a young, paid staffer who was following up with the Treasurer to confirm that contribution reports were being filed on time. When the staffer had a question about whether timely filings were being made he brought it directly to the Treasurer's attention and to mine. I addressed the matter myself with the Treasurer who assured me that he knew the filing requirements well and that he was meeting and would continue to meet all of the deadlines. When I asked if the work in this volunteer role was more than he had bargained for and if he would prefer that someone else take on the responsibility, he told me that he wanted to continue in the role and was perfectly capable of getting the work done as required. I sought the input of trusted friend and member of the campaign who also advised that the Treasurer would do the job reliably.

Of course, we now know that certain A-1 disclosures were not made or were not made on time. This is not acceptable because it frustrates the important purpose

March 25, 2015

Page 3.

of transparent disclosure of campaign contributions. I ask the Board to note that the violations involve tardy reporting, by a matter of three or four days in almost every instance, not to excuse the violations but to make the point that the violations were not intended to create an advantage for my candidacy. They were simply, unacceptably late.

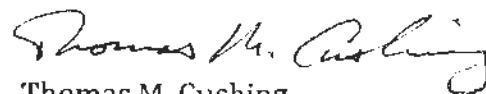
This was my own first campaign for office. I accepted the volunteer efforts of a generous, experienced volunteer who, I later learned, repeatedly failed to meet deadlines for filing A-1 disclosures. Rather than allow the fine to abate after two years I would like to extinguish the sanctions and pay a fine to meet the campaign's obligations to the Board and to the people of Illinois. All of the contributions were reported, and all but one were reported within four days of the dates required. I am determined to satisfy this obligation and to clear the record, especially in the event that I choose to seek election in the future.

Any consideration the Board would give to a reduction in the fine would be greatly appreciated. I am proposing that the Committee be permitted to extinguish its obligation with the payment of 50% of the current assessed amount, or \$3,287.50.

I am requesting the opportunity to address the State Board of Elections at its meeting in Chicago on April 21, 2015, regarding this matter. Thank you for the Board's consideration in this matter.

For purpose of future notices I would ask that the Board change the address of the committee to my home address indicated below.

Sincerely,



Thomas M. Cushing

2305 Hartrey Ave.
Evanston, Illinois
60201

C- 312-213-7488
W-847-869-8930

CC: Joseph X. Cushing

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



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January 16, 2015

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William M. McGuffage
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Casandra B. Watson

Cushing for Judge
Joseph X. Cushing
420 W. Huron
Chicago, IL 60654

ID# 25564

Dear Committee:

Your committee has been assessed a civil penalty of **\$6575.00** for delinquently filed reports as listed in the enclosed Final Board Order dated **November 19, 2014** and was imposed under the provisions of 10 ILCS 5/9-10 of the Illinois Election Code, and 26 Ill Adm. Code Part 125.425.

The Board has approved posting on the State Board of Elections' website the names of all Committees, both **active and final**, that have any outstanding assessments due and owing. Since the civil penalty assessed against your committee remains due and owing, the name of your committee will appear on the website beginning 30 days of the effective date of your Final Order. However, if your committee remains out of existence for two years following the date of the Order without forming a Successor committee pursuant to Rules and Regulations 100.110(b), the civil penalty will be abated and your name will be removed.

Please be advised, if this is a Candidate Committee, the candidate associated with the committee is subject to Ballot Forfeiture pursuant to Section 9-30 of the Election Code (10 ILCS 5/9-30). As such, the name of your committee's candidate "shall not appear upon any ballot for any office in any election while the penalty is unpaid."

If you have any questions regarding this notice please feel free to contact me at (217) 782-4141. Thank you.

Sincerely

A handwritten signature in black ink, appearing to read "Sharon Steward", is written over the typed name.

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

14 MA 018

Cushing for Judge 25564
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquenty and Failing to File Schedule A-1 Reports


The Committee received one \$1,500 contribution and three \$1,000 contributions and failed to report the contributions on a Schedule A-1, resulting in a civil penalty of \$2,250. The Committee also received one \$1,000 contribution and two \$5,000 contributions on 2/24/14, and reported it on a Schedule A-1 received by the Board on 3/2/14, 3 days late, resulting in a civil penalty of \$5,500. Additionally, the Committee had previously been assessed a \$250 civil penalty (not appealed, reduced, stayed) for delinquenty filing a Schedule A-1 in the 3rd Quarter of 2013. The total assessment is \$8,000.

The Respondent was represented by attorney James P. Nally at the October 3rd appeal hearing. Also in attendance was Thomas Cushing, the candidate, and Joseph Cushing the chairman of the committee.

Mr. Nally stated that the candidate was in a unique situation because he was running for Circuit Court Judge and as per the Judicial Code Rule 67 the candidate is restricted on when he can raise funds and he is not allowed to pay attention to the fundraising of his Committee. Thomas Cushing stated that he is aware of the filing requirements of a political committee because he has been a treasurer of a committee in the past so he knew he had to make sure he had a responsible person filing reports on his behalf. The treasurer that he selected for the job came highly recommended to him from a former Judge and the candidate assumed the gentleman would file reports accordingly. Once, Thomas Cushing became aware that his treasurer had delinquenty filed a Schedule A-1 he asked his treasurer if they had any other problems and he was informed that the Committee was not going to have any more violations. Thomas Cushing explained that later on he was informed that his filings were possibly not correct and he again reached out to his treasurer and asked if he was on top of the filings and the treasurer said everything had been taken care of. Thomas Cushing has since realized that his treasurer was not up to the task and has since had his brother Joseph take over the filing requirements. The candidate is deeply troubled that the treasurer of his Committee did not follow the letter of the law and the spirit of the Rules because this is very important to him. Mr. Nally stated that all contributions and expenditures have been reported and he cannot explain why the reports were not filed other than the person that Mr. Cushing tasked and trusted to do the job failed him. The candidate was in a Catch-22 because he couldn't watch over his treasurer to make sure that he was reporting correctly because Rule 67 prevents him from doing so; the Respondent asks for consideration in this matter.

I understand the predicament that the candidate was in concerning Rule 67 however judicial candidates still have the same filing requirements as every other candidate committee and violations occurred. Therefore, I recommend the appeal be denied. However, since there is no

indication the violations were anything other than inadvertent and unintentional, I recommend the violations be reduced as follows: the Cushing and Company contribution reduced to 10% of the original assessment, or \$75 because it falls into the same quarter as a previously reported Schedule A-1 violation; the Mary Cushing, Pavan Nigam and the Joseph Power contributions reduced to 50% of the original assessment, or \$750 as a second set of Schedule A-1 violations; and the John Barsella, Cooney and Conway, and the Dina Hubell contributions be assessed at 100% of the original assessments, or \$5,500 as a third set of Schedule A-1 violations. If this recommendation is accepted by the Board a \$6,350 penalty will be due and owing. However, since this Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years following the date of the Final Order imposing the fine, the fine be abated.


Andy Nauman – Hearing Officer
October 9, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
July 22, 2014

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Casandra B. Watson

Cushing for Judge
Joseph X. Cushing
420 W. Huron
Chicago, IL 60654

ID# 25564

Dear Cushing for Judge:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the 3rd quarter of 2013:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Cushing and Company	9/22/2013	\$1500	*	131	\$750

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2500.00 for delinquently filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$375.00, (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the 4th quarter of 2013:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Mary Cushing	12/31/2013	\$1000	*	4	\$500
Pavan Nigam	12/31/2013	\$1000	*	4	\$500
Joseph Power	12/31/2013	\$1000	*	4	\$500

Your committee is subject to a fine of \$1500.00 for delinquently filing Schedule A-1 reports.



Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1500.00 (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the 1st quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
John Barsella	2/24/2014	\$5000	3/2/2014	3	\$2500
Cooney and Conway	2/24/2014	\$1000	3/2/2014	3	\$500
Dina Hubell	2/24/2014	\$5000	3/2/2014	3	\$2500

Your committee is subject to a fine of \$5500.00 for delinquently filing Schedule A-1 reports.

Since this is the forth delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$5500.00 (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all new assessments in this letter is \$7375.00

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
September 2013	A1	\$250
TOTAL AMOUNT NOW DUE		\$7625

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
 Illinois State Board of Elections)
 Complainant(s),)
 Vs.) 14 MA 018
 Respondent(s).)
 Cushing for Judge)

FINAL ORDER

TO: Cushing for Judge 25564
2016 Harrison Street
Evanston, IL 60201

This matter coming to be heard this 17th day of November, 2014 as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. In case number 14 MA 018, a \$750.00 civil penalty was initially assessed against the Respondent for failing to file a Schedule A-1 during the third quarter of 2013; appeal was taken from this assessment, and
2. In case number 14 MA 018, a \$1500.00 civil penalty was initially assessed against the Respondent for failing to file Schedule A-1's during the fourth quarter of 2013; appeal was taken from this assessment, and
3. In case number 14 MA 018, a \$5500.00 civil penalty was initially assessed against the Respondent for the delinquent filing of Schedule A-1's during the first quarter of 2014; appeal was taken from this assessment, and
4. The committee was previously assessed a penalty of \$2500.00, which was stayed as a first violation, for the delinquent filing of a Schedule A-1 during the third quarter of 2013 (13 AS 052). This assessment was not appealed and was reduced to \$250.00 by the Board, and
5. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be denied for lack of an adequate defense, but that pursuant to section 9-10 of the Code, the \$750.00 assessment be reduced to \$75.00 (10% of the value of the delinquently reported contributions), the \$1500.00 assessment be reduced to \$750.00 (50% of the value of the delinquently reported contributions), and the entire assessed penalty of \$5500.00 be imposed (as a third violation, the penalty is 100% of the value of the delinquently reported contributions), and
6. The committee filed a Final report on August 6, 2014.

IT IS ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is DENIED; and
2. A civil penalty in the amount of \$6325.00 is imposed and the stay is lifted on the previously assessed penalty of \$250.00. The total amount of \$6575.00 will be abated on November 19, 2016 if the committee remains dissolved until that time without forming a Successor committee pursuant to Rules and Regulations 100.110(b), and
3. The effective date of this Order is November 19, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 11/19/2014


Jesse R. Smart, Chairman

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 AS 005

Illinois Hospital Assn PAC (IHA PAC) ID# 675
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$2,450.81 contribution on 9/30/14 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$1,225. Additionally, the Committee had previously been assessed a \$3,847 civil penalty (appealed, denied, paid) for delinquent filing 3 A-1 reports in the third quarter of 2011. The total assessment is \$1,225.

Nichole Magalis, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Magalis states that the contribution in question was actually an aggregate of 11 smaller in-kind contributions from the Illinois Hospital Association. She says if those contributions had been entered correctly, instead of as one lump sum, there would have been no need for an A-1. Ms. Magalis includes a list of the contribution amounts and their dates, and notes that the Committee has reported similar contributions correctly on its other Reports.

Based on the information provided and a review of the Committee's filings, it does appear that the contribution in question should have been broken down and reported as 11 separate contributions. Therefore, no A-1 was required, and I recommend the appeal be granted. However, I also recommend the Committee be ordered to amend its September 2014 Quarterly Report to show the correct contribution dates and amounts, with the amended Report to be filed within 30 days of the Final Board Order in this matter. (As of 12/31/14, this Committee reported a funds available balance of \$507,574.31)



Tom Newman – Hearing Officer
April 1, 2015

STATE BOARD OF ELECTIONS
15 MAR 20 PM 1:27

State of Illinois)
County of Sangamon)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Illinois Hospital Association PAC)
Respondent(s).)

Case No. 14A5005

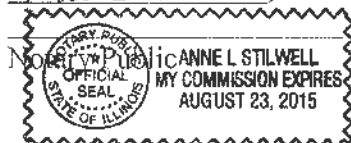
APPEAL AFFIDAVIT

I, Nichole Magalis, the Treasurer of the
(Name) (Chairman/Treasurer)
Illinois Hospital Association PAC
(Name of the Committee)

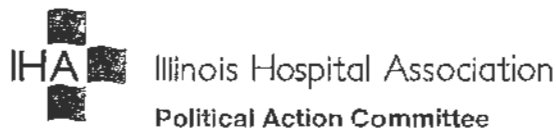
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached letter.

Signed and Sworn to by:
Michelle Stilwell
before me this 20 Day of
March, 2015



Nichole Magalis
(Signature of Chairman/Treasurer)



Illinois Hospital Association

Political Action Committee

State Board of Elections
Attn: Sharon Steward, Director
2329 S. MacArthur Blvd.
Springfield, IL 62704

RE: ID# 675, A-1 notice dated February 27, 2015

Dear Ms. Steward,

We are writing in reference to your notice dated February 27, 2015, which indicates that Illinois Hospital Association PAC (IHA PAC) failed to timely file Schedule A-1, Report of Campaign Contributions of \$1,000 or more as required by the Illinois Campaign Disclosure Act.

In the notice, IHAPAC has been assessed a fine of \$1,225.00 for failure to file an A-1 for a contribution from the Illinois Hospital Association (IHA) in the amount of \$2,450.81 on September 30, 2014. IHAPAC respectfully requests an appeal of the assessed civil penalty proposed in this matter as the in kind contributions from IHA were incorrectly reported on its D-2 filing. If the contributions had been entered correctly, no A-1 filing would have been required as the contributions were all less than the \$1,000 threshold.

The IHAPAC received the following in kind contributions from the Illinois Hospital Association during the third quarter:

<u>Date Received</u>	<u>Amount Received</u>
7/4/14	\$262.42
7/11/14	\$146.04
7/18/14	\$220.66
7/25/14	\$212.77
8/1/14	\$249.42
8/8/14	\$209.99
8/22/14	\$246.21
9/4/14	\$146.68
9/12/14	\$93.38
9/19/14	\$155.96
9/26/14	\$240.88

The total amount of the in kind contributions received during the third quarter was \$2,450.81. The contributions should have been reported individually based on the date received; however, due to a data entry error, the total lump sum was entered as one amount on the last day of the quarter. As shown in the table above, no one single contribution exceeded the \$1,000 A-1 filing requirement. Please note that the IHAPAC reported the in kind contributions received from the Illinois Hospital Association correctly on its other quarterly filings for 2014.

www.ihatoday.org

IHA HEADQUARTERS
1151 East Waverly Road
PO Box 3015
Naperville, Illinois 60566
ph 630.276.5400

SPRINGFIELD OFFICE
700 South Second Street
Springfield, Illinois 62704
ph 217.541.1150

WASHINGTON, DC OFFICE
400 North Capitol Street N.W.
Suite #585
Washington, DC 20001
ph 202.624.7880

Please make checks payable to IHA PAC. Contributions made to IHA PAC are not deductible for federal income tax purposes. A copy of our report filed with the State Board of Elections is to be available for purchase from the State Board of Elections, Springfield, Illinois

Included with this letter is an accompanying affidavit in support of this appeal. Please feel free to contact me at 217.541.1162 or nmagalis@ihastaff.org with any questions.

Sincerely,

A handwritten signature in cursive script, appearing to read "Nicole Magalis".

Nicole Magalis, Treasurer

Nichole

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AD 013

Wayne County Republican Party ID# 1209
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$1,000 contribution on 11/6/14 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500.

Royce Carter, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Carter states that he filed the day after the Committee received the contribution and he thought it went through successfully.

When a contribution of \$1,000 or more is entered into the IDIS electronic filing system, a Schedule A-1 is created and must then be filed by the Committee. Once the report is filed, a filing message will indicate "report successfully filed", the A-1 will no longer appear on the Committee's "Reports Due Now" page, it will be listed in IDIS on the Committee's "Previously Filed Reports" page, and the A-1 will be available for viewing on the Board's website. So there are multiple ways a committee can verify that a report has been successfully filed, and this Committee should remember to avail itself of at least one of the options in the future. However, in order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted. (As of 12/31/14, this Committee reported a funds available balance of \$5,488.36)



Tom Newman – Hearing Officer
April 1, 2015

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Wayne County Republican Party
Royce Carter
2193 Co Rd 825 N
Fairfield, IL 62837

ID# 1209

7014 2120 0001 1723 2294

Dear Wayne County Republican Party:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Bruce Rauner	11/6/2014	\$1000	*	37	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

vs.

15 AD 015

United Home Owners/Good Gov't Party

Cmte ID: 4271

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing Schedule A-1 Reports

The Committee delinquently filed Schedule A-1 Reports with respect to three donations; two of them, dated October 3, 2014, were first reported on an A-1 filed January 12, 2015, 61 days, while the third A-1, with respect to a contribution dated October 14, 2014, was 55 days late when reported at the same time. The total assessment is \$1500. The Committee was previously fined a total of \$150 for failing to file three A-1s for receipts during the 4th quarter of 2011 (reduced on appeal, paid) and a total of \$1600 for delinquently filing six A-1s for contributions received during the 4th quarter of 2013 (reduced on appeal, paid).

Daniel McKeown, the Treasurer of the Committee, filed a waiver of appearance and appeal affidavit in this matter.

As part of the Affidavit, Mr. McKeown included copies of fax receipts indicating Schedule A-1s for the receipts in question had been faxed to the Board's Chicago office in a timely manner.

I recommend the appeal be granted. The Committee has been required to file its Reports, including Schedule A-1s, electronically since 1999. In keeping with past Board practice, the Committee will be allowed a one-time exception to the electronic filing requirement but will be notified that all subsequent A-1s must be filed electronically. As of December 31, 2014, the Committee reported cash on hand of \$18,414.18.



John Levin – Hearing Officer

March 24, 2015

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Schoiz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

February 27, 2015

United Home Owners/Good Gov't Party
Anthony Yukich
9741 S Albany Ave
Evergreen Park, IL 60805

ID# 4271

Dear United Home Owners/Good Gov't Party:

~~This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:~~

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Catherine Apparo	10/14/2014	\$1000	*	55	\$500
Frank Novotny & Assoc.	10/3/2014	\$1000	*	61	\$500
Golden Griddle Restaurant	10/3/2014	\$1000	*	61	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1500.00 for delinquent filing schedule A-1 reports. This total *does not* reflect any previously assessed fines.

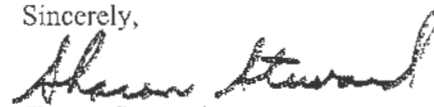
Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1500.00, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward". The signature is fluid and cursive, with a large, sweeping initial "S".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of: Cock)

STATE BOARD OF ELECTIONS
15 MAR 18 PM 1:56

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
United Homeowners/Good Govt Party)
Respondent(s).)

Case No. 15AD015

APPEAL AFFIDAVIT

I, Daniel F. McKenna, the Treasurer, Director of Finance of the
(Name) (Chairman/Treasurer)
United Homeowners/Good Govt Party IA# 4271
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

In response to your letter dated February 27, 2015. Please
consider the attached copies of my fees time stamped within
the required time frame for Catherine Apao 10/14/14 vs 10/16/14
Frank Novotny Assoc 10/3/14 vs. 10/3/14 and Golden Giddle Rest.
10/3/14 vs. 10/8/14

Daniel F. McKenna
Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this _____ Day of _____, 20__

Notary Public
(seal)

 *** FAX TX REPORT ***

TRANSMISSION OK

JOB NO. 1468
 DESTINATION ADDRESS 13128146485
 SUBADDRESS
 DESTINATION ID
 ST. TIME 10/08 12:54
 TX/RX TIME 00' 24
 PGS. 1
 RESULT OK

FOR OFFICE USE ONLY	POLITICAL COMMITTEE IDENTIFICATION No.
---------------------	--

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS OF \$1000 OR MORE

Full name and complete mailing address of Political Committee:

☐ CHECK IF AN ADDRESS CHANGE

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

Note: Schedule A-1 reporting requirements now apply throughout the calendar year. This form must be filed within 5 business days after receipt of any contribution of \$1000 or more, or within 2 business days if that receipt was within 30 days or less before the date of an election and the political committee supports or opposes a candidate or public question on the ballot at that election or makes expenditures in excess of \$500 on behalf of or in opposition to a candidate(s), or a public question(s), on the ballot at that election by either:

1. HAND DELIVERY - to a State Board of Elections office (see bottom of form for addresses).
2. FACSIMILE - to (217)-557-5630 or (312)-814-6485. Please retain a confirmation/transmission for your records.
3. ELECTRONIC TRANSMISSION - If this political committee is required to file its reports electronically, the Schedule A-1 must also be filed electronically.

Postal service or other mail services may be used. CAUTION: such services do not guarantee that the A-1 form will be received by our office prior to the deadline. A POSTMARK IS NOT USED TO DETERMINE WHETHER AN A-1 FORM HAS BEEN TIMELY FILED. THESE CONTRIBUTIONS MUST ALSO BE REPORTED ON THE NEXT REGULARLY SCHEDULED FORM D-2 QUARTERLY REPORT, SCHEDULE A OR SCHEDULE I.

RECEIVED FROM:	DATE	AMOUNT
Frank Westinghouse Assoc. 825 Madison Ave.	10/3/14	\$ 6,000
Golden Middle East Foundation	10/3/14	\$ 1,000
		\$
		\$
		\$

SIGNATURE OF TREASURER OR CANDIDATE *Robert M. H.* DATE 10/8/14
 Name and address of person submitting this report if other than the committee's chairman or treasurer:

THE STATE BOARD OF ELECTIONS REQUESTS DISCLOSURE OF ANY CONTRIBUTION THAT IS NECESSARY TO VOLUNTARILY AS A POLITICAL COMMITTEE OR ELECTION COMMITTEE TO THE PUBLIC DISCLOSURE OF THE INFORMATION PROVIDED. FAILURE TO PROVIDE ANY INFORMATION COULD RESULT IN A FINE UP TO \$500. THIS FORM IS IN COMPLIANCE WITH THE ELECTIONS MANAGEMENT ACT.

STATE BOARD OF ELECTIONS
 2325 S. MacArthur Blvd.
 SPRINGFIELD, IL 62708 4187
 OR
 STATE BOARD OF ELECTIONS
 JAMES R. THOMPSON CENTER
 100 W. RANDOLPH ST. STE. 14-100
 CHICAGO, IL 60601-3222

THIS FORM MAY BE REPRODUCED PAGE 1 OF 2 REVISED 11/11

 *** FAX TX REPORT ***

TRANSMISSION OK

JOB NO. 1627
 DESTINATION ADDRESS 13128146485
 SUBADDRESS
 DESTINATION ID
 ST. TIME 10/16 12:30
 TX/RX TIME 00' 22
 PGS. 1
 RESULT OK

SCHEDULE A-1**REPORT OF CAMPAIGN CONTRIBUTIONS OF \$1000 OR MORE**

Full name and complete mailing address of Political Committee:

FOR OFFICE USE ONLY

POLITICAL COMMITTEE
 IDENTIFICATION No.

☐ CHECK IF AN ADDRESS CHANGE
SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.**Note: Schedule A-1 reporting requirements now apply throughout the calendar year.**

This form must be filed within 5 business days after receipt of any contribution of \$1000 or more, or within 2 business days if that receipt was within 30 days or less before the date of an election and the political committee supports or opposes a candidate or public question on the ballot at that election or makes expenditures in excess of \$500 on behalf of or in opposition to a candidate(s), or a public question(s), on the ballot at that election by either:

1. **HAND DELIVERY** - to a State Board of Elections office (see bottom of form for addresses),
2. **FACSIMILE** - to (217)-557-5630 or (312)-814-6485. Please retain a confirmation transmission for your records.
3. **ELECTRONIC TRANSMISSION** - If this political committee is required to file its reports electronically, the Schedule A-1 must also be filed electronically.

Postal service or other mail services may be used. **CAUTION:** such services do not guarantee that the A-1 form will be received by our office prior to the deadline. **A POSTMARK IS NOT USED TO DETERMINE WHETHER AN A-1 FORM HAS BEEN TIMELY FILED.**

THESE CONTRIBUTIONS MUST ALSO BE REPORTED ON THE NEXT REGULARLY SCHEDULED FORM D-2 QUARTERLY REPORT, SCHEDULE A OR SCHEDULE I.

RECEIVED FROM: FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE	AMOUNT
CAM A PANO 9933 Francisco, Evanston, IL 60305	10/14/14	\$ 1,000 —
		\$
		\$
		\$
		\$

SIGNATURE OF TREASURER OR CANDIDATE

40

DATE

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

14 JQ 010

Lake Forest Caucus

ID# 4870

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the June 2014 Quarterly Report

The Quarterly Report was received by the Board on 7/27/14, 8 days late, resulting in a civil penalty assessment of \$800. Additionally, the Committee had previously been assessed a civil penalty of \$50 (not appealed, stayed) for delinquently filing the June 2013 Quarterly report. The total assessment is \$850.

Vincent Sparrow, the Treasurer of the Committee, filed a Request for Hearing, and submitted an Appeal Affidavit.

The Hearing was held on 3/13/15 via telephone. Mr. Sparrow states the Quarterly report was late due to computer error. He says that he validated the report and thought the report was filed. Mr. Sparrow added that the user manual should address this and the software should notify that the report needs to be filed after going through the validation process.

The IDIS software shows notification that a Report is due at the top of the page until the report is filed, however, in order to be consistent with previous Board rulings where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous \$50 civil penalty.

(As of 12/31/14, this Committee reported a funds available balance of \$28,734.78.)



Kim Patrick – Hearing Officer

March 16, 2015

State of Illinois)
County of : Lake)

STATE BOARD OF ELECTIONS

14 DEC -8 PM 1:52

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Lake Forest Caucus)
Respondent(s).)

Case No. ~~1490010~~ 1490010

APPEAL AFFIDAVIT

I, Vincent Sparrow, the Treasurer of the
(Name) (Chairman/Treasurer)
Lake Forest Caucus
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. I believe that the error occurred because I pressed "Confirm" but failed to press "Submit." The error was ministerial, rather than intentional and due to computer error.

2. The Lake Forest Caucus is a volunteer, non-partisan organization, whose principal mission is to recommend individuals for appointment to local boards and commissions. We do not meet or expend material funds (see attached)

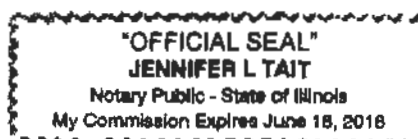
Signature of Chairman/Treasurer

Vincent Sparrow
Signed and Sworn to by:

Jennifer L Tait

before me this 3rd Day of December, 2014

Notary Public Jennifer L Tait
(seal)



during the summer months. Each of the violations set forth in the assessment relate to summer filings.

3. The prior error occurred during the term of my predecessor Treasurer. We have otherwise filed timely quarterly reports for many years. It is my understanding that the initial untimely report filed by my predecessor was filed one day late, and the report was untimely for the same reason as mine (the Treasurer pressed "Confirm" but not "Submit"). The most recent untimely report was filed within 2 weeks of the filing deadline. Any harm to the reporting and disclosure goals of the statutory scheme was thereby minimized.

4. The Lake Forest Caucus has undertaken a review of its procedures and henceforth, will undertake to file its reports within the filing window.

5. The Lake Forest Caucus maintains a small budget (less than \$20,000 per annum). The penalty applied would be a hardship to our volunteer organization.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
November 14, 2014
ID# 4870

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Lake Forest Caucus
PO Box 108
Lake Forest, IL 60045

7013 1710 0001 8744 9111

Dear Lake Forest Caucus:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2014 through June 30, 2014
Filing Period:	July 1, 2014 through July 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/27/2014, 8 day(s) late. As such, this committee has been assessed a fine of \$800.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 15, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2013 June	Quarterly	\$50
TOTAL AMOUNT NOW DUE		\$850.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 DQ 015

Fayette County Republican Women's Club
Respondent

ID# 6482

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2014 Quarterly Report

The Report was received by the Board on 1/20/15, 2 days late, resulting in a civil penalty assessment of \$50.

Connie Goldsmith, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Goldsmith states that she completed the Report and placed it in her personal mailbox for pickup on January 5. She says she is aware that a mailed report should be sent several days before the due date, and she believes she was well within those requirements.

According to campaign disclosure law, a mailed report that is received after the deadline is considered timely only if was mailed at least 72 hours prior to the deadline. The envelope containing the Committee's Report arrived at the Board lacking a postmark. Section 100.125 of the Board's Rules and Regulations states in part that if the envelope containing a committee's report does not have a postmark, "... it is alleged by the treasurer, chairman or candidate on a signed and notarized affidavit verifying that the report was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal, the presumptive date of receipt will be rebutted by the testimony contained in the affidavit and the report will be deemed to have been timely received." Since this Committee has not previously raised such a defense, I recommend the appeal be granted. (As of 12/31/14, this Committee reported a funds available balance of \$2,013.48)



Tom Newman – Hearing Officer
April 1, 2015

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

15 MAR 20 PM 2:42

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Fayette County Republican Women's)
Respondent(s). Club)

Case No. 15DQ015

APPEAL AFFIDAVIT

I, Connie Goldsmith, the Treasurer of the
(Name) (Chairman/Treasurer)
Fayette County Republican Women's Club
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I completed the D-2 report and placed it in my
personal mailbox for pick up by my rural carrier on
1-5-15. I am aware that it is required for the report
to be sent 5 working days prior to the due date, which it
was. Therefore, I was well within the requirements for
filing on time.

Connie Goldsmith
Signature of Chairman/Treasurer

Signed and Sworn to by:

Terril Britt
before me this 18th Day of March, 2015

Notary Public
(seal)



**FORM****D-2****REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES**
(CHECK APPROPRIATE BOXES) (PLEASE TYPE OR PRINT IN BLACK INK)

☒ Quarterly Report:
(check one) ☐ 1st, ☐ 2nd, ☐ 3rd, ☒ 4th

☐ Final Report

☐ Amendment of the Report Indicated Above

FOR OFFICE USE ONLY**copy**

Full name and complete mailing address of Political Committee:

Fayette County Republican Women's Club
c/o Connie Goldsmith
1166 E 1075 Ave
Shobonier, IL 62885-4105

Committee ID: 6482

14

☐ CHECK IF ADDRESS CHANGE

e-mail address:

COMMITTEE ID#

REPORTING PERIOD 10-1-14 12-31-14 FROM THRU	CASH AVAILABLE AT THE BEGINNING OF THE REPORTING PERIOD: \$ 2013.22 Repeat this amount in SECTION D line (A).	ALL POLITICAL COMMITTEES RETURN TO: STATE BOARD OF ELECTIONS 2329 S MACARTHUR BLVD SPRINGFIELD, IL 62704-4503 OR STATE BOARD OF ELECTIONS JAMES R. THOMPSON CENTER 100 W RANDOLPH ST, STE 14-100 CHICAGO, IL 60601-3232
--	---	--

SECTION A - RECEIPTS**1. Individual Contributions**

a. Itemized (from Schedule A): \$ 0 (1a)

b. Not-Itemized: \$ 0 (1b)

2. Transfers In

a. Itemized (from Schedule A): \$ 0 (2a)

b. Not-Itemized: \$ 0 (2b)

3. Loans Received

a. Itemized (from Schedule A): \$ 0 (3a)

b. Not-Itemized: \$ 0 (3b)

4. Other Receipts

a. Itemized (from Schedule A): \$ 0 (4a)

b. Not-Itemized: \$ 26 (4b)

TOTAL RECEIPTS (1a thru 4b) \$ 26**5. In-Kind Contributions**

a. Itemized (from Schedule I): \$ 0 (5a)

b. Not-Itemized: \$ 0 (5b)

TOTAL IN-KIND (5a+5b) \$ 0

Name & address of person submitting this report if other than the committee's chairman or treasurer:

SECTION B - EXPENDITURES**6. Transfers Out**

a. Itemized (from Schedule B): \$ 0 (6a)

b. Not-Itemized: \$ 0 (6b)

7. Loans made

a. Itemized (from Schedule B): \$ 0 (7a)

b. Not-Itemized: \$ 0 (7b)

8. Expenditures

a. Itemized (from Schedule B): \$ 0 (8a)

b. Not-Itemized: \$ 0 (8b)

9. Independent Expenditures

a. Itemized (from Schedule B-9): \$ 0 (9a)

b. Not-Itemized: \$ 0 (9b)

TOTAL EXPENDITURES (6a thru 9b) \$ 0**SECTION C - DEBTS AND OBLIGATIONS**

(Include previously reported unpaid debts)

10. a. Itemized (from Schedule C): \$ 0 (10a)

b. Not-Itemized: \$ 0 (10b)

TOTAL DEBTS & OBLIGATIONS: \$ 0**SECTION D - CASH BALANCE**

Cash available at the beginning of the

the reporting period: \$ 2013.22 (A)

Total Receipts from Section A: \$ 26 (B)**Total Cash (A) plus (B):** \$ 2013.48 (C)**Total Expenditures from Section B:** \$ 0 (D)

Funds available at the close of the

reporting period (C) minus (D): \$ 2013.48 (E)

INVESTMENTS TOTAL: \$ 0 (F)**VERIFICATION**

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

Connie Goldsmith

1-5-15

SIGNATURE OF COMMITTEE'S TREASURER OR CANDIDATE ONLY**DATE**

THIS FORM MAY BE REPRODUCED

PAGE 1 of 2

REVISED 8/20/14

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Fayette County Republican Women's Club
c/o Connie Goldsmith
1166 E. 1075 Ave
Shobonier, IL 62885

ID# 6482

7014 2120 0001 1723 3901

Dear Fayette County Republican Women's Club:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contributions and Expenditures
Report Period: October 1, 2014 through December 31, 2014
Filing Period: January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 1/20/2015, 2 days late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward", is written over a horizontal line.

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 AJ 016

Citizens for Jim Langfelder ID# 12048
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$1,100 contribution on 4/6/14 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$550.

James O. Langfelder, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Langfelder states that the contribution in question was an in-kind contribution related to an event held in April. He says at the time of the event, the amount of the contribution was not known, so he waited for written confirmation from the contributor. Mr. Langfelder says once that confirmation was received, the contribution was reported within the 2 day requirement.

An in-kind contribution is considered to be received on the date the recipient receives notification from the contributor. In this instance it appears the Committee erred by reporting the in-kind using the date of the event, rather than the date the notification was received. I therefore recommend the appeal be granted. However, I further recommend the Committee be ordered to amend its Quarterly Report to reflect the correct date of receipt, with said amendment to be filed within 30 days of the Final Board Order in this matter. (As of 9/30/14, this Committee reported a funds available balance of \$71,020.53.)



Tom Newman – Hearing Officer
January 7, 2015

State of Illinois)
County of : Sangamon)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

14 DEC 15 AM 10:57

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Jim Langfelder)
Respondent(s).)

Case No. 14A9016

APPEAL AFFIDAVIT

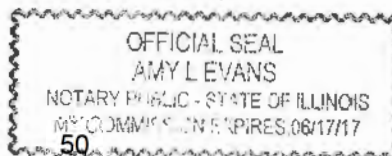
I, James O. Langfelder, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens for Jim Langfelder
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See letter dated 12-12-14.

James O. Langfelder
Signature of Chairman/Treasurer

Signed and Sworn to by: [Signature]
before me this 15 Day of December, 2014
Notary Public
(seal)





JIM LANGFELDER

SPRINGFIELD CITY TREASURER

A Sound Investment for Springfield's Future

December 12, 2014

Attn: Campaign Disclosure
2329 S. MacArthur Blvd.
Springfield, Illinois 62704

STATE BOARD OF ELECTIONS
14 DEC 15 AM 10:57

Regarding Delinquent A-1 Filing ID# 12048

Dear Hearing Officer,

I am requesting to appeal the assessment against Citizens for Jim Langfelder Committee for the first delinquent Schedule A-1. Even though the date of the in kind contribution event was in April, I did not know the value of the contribution. When the \$1,100 in-kind contribution from the Office Sports Bar & Grill was received in writing, the contribution was reported within the 2 day requirement. If my waiting for written confirmation of the in-kind contribution was incorrect, please advise me on the proper handling of estimating in-kind contributions.

Under Section 125.425, *Civil Penalty Assessments*, 5, C states that in cases of negligent or inadvertent violations, the Board may waive the fine. I am asking that you waive this first delinquent Schedule A-1 filing offense fine.

If you have questions, please call me at (217) 691-5708. Thank you for your time and consideration.

Sincerely,

Jim Langfelder

Citizens for Jim Langfelder

P.O. Box 2323, Springfield, IL 62705

A copy of our report filed with the Sangamon County Clerk is (or will be) available for purchase from the office of the Sangamon County Clerk, Springfield, Illinois

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
November 14, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Citizens for Jim Langfelder
James O Langfelder
2125 Lake Crest Dr
Springfield, IL 62712-9587

ID# 12048

7013 1710 0001 8744 7872

Dear Citizens for Jim Langfelder:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Office Sports Bar & Grill, Inc	4/6/2014	\$1100	*	64	\$550

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$550.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$55.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 15, 2014 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 AS 016

Silverstein for Senator
Cmte ID: 13356
Respondent


REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing Nine (9) Schedule A-1 Reports

The A-1s were received October 14, 2014, 26 days late, with respect to contributions dated October 14, 2014, resulting in a fine of \$7200. The Committee was previously fined \$100 (not appealed, stayed) for delinquently filing an A-1 during the second quarter of 2014. The total assessment is \$7300.

Brian Alexander, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Alexander acknowledged the Committee's responsibility in the late filing. However, he cited electronic filing issues; specifically, the Committee believed it had successfully uploaded A-1s to cover the receipts in question in a timely manner and only realized they had not been properly filed in preparing for the subsequent Quarterly Report filing. Alexander stated the failure to timely file the A-1s "was not a willful error but was an apparent computer (or operator) malfunction."

The assessment is automatically reduced to \$3600 (50% of the above-referenced fine amount) as the product of a second A-1 offense. However, Section 100.150 5) of the Rules accompanying the Campaign Finance Act reads, in part, "If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." As this is the Committee's first time using an electronic filing defense, I recommend the appeal be granted. If the Board accepts this recommendation, the stay on the \$100 fine for the late second quarter 2014 A-1 would remain in place. As of December 31, 2014, the Committee reported cash on hand of \$111,632.39.



John Levin – Hearing Officer
March 26, 2015

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

February 27, 2015

Silverstein for Senator
Ira Silverstein
2949 W. Devon
Chicago, IL 60659

ID# 13356

7014 2120 0001 1723 3529

Dear Silverstein for Senator:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Aaron, Maurice and Ora	8/28/2014	\$1200	10/14/2014	26	\$600 -
Anheuser-Busch Cos., Inc.	8/28/2014	\$1000	10/14/2014	26	\$500 -
Raucci & Sullivan Strategies LLC	8/28/2014	\$1000	10/14/2014	26	\$500 -
Arnold G. Rubin	8/28/2014	\$1500	10/14/2014	26	\$750 -
Chicago Land Operators Joint Labor-Management	8/28/2014	\$5000	10/14/2014	26	\$2500 -
Illinois Hospital Association PAC	8/28/2014	\$1000	10/14/2014	26	\$500 -
Illinois State AFL-CIO, CPE	8/28/2014	\$1000	10/14/2014	26	\$500 -
Illinois Trial Lawyers Association	8/28/2014	\$1500	10/14/2014	26	\$750 -
Realtor Political Action Committee	8/28/2014	\$1200	10/14/2014	26	\$600 -

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$7200.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3600.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2014	A1	\$100
TOTAL AMOUNT NOW DUE		\$3700

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE BOARD OF ELECTIONS

15 MAR 23 PM 2:35

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
vs.)
SILVERSTEIN FOR SENATOR,)
Respondent.)

No. S 6972

14A5016

APPEAL AFFIDAVIT

I, BRIAN E. ALEXANDER, the TREASURER of the SILVERSTEIN FOR SENATOR Committee, first being duly sworn deposes and state that he represents that the said committee and can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. That in August 2014, nine entries were timely made into the on-line iDIS 3.0 program for Silverstein for Senator reflecting receipts received August 28, 2014 from various donors, being those contributions which are the subject matter of this complaint against the committee. After the data was entered, the program flagged a note that an A-1 was due. The process to file an A-1 was taken and the uploading of the form A-1 was thought to have been accepted. That an examination of the Board's electronic computer data records should reveal the dates the entries which should have been included on the A-1 which

did not file, were in fact entered into the system within the timely filing time, if the A-1 had properly generated. The A-1 did not file for reasons unknown to the committee although the committee had thought all that it needed to do to generate an A-1 was properly completed.

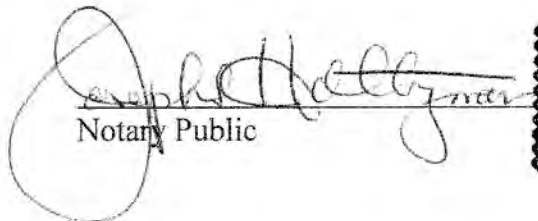
2. When subsequent additional entries were made by the committee in the IDIS program in preparation for the quarterly D-2 filing, it was discovered that the program was showing an A-1 as due. Examination of the contributions which generated the requirement for an A-1 filing revealed that they were those contributions which were already entered into the program and for which the committee thought an A-1 had already been filed, but which in reality, the A-1 had not processed and was not filed.
3. That immediately on discovering this situation, the committee uploaded the needed A-1 and a call was placed to the State Board of Elections in Springfield to discuss this situation. The committee was informed that a complaint would likely be generated and that the only recourse was to appeal any fine assessed and explain the circumstances.
4. That the failure to timely file the form A-1 was not a willful error but was an apparent computer (or operator) malfunction and the committee thought the form was timely filed.
5. That an examination of the committee's history will reveal that in the 17+ years of its existence that this is only the second "failure to timely file" complaint, the first being as a result of one entry which was carelessly omitted in 2014. Because that 2014 omission was the first A-1 omission in the committee's existence, for that incident that fine was waived by the Board. The committee acknowledges that the current A-1 which was not filed in this instance was ultimately the error of the committee and that confirmation of the purported A-1 filing thought completed should have been followed up. The committee

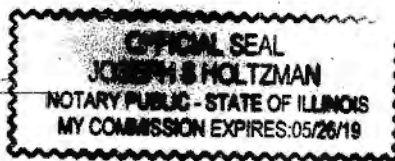
however seeks that any fine imposed be *de minimus* based upon the timely entry of the contributions in the IDIS computer system, the inadvertence of the late filing of the A-1 due to a computer issue, and the committee's otherwise near perfect filing record over 17 years. The committee has taken steps to assure that all future filings are properly uploaded. A nominal fine is appropriate in this matter.

Submitted for the Committee, Silverstein for Senator

Signed and Sworn to
before me this 19th day of
March 2015


Brian Alexander- Treasurer


Notary Public



STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

15 AD 023

Collinsville Education Association
(IEA-NEA)

ID# 14453

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The Committee received a \$6,975 contribution on 11/8/14 and reported it on a Schedule A-1 received by the Board on 12/8/14, 13 days late resulting in a civil penalty assessment of \$3,488. Additionally, the Committee had previously been assessed a civil penalty of \$400 for delinquently filing the September 2013 Quarterly report (not appealed, paid) and a \$200 civil penalty for delinquently filing the September 2011 Quarterly report (not appealed, paid). The total assessment is \$3,488.

Mark Schusky, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

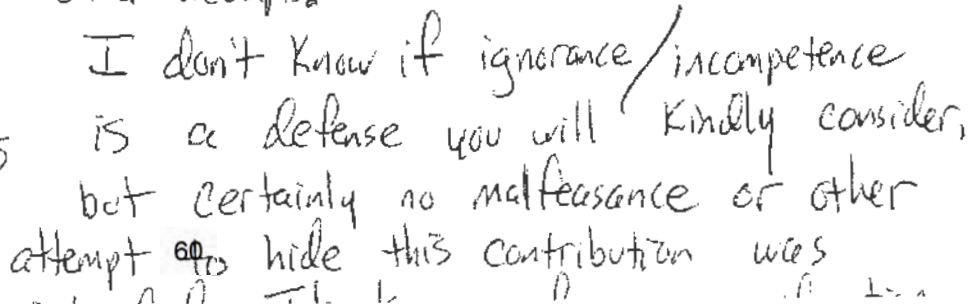
On the Affidavit, Mr. Schusky states that the receipt in question should have been put under Part 4 Other Receipts as a Rebate of Individual Contributions. He adds that his lack of experience and being new to the filing process led to the misreporting of the contribution.

I contacted Mr. Schusky to advise him in the future to report these contributions to make it clearly identifiable that the contributions represent an aggregate of smaller dues payments. He amended the Quarterly report to reflect this. Illinois disclosure law allows a political action committee to act as a conduit to deliver dues payments and to report these normally not-itemized contributions in the aggregate. Therefore, I recommend the appeal be granted.

(As of 12/31/14, this Committee reported a funds available balance of \$12,619.12.)



Kim Patrick – Hearing Officer
March 25, 2015



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Collinsville Education Assn (IEA-NEA)
Mark Schusky
8 Oakleigh Drive
Collinsville, IL 62234

ID# 14453

7014 2120 0001 1723 2416

Dear Collinsville Education Assn (IEA-NEA):

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
IPACE (IEA Political Fund)	11/8/2014	\$6975	12/8/2014	13	\$3488

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$3488.00 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

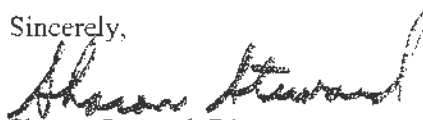
Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$349.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. .

14 AS 027

23rd Ward Regular Democratic Organization ID# 19167
Respondent

REPORT OF HEARING OFFICER

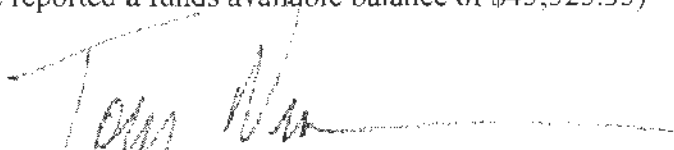
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

~~The Committee received a \$1,250 contribution on 9/15/14, and reported it on a Schedule A-1~~
received by the Board on 9/25/14, 3 days late, resulting in a civil penalty assessment of \$625.

Michael R. Zalewski, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Zalewski states that the date of the contribution was incorrectly reported due to a data entry error. He says a check for the contribution was actually deposited by the Committee on 9/25, the date the A-1 was filed. Mr. Zalewski includes copies of the check and a bank deposit slip as evidence.

Based on the evidence provided, the correct date of receipt for the contribution was 9/25/14, and the Schedule A-1 was therefore timely filed. I recommend the appeal be granted. I additionally recommend the Committee be ordered to amend its September 2014 Quarterly Report to reflect the correct date of receipt, with the Report to be filed within 30 days of the Final Board Order in this matter. (As of 12/31/14, this Committee reported a funds available balance of \$45,325.33)



Tom Newman – Hearing Officer

April 6, 2015

State of Illinois)
 County of: _____)

STATE BOARD OF ELECTIONS

15 MAR 26 AM 10:16

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
 ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant

/s. 23rd Ward Regular Democratic Organization Case No. 14A3027

Respondent(s).

APPEAL AFFIDAVIT

Michael R Zalewski, the Chairman of the
 (Name) (Chairman/Treasurer)

23rd Ward Regular Democratic Organization

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

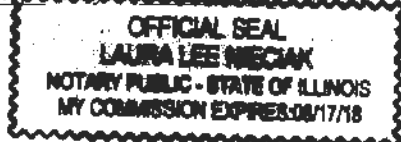
Due to a data entry error the date of the named contribution was incorrect. The correct
date is 9/25/15. Enclosed please find a copy of the check dated 9/16/15 and a copy of the
deposit on 9/25/15; the day the check was received by the committee. We apologize for the
error and will be more careful in future filings and respectfully request penalty be abated and
violation be removed from our records.

Michael Zalewski
 Signature of Chairman/Treasurer


signed and sworn to by Laura Lee Nieciak

before me this 24 Day of March 2015

Notary Public
 (Seal)



THIS CHECK HAS A COLORED BACKGROUND AND CONTAINS MULTIPLE SECURITY FEATURES - SEE BACK FOR DETAILS

 **Amigos FOODS**
5251 S. Millard • Chicago, IL 60638
Phone: (773) 838-8199

FIRSTMERIT
56-55
412

01021


VOID


ONE THOUSAND TWO HUNDRED FIFTY DOLLARS AND NO CENTS

DATE 9/16/2014 AMOUNT ***\$1,250.00**

VOID

PAY TO THE ORDER OF 23RD WARD REGULAR DEMOCRATIC ORG
PO BOX 388305
CHICAGO, IL 60638




AUTHORIZED SIGNATURE

⑈010218⑈ ⑆041200555⑆ ⑈5832006580⑈

DATE 9-25-14

CURRENCY	COINS	DOLLARS	CENTS
TOTAL CASH			
CHECKS			
1	5507	125	00
2	382	125	00
3	1002	125	00
4	068261	125	00
5	7764	250	00
6	2144	250	00
7	303478	200	00
8	227962	250	00
9	5834	1500	00
10	042218	1250	00
11	1436	1000	00
12	3201	500	00
13			
14			
15			
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			
26			
27			
28			
TOTAL FROM OTHER SIDE OF ATTACHED LIST			
PLEASE ENTER TOTAL HERE		5700	00

DEPOSIT TICKET

TOTAL ITEMS

DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL

78-2588/718

RE-ENTER GRAND TOTAL IN SCREENED BOXES

23RD WARD REGULAR
DEMOCRATIC ORG.
6247 S ARCHER AVE
CHICAGO IL 60638

First
Personal Bank
Orland Park, Illinois 60462
708-286-2727

\$

5700.00

⑆071925884⑆ 0323001602⑈

CHECKS AND OTHER ITEMS ARE RECEIVED FOR DEPOSIT SUBJECT TO THE UNIFORM COMMERCIAL CODE AND ANY APPLICABLE COLLECTION AGREEMENT.



www.firstpersonalbank.net
ONLINE BANKING and BILLPAY

09/25/2014 11:39:27 Teller: 0051
Seq: 026 Account: *****1602
Tran Amount: 5,700.00
Balance: 34,977.19
Available Balance: 34,977.19
Checking - Commercial Deposit
THANK YOU FOR YOUR BUSINESS
773-767-5188

156 4004 02

CUSTOMER RECEIPT

DEPOSIT MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL MEMBER FDIC
Please retain for your records

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

23rd Ward Regular Democratic Organization
PO Box 388306
Chicago, IL 60638

ID# 19167

7014 2120 0001 1723 2997

Dear 23rd Ward Regular Democratic Organization:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Amigo Foods	9/15/2014	\$1250	9/25/2014	3	\$625

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$625.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$63.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 MA 017

Friends of Will Burns ID# 20749
Respondent

REPORT OF HEARING OFFICER

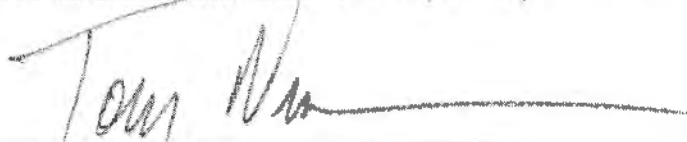
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports
and the December 2014 Quarterly Report

The Quarterly Report was received by the Board on 1/16/15, 1 day late, resulting in a civil penalty assessment of \$50. Additionally, the Committee received a \$1,000 contribution on 8/6/14 and reported it on a Schedule A-1 received by the Board on 10/10/14, 41 days late, resulting in a civil penalty assessment of \$500. The Committee also received a \$1,000 contribution on 9/18/14 and reported it on a Schedule A-1 received by the Board on 10/7/14, 8 days late, resulting in a civil penalty assessment of \$500. The Committee received a \$2,500 contribution on 9/26/14 and reported it on a Schedule A-1 received by the Board on 10/5/14, 1 day late, resulting in a civil penalty assessment of \$1,250. Finally, the Committee received a \$1,500 contribution on 9/4/14 and reported it on a Schedule A-1 received by the Board on 9/21/14, 7 days late, resulting in a civil penalty assessment of \$750. The total assessment is \$3,050.

Charis Tripplet, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Tripplet states that she has not been the Committee Treasurer for very long, and as a result she improperly entered some dates of contributions. She says that rather than using the date of deposit for checks, she used the date on the check. Additionally, Ms. Tripplet says some contributions were made via credit card through an online system managed by an off-site fundraising team. She says there is often a delay in when she receives an email notification of such contributions. Ms. Tripplet adds that the Committee has changed its procedures to keep this from happening again. She offers no defense for the delinquent Quarterly Report.

I recommend the appeal be denied for the Quarterly Report. I contacted Ms. Tripplet to see if she could provide documentation of when the A-1 contributions were actually deposited into the Committee's bank account. The deposit information provided is somewhat inconclusive, in that there is not an item-for-item matchup of the A-1 contributions reported and the various deposit dates reported by the bank. However, based on this information it is at least plausible that the contributions at issue were reported using the date on the check rather than the deposit date. I therefore recommend the appeal be granted in regards to the A-1 contributions. If these recommendations are accepted by the Board, the \$50 civil penalty would be stayed as a first violation. (As of 12/31/14, this Committee reported a funds available balance of \$149,534.39.)



Tom Newman – Hearing Officer
March 31, 2015

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS
15 MAR -5 PM 1:42

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
)
Respondent(s))

Case No. 15MA017
ID# 20749

Friends of Will Burns
Respondent(s)

APPEAL AFFIDAVIT

Charis D. Tripplet the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Will Burns
(Name of the Committee)

I, the undersigned, being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:
I very recently became the Treasurer and am still learning the systems.
I made the mistake of entering the wrong dates into the system.
I entered the check date and/or the date of contribution made
via a credit card through an on-line system managed by the off site
Fundraising team. In many cases there is a time delay in receiving
contributions especially checks mailed to them directly then sent to me.
Moving forward I will only enter the date I actually receive contributions.
Thank You for your consideration.

Charis D. Tripplet
Signature of Chairman/Treasurer

Subscribed and Sworn to by:
Charis D. Tripplet

Notary Public, State of Illinois
My Commission Expires Oct. 17, 2015
2nd 2015
OFFICIAL SEAL
BEVERLY THOMAS
Notary Public, State of Illinois
My Commission Expires Oct. 17, 2015

March 2, 2015

Sharon Steward
Director, Campaign Disclosure Division
State Board of Elections – State of Illinois
2329 S. MacArthur Blvd.
Springfield, IL 62704

RE: Friends of Will Burns – ID# 20749

Dear Ms. Steward:

I am writing to support my appeal for the various late filings associated with the above committee.

Report Type: December 2014 Qtrly Report – Report Period: 10/1/14-12/31/14
Filing Period: 01/1/2015-01/15/2015


I very recently became Campaign Treasurer (April/May 2014) and take the position very seriously. I checked everything multiple times before submitting to legal counsel for review and approval. All this was done only to have my computer not process the information as I thought it had. I just happened to check the next day and realized that it had not gone through from my home office. At which point I rushed to my neighbor's house to immediately send from their computer. While I am still not sure what happened, it was a most unfortunate incident for me.

As for the various late A-1 filings listed, those have been researched as well and I have discovered the following:

I made the mistake of entering the wrong dates into the system. I incorrectly entered the check date and/or the date of the contribution made via credit card through an on-line system managed by the off-site fundraising team. In many cases there is a time delay in when I receive email notification of credit card contributions and more specifically actual checks that are mailed directly to the off-site fundraising team and then sent to me. Moving forward I will correct these date entry errors to avoid these time related issues. I also plan to attend the training session offered through the State Board of Elections.

Your consideration in these matters is greatly appreciated. I can be reached at (773) 415-8599 should you have any additional questions or concerns.

Respectfully submitted,


Charis D. Triplet
Campaign Treasurer
7811 S. Wood
Chicago, IL 60620

March 27, 2015

Mr. T. Newman
State of Illinois Board of Elections
Via Email – tnewman@elections.il.gov

RE: Friends of Will Burns – Late A-1's – ID #20749

Mr. Newman:

As discussed please find attached the bank statements reflecting the deposits made for the contributions in question. The team was called into a meeting to determine what happened with this matter as I was being held completely responsible for the errors (and am at risk of losing my job).

As it turns out the errors occurred due to a few different reasons that have since been discussed and corrected. Friends of Will Burns has several different entities involved, most pertinent myself, Charis Triplet (New Campaign Treasurer) and the fundraising team. Apparently after large fundraising events, a few things were happening incorrectly:

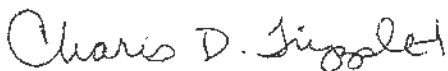
- 1) The fundraising team would take the checks from the event and process them for their purposes and then bundle them to mail to the Treasurer. I would then deposit checks so they clear quickly and reflect their target numbers on internal reports as instructed. I sometimes incorrectly entered the date of the event or the date on the check versus when I actually received the check in hand.
- 2) If checks came in directly to the fundraising team after the event. In most cases, the fundraising team would go ahead and deposit the checks and the Treasurer would then receive copies of checks that were mailed to the post office box.
- 3) The fundraising team had the on-line contribution system changed during that time from a paper check system being mailed to the post office box to credit card contributions being automatically placed into the campaign checking account. The problems initially varied from the notifications still being sent to the former Treasurer and/or I had to wait for the fundraising team to send me the reports or worse wait for the bank statements to come in the mail to account for those on-line contributions (Jared Davis error).

Mr. Newman, while I had no formal training other than from the former Campaign Treasurer, she did the best she could to help me while transitioning into her new role. I now fully understand the proper way that everything should be handled. I also understand that the fundraising team has a completely different set of interest and goals that certainly do not always align with what is required of me by the State Board of Elections (naturally, all they care about is raising the dollars and accounting for them towards their target goals). I am willing to take responsibility for the errors and attribute

it to not fully understanding the direct correlation between the date the contribution is entered and the timeliness of the A-1 filing/reporting (hence possibly making it appear late). The most important thing I wish to communicate is that none of these errors/transactions were completed with the intent to do anything improper as I value my position as Treasurer and appreciate the amount of trust placed in me to do this job correctly by the Candidate.

We have ironed out the kinks and I have included the new process being implemented myself and the fundraising team. I am praying this matter ends favorably so I can keep my little job and again your time and consideration is greatly appreciated.

Respectfully Submitted,



Charis D. Tripplet

Campaign Treasurer

Friends of Will Burns

(773) 415-8599



Seaway
BANK AND TRUST COMPANY

Member FDIC

SEAWAY BANK AND TRUST COMPANY

FRIENDS OF WILL BURNS
September 30, 2014

Page 3 of 3
0080709501

CREDITS

Date	Description	Additions
09-11	Deposit	1,550.00
09-15	Deposit	4,400.00
09-16	Deposit	2,150.00
09-17	Preauthorized Credit BANKCARD MERCH DEP 140916 948908176526886	300.00
09-18	POS Refund MERCHANT REFUND TERMINAL 469216 UNIVERSOUL CIRCUS 800 316 7 GA09-17-14 12:00 AM 4601270000005433	2.00
09-22	Deposit	4,516.84
09-22	Preauthorized Credit BANKCARD MERCH DEP 140919 948908176526886	100.00
09-23	Preauthorized Credit BANKCARD MERCH DEP 140922 948908176526886	50.00
09-25	Preauthorized Credit BANKCARD MERCH DEP 140924 948908176526886	250.00
09-26	Deposit	3,550.00
09-30	Deposit	4,875.00
09-30	Preauthorized Credit BANKCARD MERCH DEP 140929 948908176526886	2,800.00

DAILY BALANCES

Date	Amount	Date	Amount	Date	Amount
08-31	184,134.50	09-10	171,268.72	09-19	165,574.42
09-02	182,468.70	09-11	172,521.88	09-22	167,086.71
09-03	180,218.70	09-12	171,173.68	09-23	167,136.71
09-04	180,074.71	09-15	174,949.42	09-25	160,576.04
09-05	179,564.24	09-16	177,099.42	09-26	164,126.04
09-08	179,304.23	09-17	175,149.42	09-29	163,964.25
09-09	179,253.77	09-18	172,199.42	09-30	171,639.25

Thank you for banking with Seaway Bank And Trust Company



Seaway
BANK AND TRUST COMPANY

Member FDIC

SEAWAY BANK AND TRUST COMPANY

FRIENDS OF WILL BURNS
October 31, 2014

Page 3 of 4
0080709501

CREDITS

Date	Description	Additions
10-01	Preauthorized Credit BANKCARD MERCH DEP 140930 948908176526886	1,000.00
10-06	Deposit	3,950.00
10-06	Preauthorized Credit BANKCARD MERCH DEP 141003 948908176526886	400.00
10-10	Deposit	3,625.82
10-14	Preauthorized Credit BANKCARD MERCH DEP 141012 948908176526886	50.00
10-14	Preauthorized Credit BANKCARD MERCH DEP 141010 948908176526886	100.00
10-14	Preauthorized Credit BANKCARD MERCH DEP 141013 948908176526886	100.00
10-15	Preauthorized Credit BANKCARD MERCH DEP 141014 948908176526886	200.00
10-16	Preauthorized Credit BANKCARD MERCH DEP 141015 948908176526886	1,000.00
10-20	Deposit	3,150.00
10-21	Preauthorized Credit BANKCARD MERCH DEP 141020 948908176526886	50.00
10-21	Preauthorized Credit BANKCARD MERCH DEP 141020 948908176526886	75.00
10-22	Preauthorized Credit BANKCARD MERCH DEP 141021 948908176526886	50.00
10-24	POS Refund MERCHANT REFUND TERMINAL 443106 UNIV CLUB OF CHICA GO CHICAGO IL10-23-14 12:00 AM 4601270000005433	13.96
10-27	Preauthorized Credit BANKCARD MERCH DEP 141024 948908176526886	750.00
10-29	Preauthorized Credit BANKCARD MERCH DEP 141028 948908176526886	50.00
10-31	Deposit	750.00
10-31	Deposit	6,200.00
10-31	Deposit	10,500.00

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

March 2, 2015

CORRECTED ASSESSMENT

ID# 20749

Friends of Will Burns
Will Burns
920 E. 48th Street
Chicago, IL 60615

7014 2120 0001 1716 2089

Dear Friends of Will Burns:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December 2014 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2014 through December 31, 2014
Filing Period:	January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 1/16/2015, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Jared Davis	8/6/2014	\$1000	10/10/2014	41	\$500
Bettylu Saltzman	9/18/2014	\$1000	10/7/2014	8	\$500
James Crown	9/26/2014	\$2500	10/5/2014	1	\$1250
AMBAJI I Inc	9/4/2014	\$1500	9/21/2014	7	\$750

The committee is fined a **total** of \$3000.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$300.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$350.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed by March 27, 2014. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: ~~Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.~~

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

* This contribution was reported on the Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Friends of Will Burns
Will Burns
920 E. 48th Street
Chicago, IL 60615

ID# 20749

7014 2120 0001 1716 4991

Dear Friends of Will Burns:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December 2014 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2014 through December 31, 2014
Filing Period:	January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 1/16/2015, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Jared Davis	8/6/2014	\$1000	10/10/2014	41	\$500

The committee is fined a **total** of \$500.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$300.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$350.00.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 AS 034

Friends of the Schaumburg Township Republican Team ID# 21072
Respondent

REPORT OF HEARING OFFICER

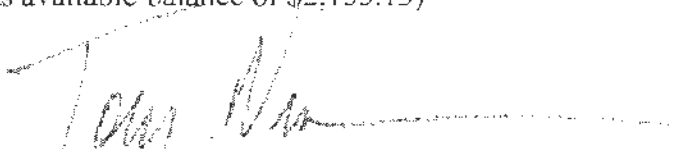
Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

~~The Committee received a \$2,270 contribution on 8/1/14 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$1,135.~~

George Dunham, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Dunham states that a Schedule A-1 was originally filed by the Committee on August 1, but he says it was sent in by mail rather than filed electronically because his home internet access had been down since late July. He says that service was unable to be restored until mid-August. He includes a copy of the paper A-1. Mr. Dunham adds that he traveled out of town on personal business beginning on August 2, meaning it would not have been possible for him to file the A-1 electronically within the required number of days.

The A-1 that Mr. Dunham says was sent on August 1 was never received by the Board. While disclosure law and the Board's Rules and Regulations allow for a "postmark defense" in cases where a Quarterly Report is mailed but never received, no such provision is made for A-1 filings. But although the Committee does not qualify for the so-called "postmark defense", I believe the fact that the only reason the A-1 was mailed in the first place was due to electronic filing issues, that in order to be consistent with previous Board decision where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 3/31/15, this Committee reported a funds available balance of \$2,155.13)



Tom Newman – Hearing Officer
April 8, 2015

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS
15 MAR 30 PM 1:55

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. FRIENDS OF THE SCHUAMBURG TOWNSHIP - FID 4834)
REPUBLICAN TEAM)
1901 North Roselle Road, Suite 800)
Schaumburg, IL 60195)
Respondent(s).)

Case No. 14AS034

APPEAL AFFIDAVIT

George S. Dunham, Jr. the Treasurer of the
(Name) (Chairman/Treasurer)
FRIENDS OF THE SCHAUMBURG TOWNSHIP REPUBLICAN TEAM
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The original A-1 in question (copy attached) was filed on time on August 1, 2014 as required. However, due to computer difficulties, it was filed on paper following a phone conversation with the Illinois State Board of Elections Campaign Disclosure Division. Also, due to personal travel out of town beginning on August 2, 2014, it would not have been possible to file the A-1 electronically within the required number of days.

George S. Dunham, Jr.
Signature of Chairman/Treasurer

Signed and Sworn to by:
Connie Aumann
before me this 30th Day of March, 2015
Notary Public
(Signature)



FRIENDS OF THE SCHAUMBURG TOWNSHIP REPUBLICAN TEAM
1901 North Roselle Road - Suite 800
Schaumburg, Illinois 60195
(847) 330-0012 - G-Dunham@att.net

STATE BOARD OF ELECTIONS

15 MAR 30 PM 1:55

March 30, 2015

Ms. Sharon Steward Director
Division of Campaign Disclosure
ILLINOIS STATE BOARD OF ELECTIONS
2329 South MacArthur Boulevard
Springfield, Illinois 62704-4503

Via E-Mail to SSteward@Elections.IL.Gov

3 Attachments Wavier of Appearance
 Appeal Affidavit
 Copy of A-1 Form - 8/1/14

Dear Ms. Steward:


Thanks for speaking with me by phone on Friday, March 27th.

Please find the above-referenced documents accompanying this letter electronically. I believe the Appeal Affidavit restates the facts surrounding why the A-1 Form in question was sent by mail. The "computer difficulties" referred to were that my internet access had been down since the last week in July, and AT&T was unable to restore it until mid-August. If you wish, I will follow up with paper copies of the above.

Please contact me at (847) 330-0012 at home with any questions that arise.

Sincerely,

FRIENDS OF THE SCHAUMBURG TOWNSHIP REPUBLICAN TEAM



George S. Dunham, Jr., Treasurer

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS OF \$1000 OR MORE

Full name and complete mailing address of Political Committee:

FRIENDS OF THE SCHUAMBURG TOWNSHIP TEAM
1901 North Roselle Road, Suite 800
Schaumburg, IL 60195

☐ CHECK IF AN ADDRESS CHANGE

FOR OFFICE USE ONLY

STATE BOARD OF ELECTIONS

15 MAR 30 PM 1:54

POLITICAL COMMITTEE

IDENTIFICATION No.

FID 4834

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

Note: Schedule A-1 reporting requirements now apply throughout the calendar year.

This form must be filed within 5 business days after receipt of any contribution of \$1000 or more, or within 2 business days if that receipt was within 30 days or less before the date of an election and the political committee supports or opposes a candidate or public question on the ballot at that election or makes expenditures in excess of \$500 on behalf of or in opposition to a candidate(s), or a public question(s), on the ballot at that election by either:

1. **HAND DELIVERY** - to a State Board of Elections office (see bottom of form for addresses),
2. **FACSIMILE** - to (217)-557-5630 or (312)-814-6485. Please retain a confirmation transmission for your records,
3. **ELECTRONIC TRANSMISSION** - If this political committee is required to file its reports electronically, the Schedule A-1 must also be filed electronically.

Postal service or other mail services may be used. **CAUTION:** such services do not guarantee that the A-1 form will be received by our office prior to the deadline. **A POSTMARK IS NOT USED TO DETERMINE WHETHER AN A-1 FORM HAS BEEN TIMELY FILED.**

THESE CONTRIBUTIONS MUST ALSO BE REPORTED ON THE NEXT REGULARLY SCHEDULED FORM D-2 QUARTERLY REPORT, SCHEDULE A OR SCHEDULE I.

RECEIVED FROM: FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE	AMOUNT
Schaumburg Twp Republican Org 808 E Nerge Rd - Roselle, IL 60172	8/1/14	\$ 2,270.00
		\$
		\$
		\$
		\$
		\$

George W. Winkler Jr.
SIGNATURE OF TREASURER OR CANDIDATE

8-1-14
DATE

Name and address of person submitting this report if other than the committee's chairman or treasurer:

THE ILLINOIS STATE BOARD OF ELECTIONS IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. DISCLOSURE OF THIS INFORMATION IS REQUIRED. FAILURE TO PROVIDE ANY INFORMATION COULD RESULT IN A FINE UP TO \$5,000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT

STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd.
SPRINGFIELD, IL 62708-4187

ALL POLITICAL COMMITTEES RETURN TO:

OR

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Friends of the Schaumburg Township Republican Team ID# 21072
1901 N. Roselle Road, Ste 800
Schaumburg, IL 60195

7014 2120 0001 1723 3031

Dear Friends of the Schaumburg Township Republican Team:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Schaumburg Township Republican Org.	8/1/2014	\$2270	*	40	\$1135

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1135.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$114.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 SQ 072

Committee to Elect Richard G Karwaczka
Respondent

ID# 22228

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2014 Quarterly Report

The Report was received by the Board on 11/21/14, 25 days late, resulting in a civil penalty assessment of \$1,250. Additionally, the Committee was previously assessed a \$25 civil penalty (not appealed, stayed) for delinquent filing of the June 2014 Quarterly Report. The total assessment is \$1,275.

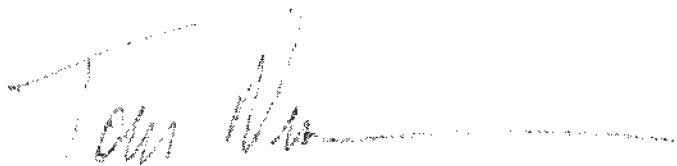
Richard Karwaczka, the Candidate of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Karwaczka states that he filled out and mailed the Report on October 10, 2014.

The Report actually received by the Board shows a signature date of 11/21/14. It therefore appears this was a replacement Report for the one originally sent by the Committee. Section 100.125 of the Board's Rules and Regulations states in part that when a mailed Report is not received by the Board.

"...if the political committee is assessed a civil penalty for failing to file or delinquently filing the report and, as part of the committee's appeal of the civil penalty assessment, it is alleged by the treasurer, chairman or candidate on a signed and notarized affidavit verifying that the report was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal, the presumptive date of receipt will be rebutted by the testimony contained in the affidavit and the report will be deemed to have been timely received."

Since this Committee has not previously made such a claim, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous \$25 assessment. (As of 11/21/14, this Committee had filed a Final Report and reported a funds available balance of \$0)



Tom Newman – Hearing Officer
April 7, 2015

State of Illinois)
County of: Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

2015 MAR 05 11:20
STATE BOARD OF ELECTIONS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Committee to Elect Richard
Respondent(s). G. Karwaczka

Case No. 145Q072

APPEAL AFFIDAVIT

I, Richard G. Karwaczka, the Candidate of the
(Name) (Chairman/Treasurer) Candidate
Committee to Elect Richard G. Karwaczka
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

On October 10, 2014, I filled out and
mailed the September Quarterly Report
of Campaign Contribution and Expenditures

Signature of Chairman/Treasurer
Candidate

Signed and Sworn to by:

Frank J. Serio
before me this 20th Day of MARCH, 2015

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

ID# 22228

Committee to Elect Richard G. Karwaczka
Frank J. Serio
5600 N. River Rd, Ste 800
Rosemont, IL 60018

7014 2120 0001 1722 6972

Dear Committee to Elect Richard G. Karwaczka:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2014 through September 30, 2014
Filing Period:	October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 11/21/2014, 25 day(s) late. As such, this committee has been assessed a fine of \$1250.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2014	Quarterly	\$25
TOTAL AMOUNT NOW DUE		\$1275.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

14 MQ 093

Citizens for Bita Buenrostro (ID 22801)
Respondent

REPORT OF HEARING OFFICER

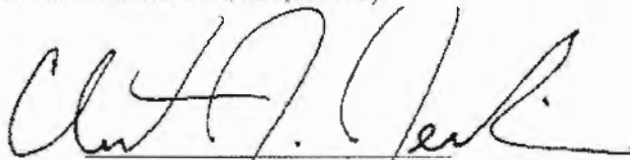
Appeal of Civil Penalty Assessment for Delinquently Filing
The March 2014 Quarterly Report

The Report was received by the Board on April 25, 2014, 8 days late, resulting in a civil penalty assessment of \$400. In addition the committee was assessed a \$200 civil penalty (not appealed, stayed) ~~for delinquently filing the June 2012 Quarterly Report. The total assessment is \$600.~~

Marcus Buenrostro, Chairman and Treasurer of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on January 28, 2014.

Attorney Laura Jacksack appeared on behalf of the Committee. Ms. Jacksack reiterated Mr. Buenrostro testimony from his sworn affidavit. Mr. Buenrostro writes that the March 2014 Quarterly report was filed timely before the April 15, 2014 deadline. The committee was then using the IDIS v2.0 software, which it had used several times with success on previous filings. The committee was informed by the Board of Elections sometime later that said report was never received. After communicating to Board staff that he had indeed filed the report and had received the screen message to the affirmative, Mr. Buenrostro said that Board staff told him SBE "had not received some committees' Quarterly reports filed with that old software." Board staff admitted to "software issues," then proceeded to help Mr. Buenrostro transfer committee information to IDISv3.0 filing website & file the March 2014 Quarterly report. Ms. Jacksack stated that the committee has never relied on an electronic defense in the past; she asked that the Board granted this appeal based on the difficulties of IDIS software issues.

In regard to the late March 2014 Quarterly Report assessment of \$400, being consistent with previous Board decisions where an electronic filing issue prevented filing, I recommend the appeal be granted. The committee should take notice that when a report is filed the committee should make certain that it states that the report has been "Successfully filed." A more definite way is to check for individual report receipts and/or check the Board's website. The Committee should also be aware that a second use of this defense may not be accepted. If this recommendation is accepted by the Board, the assessment of \$400 will be abated and the \$200 for a pervious penalty will return to a stayed status. (As of 12/31/14, this Committee reported a funds available balance of \$101,897.18).



Clinton J. Jenkins – Hearing Officer
February 23, 2015

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:

SBE
Complainant(s)

v.

Citizens for Bita Buenavista
Respondent(s)

No. 14 MQ 093

APPEARANCE

The undersigned enters the appearance of (check one):

☐ COMPLAINANT(S)

or

☒ RESPONDENT(S)

Laura Jacksack for Citizens for Bita Buenavista
(insert name)

Check one: ☒ Attorney ☐ Pro Se

Name:

Laura Jacksack

Address:

325 W. Fullerton #203

City/State/Zip:

Chicago IL 60614

Telephone:

773/472-0399

Fax No.:

773/304-3101

Email address:

laurajacksack@gmail.com

Will you accept service of documents via FAX transmission? Check one: ☒ Yes ☐ No

Laura Jacksack
Signature

State of Illinois)
)
County of Cook)

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In The Matter Of)	
Illinois State Board of Elections,)	
Complainant)	
)	
v.)	No. 14 MQ 093
)	
Citizens for Bitá Buenrostro,)	
Respondent.)	

AFFIDAVIT OF MARCUS BUENROSTRO

I, Marcus Buenrostro, the Chairman and Treasurer of Citizens for Bitá Buenrostro ("the Committee"), am of legal age, under no legal disability, and first being duly sworn, depose and state that the Committee can offer good reasons and defenses to the assessment of a civil penalty in the matter, and that such reasons and defenses are:

1. I have personal knowledge of the matters stated herein.
2. I am the Chairman and Treasurer of Citizens for Bitá Buenrostro ("the Committee").
3. Prior to the April 15, 2014 campaign finance quarterly filing deadline, I timely filed the Committee's March 2014 Quarterly report. I received the IDIS notification on my computer screen that the report was filed successfully. We filed using IDIS v2.0 software, which we had used successfully in the past.

5. Approximately one week later, I was contacted by the State Board of Elections ("SBE") and informed that the Committee had not filed a March 2014 report. I communicated that we had, in fact, timely filed the report and the software had provided the screen message that it was filed successfully. The SBE employee asked questions regarding our filing and, upon learning of the SBE software version we had, communicated that the SBE had not received some committees' quarterly reports filed with that "old" software, and that the Committee must have been one of those committees whose reports the Board did not receive due to software issues.

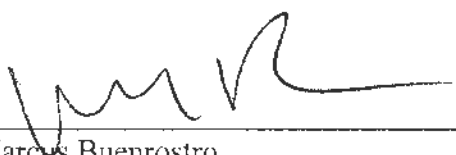
6. The SBE employee was extremely helpful and walked us through re-filing our March 2014 quarterly report with the new software. The SBE employee took the extra trouble to confirm on their end that they had, in fact, received our report this second time around.

7. It was my understanding from the phone call that because we had timely filed, and because the SBE was aware that the software was not transmitting all reports properly, that our report would be considered timely filed and there was nothing more we needed to do.

8. The Committee takes campaign finance responsibilities seriously, and any of the actions that are alleged to be violations referred to herein were committed inadvertently, and without any intent whatsoever to violate the Campaign Finance Law.

9. By signing my name below I certify, on my oath, under the felony penalties of perjury as provided by section 1-109 of the Illinois Code of Civil Procedure, that I have personal knowledge of the facts stated above, that the facts set forth in this affidavit are true and correct and that I believe that they are true.

10. Affiant further sayeth not.



Marcus Buenrostro
Citizens for Bita Buenrostro

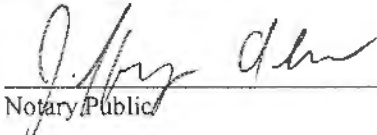
State of Illinois)
) SS
County of Cook)

I, the undersigned, a Notary Public in and for the County and State aforesaid, do hereby certify that Marcus Buenrostro appeared before me this day in person, and signed this affidavit of her free and voluntary act, for the uses and purposes therein set forth.

Given under my hand and official notarial seal this 16 day of November, 2014.

(Seal)





Notary Public

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
Illinois State Board of Elections)
 Complainant(s),)
Vs.) Case No. 14 MQ 093
)
Citizens for Bitá Buenrostro)
 Respondent(s).)

FINAL ORDER

TO: Citizens for Bitá Buenrostro
 2712 N Wilton Ave
 Chicago, IL 60614-1424

ID# 22801

This matter coming to be heard this 21st day of October, 2014 as a Motion for Reconsideration of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections reading the recommendation of the General Counsel and now being fully advised in the premises,

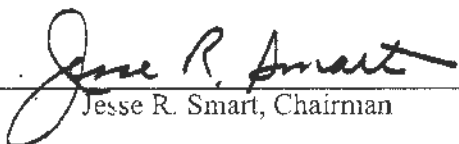
THE BOARD FINDS:

1. A Motion for Reconsideration was filed by the Respondent requesting the acceptance of an appeal of a civil penalty assessment submitted subsequent to the 30 day deadline.

IT IS ORDERED:

1. The Motion for Reconsideration is GRANTED; and
2. The committee file an appeal of the civil penalty within 30 days of the effective date of this Order, and
3. The effective date of this Order is October 23, 2014, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/23/2014



Jesse R. Smart, Chairman

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
July 22, 2014
ID# 22801

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

Citizens for Bitá Buenrostro
Marcus Buenrostro
2712 N Wilton Ave
Chicago, IL 60614-1424

7012 3460 0001 3858 1252

Dear Citizens for Bitá Buenrostro:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2014 through March 31, 2014
Filing Period:	April 1, 2014 through April 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/25/2014, 8 day(s) late. As such, this committee has been assessed a fine of \$400.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2012	Quarterly	\$200.00
TOTAL AMOUNT NOW DUE		\$600.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AD 034

Citizens for Darin LaHood ID# 23532
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

~~The Committee received a \$1,000 contribution on 10/1/14 and reported it on a Schedule A-1 received by the Board on 10/10/14, 2 days late, resulting in a civil penalty assessment of \$500.~~

Norma Goodale, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Goodale states that the date of receipt for the contribution was reported in error. She says the contribution was actually deposited into the Committee's bank account on 10/10/14, and she includes a copy of the check and the committee's bank deposit log as evidence.

Based on the evidence provided, the correct date of receipt for the contribution was 10/10/14 – the date it was deposited. The A-1 was therefore timely filed and I recommend the appeal be granted. However, I also recommend the Committee be ordered to amend its Quarterly Report to reflect the correct receipt date, with this appeal to be filed within 30 days of the date of the Final Board Order in this matter. (As of 12/31/14, this Committee reported a funds available balance of \$284,698.19)



Tom Newman – Hearing Officer
April 1, 2015

State of Illinois)
County of PEORIA)

STATE BOARD OF ELECTIONS

15 MAR 20 PM 4:13

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Darin LaHood)
Respondent(s).)

Case No. 15AD034

APPEAL AFFIDAVIT

I, Norma L. Goodale, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Darin LaHood
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

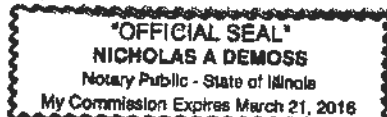
A typographical error was made when a batch of checks containing check number 0001108, Chicago Land Speedway, for \$1000.00 was entered into the system with a contribution date of 10/1/2014 instead of 10/10/2014 the actual date the batch/check was deposited.
This caused the A1 report to appear filed late.
Following is a list of documents supporting this claim.

- 1) Document 1 copy of check in question.
- 2) Document 2 copy of batch scanned into the bank containing the check #0001108.
- 3) Document 3 detail of check in batch, #0001108.
- 4) Bank statement PNC, 10/1/2014-10/31/2014 showing deposit date of scanned batch.

Signed and Sworn to by:

NORMA L. GOODALE
before me this 20th Day of
MARCH, 2015
[Signature]
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



Document 1

Front Image

THIS DOCUMENT HAS THERMOCHROMATIC INK ON THE BACK.

CHICAGOLAND SPEEDWAY, LLC 500 Speedway Blvd Joliet, IL 60433	SUNTRUST BANK 299 Bill France Blvd Daytona Beach, FL 32114 Date Sep/18/2014	0001108 64-10610
---	---	----------------------------

ONE THOUSAND AND XX / 100 DOLLAR **\$1,000.00*****

Pay To The Order Of **CITIZENS FOR DARIN LAHOOD**
c/o Chicagoland Speedway
500 Speedway Blvd
Joliet, IL 60433

NOT VALID AFTER 30 DAYS *Sean Kennedy*
Authorized Signature

34571P

Check Details

Routing Number	[REDACTED]
Account Number	[REDACTED]
Check Number	0001108
Amount	1,000.00
Customer Name	

Document Z



Deposit Ticket: DT 20141010 001
Date: 10/10/2014
Description: Oct. Deposit Sept Receipts
Location: Citizens for Darin Lahood
Control Total: 7,250.00
Control Count: 5
Control Total Difference: 0.00
Control Count Difference: 0
Deposited to Account: XXXX
Bank Name: PNC Bank
Bank Address: P7-PFSC-03-H, PITTSBURGH, PA 15219

SNo	Customer Name	ABA #	ACC #	Check No	Amount	Status
1		071025661	3000000000	001589	5,000.00	Processed
2		061209756	2079900011903	0005779554	500.00	Processed
3		081906013	9000000000	001045	500.00	Processed
4		061000104	1000000000	0001108	1,000.00	Processed
5		271183701	0100000000	2680	250.00	Processed

Deposit Total: 7,250.00
Deposit Count: 5
Rejected Count: 0

Document 3

Check 4

Check Details	
Routing Number	
Account Number	
Check Number	0001108
Amount	1,000.00
Customer Name	

Document # 4

Business Basic Checking

+ or 24-hour account information, sign-on to
pnc.com/mybusiness/

Business Basic Checking Account Number [REDACTED] - continued

For the Period 10/01/2014 to 10/31/2014

Citizens For Darin Lahood

Primary Account Number: [REDACTED]

Page 2 of 4

Activity Detail

Deposits and Other Additions

Deposits

Date posted	Amount	Transaction description	Reference number
10/07	2,500.00	Remote Capture 55550001	074389352
10/10	7,250.00	Remote Capture 55550001	071147555
10/15	3,100.00	Remote Capture 55550001	076321927
10/21	3,850.66	Remote Capture 55550001	075708606

Checks and Other Deductions

Checks and Substitute Checks

* Gap in check sequence

Date posted	Check number	Amount	Reference number	Date posted	Check number	Amount	Reference number	Date posted	Check number	Amount	Reference number
10/23	2401 *	[REDACTED]	090267319	10/11	2672 *	500.00	095197957	10/10	2596 *	100.00	090254056
10/28	2411 *	[REDACTED]	090507089	10/11	[REDACTED]	2,500.00	090038310	10/09	2597	50.00	090703557
10/06	2413 *	[REDACTED]	090721827	10/12	[REDACTED]	100.00	074151968	10/20	2609 *	225.00	090890708
10/01	2425	[REDACTED]	090737374	10/13	[REDACTED]	100.00	090617489	10/09	2703 *	28.17	090077771
10/03	2426	[REDACTED]	090735977	10/23	2679	514.09	090684429	10/17	2705 *	250.00	090518764
10/08	2427	[REDACTED]	09073471	10/14	2687 *	500.00	090373647	10/16	2706	50.00	090608522
10/05	2428	35.00	090735289	10/31	2682	1,000.00	090767284	10/02	2707	1,000.00	090359169
10/09	2429	[REDACTED]	090735253	10/01	2681	1,000.00	090854424	10/24	2708	2,500.00	090570095
10/22	2433 *	35.00	090543976	10/14	2690 *	50.00	090113153	10/07	2709	50.00	090169189
10/01	2657 *	250.00	090588931	[REDACTED]	[REDACTED]	250.00	090331646	10/31	2711 *	250.00	090420211

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Citizens for Darin LaHood
Darin LaHood
11607 N Glenshire Dr
Dunlap, IL 61525-8810

ID# 23532

7014 2120 0001 1723 2454

Dear Citizens for Darin LaHood:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Chicago Land Speedway	10/1/2014	\$1000	10/10/2014	2	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 DQ 080

Friends of Laura Fine for State Rep
Respondent

ID# 24013

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquently Filing
the December 2014 Quarterly Report

The Quarterly Report was received by the Board on 1/16/14, 1 day late, resulting in a civil penalty assessment of \$50.

Michael Kreloff, the Chairman/Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, the Committee states they had technical difficulties with IDIS. For unknown reasons some of the entries made were now missing. The Committee contacted the Board to attempt to resolve & correct all the data. Time ran out and the Report was filed 10 minutes after the deadline. The Committee continued to work on the problem after the deadline. They were able to correct the D2 and filed an amended Report on 1/24/15.

In order to be consistent with previous Board rulings where an electronic filing defense is raised, and since this Committee has not previously raised such a defense, I recommend the appeal be granted.

(As of 12/31/14, this Committee reported a funds available balance of \$67,364.40.)



Kim Patrick – Hearing Officer
April 1, 2015

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

1550080

Michael Kreloff
Michael Kreloff, Treasurer

Signed and sworn to by:

Michael A Kreloff
before me this 16 day of
March, 2015.

Sandra Gutshall
Notary Public



BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

Respondent.)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Friends of Laura Fine for State Rep
1700 Constitution Drive
Glenview, IL 60026

ID# 24013

7014 2120 0001 1723 4076

Dear Friends of Laura Fine for State Rep:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contributions and Expenditures
Report Period: October 1, 2014 through December 31, 2014
Filing Period: January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 1/16/2015, 1 days late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward", is written over a light blue horizontal line.

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 DQ 090

We the People

ID# 24596

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the December 2014 Quarterly Report

The Quarterly Report was received by the Board on 1/21/14, 3 days late, resulting in a civil penalty assessment of \$150. Additionally, the Committee had previously been assessed a civil penalty of \$275 (not appealed, stayed) for delinquently filing the June 2013 Quarterly report. The total assessment is \$425.

Francis Tobin, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Tobin states the Committee had technical difficulties accessing the website over several days. He adds that the Committee called the Board and was unable to reach anyone at first. The Committee eventually was able to acquire a new password and file the report.

In order to be consistent with previous Board rulings where an electronic filing defense is raised, and since this Committee has not previously raised such a defense, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous \$275 assessment.

(As of 12/31/14, this Committee reported a funds available balance of \$0.)



Kim Patrick – Hearing Officer
March 26, 2015

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS

15 MAR 12 AM 8:50

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
We the People)
Respondent(s).)

Case No. 15DQ090

APPEAL AFFIDAVIT

I, Francis Tobin, the Chairman of the
(Name) (Chairman/Treasurer)
We the People
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

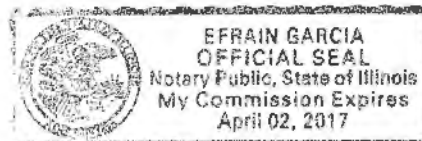
- ① Technical internet difficulties prevented us accessing the report website over several days. Calls to ISBE didn't get through at first & we were locked out of the site. Eventually ^{got thru} ~~at~~ very helpful staff who got us new password & it was filed.
- ② Filing a committee that had no income & no expenses does not serve the public purpose of the law, which is to inform/disclose re money in politics. The fin is onerous, destruction of the committee's purpose and excessive.

Signature of Chairman/Treasurer

Signed and Sworn to by: _____

before me this 5th Day of March, 2015

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

ID# 24596

We the People
Francis X. Tobin
1442 West Pratt #1
Chicago, IL 60626

7014 2120 0001 1723 2751

Dear We the People:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2014 through December 31, 2014
Filing Period:	January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 1/21/2015, 3 day(s) late. As such, this committee has been assessed a fine of \$150.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2013	Quarterly	\$275
TOTAL AMOUNT NOW DUE		\$425.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

14 MA 028

Friends of Jay Travis (ID 25569)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing

The June 2014 Quarterly Report

The Report was received by the Board on July 24, 2014, 7 days late, resulting in a civil penalty assessment of \$350. Also the committee is assessed a \$3208 civil penalty for delinquently filing 1st Quarter 2014 Schedule A-1 report. The total assessment is \$3,708.

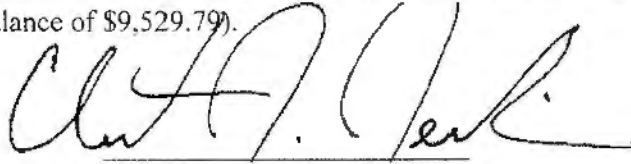
Karen Colbert, Treasurer of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on January 28, 2014.

Attorney James Nally appeared on behalf of the Committee. Also appearing was the candidate, Ms. Jay Travis, and Ms. Princella Lee. The Committee stated that an in-kind contribution was provided by Chicago Teachers Union (CTU) for the March 18, 2014 General Primary election. This contribution consisted of volunteer staff time at the polling places. Committee remained unaware of the in-kind contribution prior to receiving a notification form on April 8th, 2014. This notification was received by the committee 14 days after the service he been completed. CTU had given the committee monetary donations in the past which were all filed timely on Schedule A-1 reports. Ms. Lee filed the Committee's March 2014 Quarterly report timely on April 15th which listed the CTU in-kind contribution on the date it occurred.

The committee believed they had filed the June 2014 Quarterly timely. Ms. Lee stated she filed two reports using the IDIS website on July 15, 2014. She believed both were filed successfully until receiving notice to the contrary. The committee received a notice, by mail, informing them that their June Quarterly report was delinquent. Upon receipt, Ms. Lee called Board of Elections staff and received assistance filing the Quarterly report. Committee stated they followed the same procedures used to file previous report, and believe that a technical glitch occurred.

In regards to the \$3,208 assessment for the failure to file the Schedule A-1 from Chicago Teachers Union, I recommend the appeal be granted. The Committee produced sufficient evidence to support its claim; they were not made aware of the in-kind contribution until weeks after it had taken place. Also the Quarterly report was filed within 5 business days of the notification, making said A-1 contribution reported timely.

In regard to the late June 2014 Quarterly Report assessment of \$350, being consistent with previous Board decisions where an electronic filing issue prevented filing, I recommend the appeal be granted. The committee has been made aware by B.O.E staff that when they file reports to make sure that it states that the report has been "Successfully Filed" and to check for individual report receipts. The Committee should also be aware that a second use of this defense may not be accepted. If this recommendation is accepted by the Board, the total assessment of \$3,708 will be abated. (As of 12/31/14, this Committee reported a funds available balance of \$9,529.79).

A handwritten signature in black ink, appearing to read "Clinton J. Jenkins", written over a horizontal line.

Clinton J. Jenkins – Hearing Officer

February 18, 2014

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:

SBZ
Complainant(s)

v.

Friends of Jay Travis
Respondent(s)

No. 14BA028

APPEARANCE

The undersigned enters the appearance of (check one):

☐ COMPLAINANT(S)

or

☒ RESPONDENT(S)

Friends of Jay Travis
(insert name)

Check one: ☐ Attorney ☐ Pro Se

Name: James P. Nally PC

Address: 85 M. St. #350

City/State/Zip: MD DE 20600

Telephone: 312 422 5560

Fax No.: 312 342 7999

Email address: jpnlaw @ att.net

Will you accept service of documents via FAX transmission? Check one: ☒ Yes ☐ No

↓ email

[Signature]

Signature

State of Illinois)

County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS
14 DEC -3 PM 3:30

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Friends of Jay Travis)

Respondent(s).)

Case No. 14MB028

APPEAL AFFIDAVIT

I, Karen Colbert, the treasurer of the
(Name) (Chairman/Treasurer)

Friends of Jay Travis

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

We have mitigating circumstances. On election day, March 18, an in-kind service was received, however, our committee remained unaware of the in-kind donation prior to receiving documentation on or around April 8 or, 3 weeks after the service was received. The contributor did not notify us of the donated in-kind service until 14 days after the service was received. The system did not generate a a one filing so we were unaware that we needed to file an a one on the in-kind donation. We filed our Quarterly Report with the state campaign disclosure department on April 15, or approximately 5 business days after receiving notification.

We filed our Quaterly Report on July 15 at the same time we filed an ammendment for a previous report, and were shocked to be notified by mail that our report had not been filed. We followed the same procedures that we followed with previous reports, and believe that a technical glitch occurred. (I completed the report then went to file it. The report said that it was 'filing' it) We have never filed a report late and request leniency, as this has never happened before and will never happen again.

Signed and Sworn to by:

Karen Colbert
before me this 2 Day of
December, 2014

Princella E. Lee

PRINCELLA E. LEE

OFFICIAL SEAL

Notary Public - State of Illinois

My Commission Expires

March 18, 2018

Ka Colbert
(Signature of Chairman/Treasurer)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
November 14, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Friends of Jay Travis
1507 E. 53rd Street #490
Chicago, IL 60653

ID# 25569

7013 1710 0001 8744 7391

Dear Friends of Jay Travis:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June 2014, 2014 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2014 through June 30, 2014
Filing Period:	July 1, 2014 through July 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/24/2014, 7 day(s) late. As such, this committee has been assessed a fine of \$350.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Chicago Teachers Union	3/18/2014	\$6415.80	*	15	\$3208

The committee is fined a **total** of \$3208.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$321.00, (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$671.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed by December 15, 2014. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1343.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

* This contribution was reported on the Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AD 042

Citizens for Jim Moynihan ID# 25619
Respondent

REPORT OF HEARING OFFICER

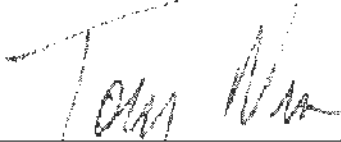
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$13,138 in-kind contribution on 10/28/14, and reported it on a Schedule A-1 received by the Board on 10/31/14, 1 day late, resulting in a civil penalty assessment of \$6,569. The Committee also received in-kind contributions of \$1,345.09 and \$2,019.45 on 10/29/14 and reported both on a Schedule A-1 received by the Board on 11/1/14, 1 day late, resulting in a civil penalty assessment of \$1,683. The total assessment is \$8,251.

James Moynihan, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Moynihan states that the three contributions were incorrectly reported using the dates of the contributions rather than the dates the Committee received notification of the in-kinds from the contributors. He includes copies of emails received from the contributors as evidence of this claim.

Based on the evidence provided, it is clear that the contributions were reported using incorrect dates. Because the date of receipt of an in-kind contribution is defined in disclosure law as the date the notice of the in-kind is received, it appears the Committee did in fact timely report the contributions. I therefore recommend the appeal be granted. I further recommend the Committee be ordered to amend its December 2014 Quarterly Report to reflect the correct dates of receipt for the contributions, with that amendment to be filed within 30 days of the date of the Final Board Order in this matter. (As of 12/31/14, this Committee reported a funds available balance of \$7,026.19)



Tom Newman – Hearing Officer
April 1, 2015

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

15 MAR 12 AM 10:48

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Jim Moynihan)
Respondent(s).)

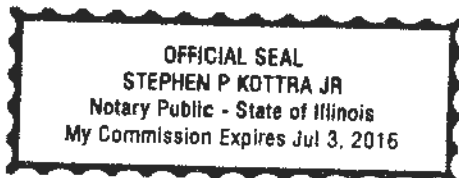
Case No. 15AD042

APPEAL AFFIDAVIT

I, James F. Moynihan, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens for Jim Moynihan
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I submit that the three A1's in question were not filed late due to the fact that the contribution dates were reported rather than the dates received. The explanation for each can be found on the attached hard copy documentation. See attachments



Signed and Sworn to by:
Stephen P. Kottra Jr.
before me this 11 Day of
March, 2011-2015
Stephen P. Kottra Jr.
Notary Public

James F. Moynihan
(Signature of Chairman/Treasurer)

The In-Kind contribution form from the Illinois Republican Party with a contribution date of 10/28/2014 was emailed to me on 10/30/2014. Upon receipt we filed our A1 which you received on 10/31/2014. Attached you will find a copy of the email from 10/30/2014 which references attachment **Moynihan 13138.pdf**. Also attached is the pdf for this contribution of \$13,138.

The other two In-Kind contribution forms from the House Republican Organization in the amounts of \$1,343.09 and \$2,019.45 respectively both show a contribution date of 10/29/2014. The in-kinds in question from HRO were dated 10/29/14 and mailed via the USPS. An assistant to our treasurer picked up the date on the In-kind itself rather than posting the date received. Since the House Republican Organization does not email in-kinds, the soonest we could have received them via the mail would be somewhere between 10/30 and 11/1. Upon receipt we filed our A1's which you received on 11/1/2014. Attached you will find a copies of the two In-Kind contribution forms along with an email from the House Republican Organization attesting to the fact that these were mailed on the contribution date and therefore could not have been received by us via USPS delivery no sooner than 10/30/2014.

Thank you for your prompt consideration of this matter. Please feel free to contact me should you have any additional questions. I await your decision.

[Print](#) | [Close Window](#)

Subject: In-Kind Notification - Moynihan

From: Jayme Odom <jodom@ilgop.org>

Date: Thu, Oct 30, 2014 6:55 pm

To: <info@immoynihan.net>, <pdesio@tax-guys.com>

Cc: John Fogarty <fogartyjr@gmail.com>, Dave Krahm <Dkrahm@ilhro.com>

Attach: moynihan 13138.pdf

Please see the attached in-kind notification and let me know if you have any questions.

Thanks,

Jayme Odom

Executive Director

Office: 312/201-9000

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IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

STATE BOARD OF ELECTIONS

15 MAR 12 AM 10:48

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, occupation & employer must be provided.

To Candidate/Political Committee:

Citizens for Jim Moynihan

1204 Sharon Lane

Schaumburg, IL 60193

From:

Illinois Republican Party

PO Box 64897

Chicago, IL 60664

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).

Candidate Command LLC
1420 NW Vivion, Ste. 113
Kansas City, MO 64118

Date Value
10/28/14

\$13,138.00

Description

printing & postage

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

In-Kind Contribution Notification

STATE BOARD OF ELECTIONS
15 MAR 12 AM 10:48

TO: Citizens for Jim Moynihan

1204 Sharon Lane

Schaumburg

IL

60193-

FROM: House Republican Organization

16W281 83rd St

Suite D

Burr Ridge

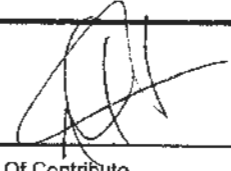
IL

60527

In-Kind Contribution

Vendor

Ron Feldman 542 Glasier Trail Roseille IL 60172-	Date 10/29/2014	Value \$2,019.45
	Description Payroll	


Signature Of Contributor

In-Kind Contribution Notification

STATE BOARD OF ELECTIONS

15 MAR 12 AM 10:48

TO: Citizens for Jim Moynihan

FROM: House Republican Organization

1204 Sharon Lane

16W281 83rd St

Schaumburg

Suite D

IL

Burr Ridge

60193-

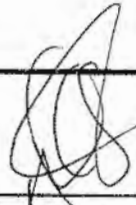
IL

60527

In-Kind Contribution

Vendor

Vendor	Date	Value
	Description	
Jordan Ryan 2821 N. Clybourne Ave Chicago IL 60518-	10/29/2014 Mileage	\$1,343.09


Signature Of Contributor

[Print](#) | [Close Window](#)

STATE BOARD OF ELECTIONS

15 MAR 12 AM 10:48

Subject: InKind**From:** Dave Krahn <dkrahn@ilhro.com>**Date:** Tue, Mar 10, 2015 9:41 am**To:** Jim Moynihan <info@jimmoynihan.net>

Jim:

In reference to your letter from the State Board of Elections...

I understand you had an interim treasurer in the heart of the campaign which created the problem. The in-kinds in question from HRO were dated 10/29/14 and mailed via the USPS. It appears that your treasurer picked up the date on the In-kind itself rather than posting the date received. I would suggest a letter to SBE explaining what happened and hopefully that will straighten this out. We do not email in-kinds so the soonest you could have received it via the mail would be somewhere between 10/30 and 11/1. I know you guys were extremely diligent in your reporting functions and I'm sure this will be resolved quickly by the State Board. If you need anything else, please don't hesitate to call.

Dave

David D. Krahn

Executive Director

House Republican Organization

Phone: 630-908-7685

Cell: 630-373-3299

Fax: 630-908-7953

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STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Citizens for Jim Moynihan
Jim Moynihan
1204 Sharon Lane
Schaumburg, IL 60193

ID# 25619

7014 2120 0001 1716 4953

Dear Citizens for Jim Moynihan:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Illinois Republican Party	10/28/2014	\$13138	10/31/2014	1	\$6569
House Republican Org.	10/29/2014	\$1345.09	11/1/2014	1	\$673
House Republican Org	10/29/2014	\$2019.45	11/1/2014	1	\$1010

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$8251.00 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

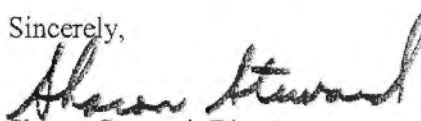
Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$825.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 AS 060

Allan Beaber for Sheriff ID# 25663
Respondent

REPORT OF HEARING OFFICER

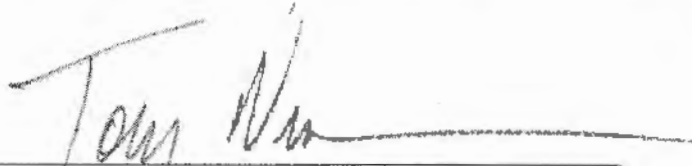
Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$3,886 contribution on 9/28/14 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$1,943.

Kevin Beaber, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Beaber states that the contribution in question was actually proceeds from a fundraising event in which no one contributed more than \$25 per ticket. He says his records include the ticket stubs with each purchaser's name and dollar amount, but the figure entered on the Committee's Quarterly Report was the grand total from the fundraiser.

A review of the Committee's Quarterly Reports shows an expenditure for a fundraiser held in late September, making Mr. Beaber's explanation plausible. Since the contribution in question was actually an aggregate of smaller contributions, no A-1 was required. I recommend the appeal be granted. (As of 12/31/14, this Committee had filed a Final Report and reported a funds available balance of \$0.)



Tom Newman – Hearing Officer
March 27, 2015

State of Illinois
County of: BUREAU

STATE BOARD OF ELECTIONS
15 MAR -5 PM 1:43

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;
ILLINOIS STATE BOARD OF ELECTIONS,
Complainant
COMMITTEE TO ELECT
ALLAN BEABER SHERIFF
Respondent(s).

Case No. 14-AS060

APPEAL AFFIDAVIT

KEVIN D. BEABER the TREASURER of the
(Name) (Chairman/Treasurer)
COMMITTEE TO ELECT ALLAN BEABER
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
son or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE \$13886 COLLECTED ON 9/28/14 WAS THE RESULT OF A FUND RAISER/DIN-
NER WITH INDIVIDUAL TICKETS SOLD. NO ONE PURCHASED MORE THAN \$25.00
TICKET. I HAVE THE TICKET STUBS WITH NAMES AND DOLLAR AMOUNTS.
THE ABOVE DOLLAR AMOUNT WAS THE GRAND TOTAL OF ALL TICKETS FOR THE
FUND RAISER/DINNER.



Kevin D. Beaber
Signature of Chairman/Treasurer

815-875-8762

and Sworn to by:
Jean F. Welte
to me this 3 Day of March 2015
Notary Public

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Allan Beaber for Sheriff
444 Griswold Street
Princeton, IL 61356

ID# 25663

7014 2120 0001 1723 3161

Dear Allan Beaber for Sheriff:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Kevin Beaber	9/28/2014	\$3886	*	42	\$1943

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1943.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$194.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AD 048

Keiana Barrett for 7th Ward ID# 25857
Respondent

REPORT OF HEARING OFFICER

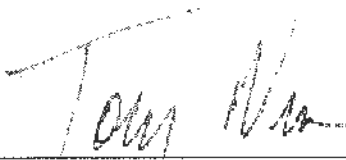
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

~~The Committee received a \$1,105 contribution on 10/18/14 and a \$1,105 contribution on 10/19/14, and reported both on a Schedule A-1 received by the Board on 10/27/14, 1 day late, resulting in a civil penalty assessment of \$1,105.~~

Jerald Davis, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Davis states that the two contributions were reported incorrectly and should not have existed. He says both entries were deleted and an amended Quarterly Report was filed by the Committee.

A review of the Committee's reports indicates the contributions in question were in-kind contributions for headquarters rent. The Committee originally reported three such in-kinds for the month of October, but in all previous and subsequent months a single contribution for headquarters rent was listed. It is therefore entirely plausible that the two contributions in question were erroneously entered, and since the Committee has already amended its Quarterly Report, no further action is necessary. I recommend the appeal be granted. (As of 12/31/14, this Committee reported a funds available balance of \$3,742.77)



Tom Newman – Hearing Officer
April 1, 2015

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

15 MAR 20 PM 2:18

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
K.B FOR 7TH WARD)
Respondent(s).)

Case No. 15AD048

APPEAL AFFIDAVIT

I, JERARD DAVIS, the TREASURER of the
(Name) (Chairman/Treasurer)

TREASURER
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THOSE TWO TRANSACTION WERE FILED INCORRECTLY AND SHOULD
NOT HAVE EXISTED, THEY WERE DELETED & A CORRECTED
REPORT WAS FILED

Jerard Davis
Signature of Chairman/Treasurer

Signed and Sworn to by:

JERARD K DAVIS

before me this 17 Day of March, 2015

Notary Public Yvette Belcher
(seal)

"OFFICIAL SEAL"
YVETTE BELCHER
Notary Public, State of Illinois
My Commission Expires May 25, 2015

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Keiana Barrett for 7th Ward
Keiana Barrett
8043 S. South Shore Drive
Chicago, IL 60617

ID# 25857

7014 2120 0001 1716 0047

Dear Keiana Barrett for 7th Ward:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Pangea Ventures, LLC-Pangea Real Estate	10/19/2014	\$1105	10/27/2014	1	\$553
Pangea Ventures, LLC-Pangea Real Estate	10/18/2014	\$1105	10/27/2014	1	\$553

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1105.00 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

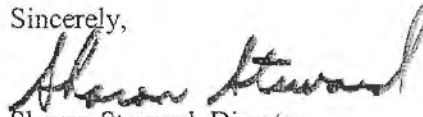
Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$111.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 AS 074

Working America ID# 25990
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$215,123 contribution on 7/1/14 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$107,562.

Greg Holger, the Attorney for the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Holger states that Working America is a union that registered an Independent Expenditure Committee in July 2014 for the exclusive purpose of making independent expenditures regarding Illinois candidates. He states that Working America used its own union treasury funds to make its independent expenditures, but he argues that there is no requirement in Illinois law that such funding of these expenditures be treated as contributions, and therefore Working America did not actually receive any contributions and was not required to file a Schedule A-1. Mr. Holger indicates that the only reason Working America reported the \$215,123 receipt was in response to an inquiry from Board staff regarding the September 2014 Quarterly Report filed by the Committee, which showed numerous independent expenditures but no receipts. He says the amended Report showing the receipt in question was merely an expediency for balancing the Report. Mr. Holger goes on to argue that because the definition of "contribution" in Illinois law uses the term "knowingly received in connection with an election", and since Working America only spent treasury funds and did not receive those funds in connection with an election, they technically did not have any "receipts". He further claims that the Board's own Guide to Campaign Disclosure states that labor unions that make donations from treasury funds are not subject to disclosure law, leaving what he calls the "clear implication" that unions paying for independent expenditures from their own funds are not making contributions, and do not have to file an A-1.

Mr. Holger makes an additional argument, that even if the money in question was considered to be a contribution, it was not subject to A-1 reporting because the Committee did not make any single expenditure in excess of \$1,000. Therefore, he says if any contributions did occur, each contribution was received in connection with a corresponding expenditure, none of which were in excess of \$1,000. He adds that Working America has fully and timely disclosed all of its independent expenditures, and that any attempt to penalize it for making those expenditures places an undue burden on Working America's exercise of its constitutional rights – specifically citing the US Supreme Court decision in *Citizens United*.

It should be noted that Mr. Holger is not listed as an officer of the Committee in its filings with the Board, only as the Committee's attorney on the Appeal Affidavit and Waiver of Appearance. Section 125.60(b) of the Board's Rules and Regulations dealing with Appearances states, "*The Board is not authorized to permit attorneys not licensed in the State of Illinois to appear in its proceedings. However, attorneys licensed in states other than Illinois may apply to the Illinois Supreme Court for the right to practice before the Board...*" A review of the Attorney Registration & Disciplinary Commission of the Supreme Court of Illinois shows no listing for Mr. Holger as a lawyer licensed in Illinois. So the Board may wish to disallow his representation of the Committee in

this matter. However, in the alternative, if the Board wishes to allow Mr. Holger's appeal to proceed as presented, my recommendation follows.

To begin, I am unconvinced by Mr. Holger's argument that Working America did not receive any actual contributions. While it is true that Illinois disclosure law and the Board's Rules and Regulations indicate that a union does not become a political committee simply by making contributions from its general funds, Working America became a political committee as a result of the independent expenditures it made. 10 ILCS Section 5/9-8.6(b), in defining independent expenditures, states, "*Any entity other than a natural person that makes expenditures of any kind in an aggregate amount exceeding \$3,000 during any 12-month period supporting or opposing a public official or candidate must organize as a political committee in accordance with this Article.*" As an independent expenditure committee, Working America is not subject to contribution limits for the money it receives, but it is subject to the same reporting requirements as any other political committee in Illinois, namely the reporting of all receipts and expenditures. Mr. Holger's claim that Illinois law does not "indicate that organizations making expenditures from their own funds must file Form A-1 contribution reports" is false. As a committee, Working America is required to file A-1s and all other required disclosure reports. Similarly, the argument that Working America did not technically have any receipts because it did not receive money in connection with an election doesn't work. Mr. Holger's own summary of facts states that Working America formed its Committee "for the exclusive purpose of making independent expenditures regarding Illinois candidates." Funds received by the Committee were therefore clearly intended to be used in connection with an election even if, as Mr. Holger seems to be saying, they were not intended for a specific election.

~~Part of the problem with Mr. Holger's arguments is that he does not make any distinction between~~ Working America the labor union and Working America the independent expenditure committee formed by that union. Even if only on paper, they are two separate entities. This is demonstrated by the fact that the only expenditures reported by the Committee are those occurring in Illinois, but the parent union's website indicates it has a presence in all 50 states, including offices in multiple states. If there is truly no distinction between the union and the Committee, the Committee's reports would list all expenditures, regardless of state. So money spent by the Committee was first, technically at least, transferred to the Committee by the parent union. The union can make contributions from its treasury without being subject to disclosure reporting requirements, but the Committee cannot receive money from the union without having to report it. Money transferred from the union into the Committee is subject to A-1 reporting requirements, if such transfers total \$1,000 or more.

This leads to Mr. Holger's argument that the corresponding contribution for each independent expenditure was less than \$1,000 and therefore did not require a Schedule A-1. I agree. The Committee appears to have been very diligent in reporting each and every independent expenditure it made, and each of these totals less than \$100. I therefore recommend the appeal be granted, since no single contribution actually required an A-1 filing. However, I further recommend the Committee be ordered to amend its September 2014 and December 2014 Quarterly Reports, with said amendments to be filed within 30 days of the Final Board Order in this matter. These Reports currently show only a single "lump sum" contribution from the parent union, but should instead show a contribution for each corresponding expenditure. I would note that following these Reports, the Committee appears to have changed its reporting to show similar "lump sum" contributions on later Reports, with corresponding A-1 Reports filed as well. This is an acceptable approach, but based on this recommendation to grant the appeal, the September and December Quarterly Reports must show a line item entry for each separate contribution. (As of 3/31/15, this Committee reported a funds available balance of \$0)



Tom Newman – Hearing Officer
April 9, 2015

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS
15 MAR 27 PM 3:10

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS.)
)
Complainant)
)
)
Working America)
Respondent(s).)

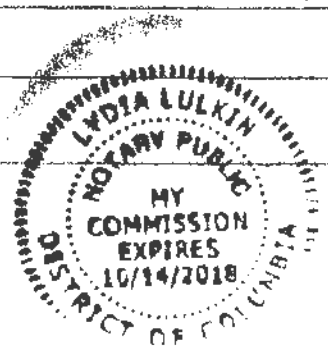
Case No. 14A5074

APPEAL AFFIDAVIT

Greg Holger _____ the attorney _____ of the
(Name) (Chairman/Treasurer)
Working America
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see the attached response.



Forrest Gregory Holger
Signature of Chairman/Treasurer
Attorney

Subscribed and Sworn to by:
[Signature]
Before me this 25 Day of March, 2015
Notary Public
at _____

Attachment A

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S. MacArthur Blvd
Springfield, Illinois 62704-4503
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St, Ste 14-100
Chicago, Illinois 60601-3232
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgamiller

BOARD MEMBERS
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Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

October 29, 2014

RECEIVED NOV 12 2014

Working America ID: 25990
815 16th St NW
Washington DC 20006

Dear Political Committee:

The Campaign Disclosure Division of the Illinois State Board of Elections administers and monitors the requirements of the disclosure statute of our state. As staff, one of my responsibilities is to review filed documents to assure apparent compliance of the law. During my review of your political committee's quarterly report from 7/1/2014-9/30/2014 a few issues were noted which require attention.

Upon review of the quarterly report from 7/1/2014-9/30/2014 it was noted that the committee reported several expenditures and no receipts of money coming into the committee. As such, further clarification is required to explain the manner in which the reported transactions were expended. Furthermore, independent expenditure committees are prohibited from making any expenditure in connection or coordination with another committee. Therefore, more information is required regarding the expenditures for staff salaries. Are the reported salaries for staff members working specifically with Working America, or are these staff members working directly with any outside campaigns?

Please review all committee documents and contact me with the required clarification. If you have any questions, feel free contact me at (312) 814-6476

Sincerely,

Michael J. Crowley
Election Specialist
Campaign Disclosure
State Board of Elections

Attachment B

FORM D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES Quarterly Report Amendment

FOR OFFICE USE ONLY
IDENTIFICATION NO.
25990

Working America
815 16th St NW
Washington, DC 20006

FILED
12/2/2014 10:50:00 AM

REPORTING PERIOD
7/1/2014 thru 9/30/2014

CASH AVAILABLE AT
THE BEGINNING OF THE
REPORTING PERIOD

\$0.00

SECTION A - RECEIPTS

1. Individual Contributions:

a. Itemized (from Schedule A) \$ 0.00
b. Not-Itemized \$ 0.00

2. Transfers In:

a. Itemized (from Schedule A) \$ 0.00
b. Not-Itemized \$ 0.00

3. Loans Received:

a. Itemized (from Schedule A) \$ 0.00
b. Not-Itemized \$ 0.00

4. Other Receipts:

a. Itemized (from Schedule A) \$ 215,123.00
b. Not-Itemized \$ 0.00
TOTAL RECEIPTS (1-4) \$ 215,123.00

5. In-Kind Contributions:

a. Itemized (from Schedule I) \$ 0.00
b. Not-Itemized \$ 0.00
TOTAL IN-KIND \$ 0.00

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

SECTION B - EXPENDITURES

6. Transfers Out:

a. Itemized (from Schedule B) 0.00
b. Not-Itemized 0.00

7. Loans made:

a. Itemized (from Schedule B) 0.00
b. Not-Itemized 0.00

8. Expenditures:

a. Itemized (from Schedule B) \$ 0.00
b. Not-Itemized \$ 0.00

9. Independent Expenditures:

a. Itemized (from Schedule B) \$ 0.00
b. Not-Itemized \$ 0.00

TOTAL EXPENDITURES (6-9) \$ 215,123.00

SECTION C - DEBTS AND OBLIGATIONS

10. a. Itemized (from Schedule C) \$ 0.00
b. Not-Itemized \$ 0.00
TOTAL DEBTS AND OBLIGATIONS \$ 0.00

SECTION D - CASH BALANCE

Funds available at the beginning
of the reporting period \$ 0.00
Total Receipts (Section A) \$ 215,123.00
Subtotal \$ 215,123.00
Total Expenditures (Section B) \$ 215,123.00
Funds available at the close of
the reporting period \$ 0.00

Investment Total \$ 0.00

VERIFICATION

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE REPORT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

James Cobb

SIGNATURE OF TREASURER OR CANDIDATE

12/2/2014 10:50:00AM

DATE

Filed Electronically

NAME OF POLITICAL COMMITTEE

REPORTING PERIOD

FILED

FOR OFFICE USE ONLY
IDENTIFICATION NO.

Description of Amended Information

Working America (a union) established an Independent Expenditure Only PAC and made independent expenditures using Working America general treasury funds. PAC reports were amended to show a receipt from Working America to the PAC.

NAME OF POLITICAL COMMITTEE

REPORTING PERIOD

FILED

FOR OFFICE USE ONLY
IDENTIFICATION NO.**SCHEDULE A****PART 4: OTHER RECEIPTS**

ITEMIZED RECEIPTS		DATE	AGGRAGATE AMOUNT	
FULL NAME, MAILING ADDRESS, AND ZIPCODE		RECEIVED	AMOUNT OF EACH RECEIPT	FOR THIS REPORTING PERIOD
Working America, 815 16th St NW Accounting Department , 3rd Floor Washington, DC 20006-4101 Description: Working America - General Treasury.		7/1/2014	\$215,123.00	\$215,123.00
			TOTAL FOR THIS PART:	\$215,123.00

Attachment C

UTRECHT, KLEINFELD, FIORI, PARTNERS

ATTORNEYS AT LAW

1900 M Street, NW Suite 500 Washington, DC 20036

Main (202) 778-4000

Facsimile (202) 842-5825

www.up-law.com

STATE BOARD OF ELECTIONS

15 MAR 27 PH 3:10

March 27, 2015

Sharon Steward
Campaign Disclosure Division
State Board of Elections
2329 MacArthur Blvd.
Springfield, IL 62704-4503

RE: *Working America Political Committee, ID# 25990*

Dear Ms. Steward,

This letter responds to your Office's failure to file notice dated February 27, 2015. Working America requests that your Agency withdraw its failure to file notice and remove any civil penalty assessed. Working America's expenditures regarding Illinois candidates were all independent expenditures. As such, Working America was engaging in constitutionally protected activity. Under the U.S. Supreme Court's decision in *Citizens United v. Federal Election Commission*, 558 U.S. 310 (2010), unions have a constitutional right to make independent expenditures from their treasury funds to expressly advocate the election or defeat of candidates for public office. Any attempt to assess a civil penalty against Working America for making independent expenditures, all of which were reported on Form B-1, places an undue burden on Working America's exercise of its constitutional rights. Moreover, Illinois law and regulations do not indicate that organizations making expenditures from their own funds must file Form A-1 contribution reports.

Summary of Facts

Working America is a union that registered an Independent Expenditure-Only PAC on July 22, 2014 for the exclusive purpose of making independent expenditures regarding Illinois candidates. Working America subsequently filed D-2 Quarterly reports and B-1 reports detailing all independent expenditures made in connection with Illinois elections. Working America's independent expenditures occurred in the form of a door-to-door canvass operation that communicated support of and opposition to certain Illinois candidates.

Your agency, by letter dated October 29, 2014, noted that Working America reported expenditures on its 2014 October quarterly report but disclosed no receipts and requested further clarification.¹ In addition, your office asked for more information regarding expenditures for staff salaries detailed on Working America reports and also asked whether staff salaries expenditures disclosed on

¹ Attachment A, Illinois State Board of Elections Letter Dated October 29, 2014.

Working America reports were for staff members working with Working America or whether the staff members were working with outside campaigns.²

In response to this inquiry, Working America's reports were amended to show a "receipt" from its general treasury in an amount equal to the organization's reported independent expenditures so that an in-coming amount was shown on the report to balance expenditures.³ In Working America's written response, we clarified that this amendment was merely to show a "receipt" of \$215,123, an amount equal to Working America's reported independent expenditures so that there would be an in-coming amount to balance expenditures.⁴ Since Working America used its own treasury funds to make its independent expenditures, we explained that no contributions would be reported.⁵ The reporting of a receipt was merely an expediency for balancing expenditures for purposes of the quarterly report.

Working America further clarified that its door-to-door canvassing was performed by the organization's own staff and that this canvass operation was not coordinated with any candidate, candidate political committee, political party committee or political action committee.⁶ The staff operated under the control and direction of Working America and the program maintained appropriate safeguards to prevent impermissible communications with any candidate, candidate political committee, political party committee or political action committee. Hence, Working America's expenditures were not coordinated with any candidate or political party and no contributions were made to any candidate, political party or other committee.

After receiving Working America's response, your agency initiated a failure to file notice stating that Working America did not timely file Schedule A-1 reports to disclose "contributions" in the amount of \$215,123.⁷ This notice also stated that a civil penalty has been assessed for failure to file (as per the Notice, this penalty is stayed, but would become due if additional delinquent filings occur). For the reasons stated below, Working America did not receive any contributions and therefore was not required to file Schedule A-1. Thus, any civil penalty imposed on Working America for failure to file Schedule A-1 is inappropriate.⁸

² *Id.*

³ Attachment B, Working America Amended Form D-2 for Reporting Period 7/1/2014 thru 9/30/2014

⁴ Attachment C, Working America's Written Response to Illinois State Board of Elections Letter Dated October 29, 2014.

⁵ *Id.*

⁶ *Id.*

⁷ See Attachment D, Working America Failure to File Notice Dated February 27, 2015

⁸ Please note that for any independent expenditures Working America makes regarding 2015 candidates, Form A-1 will be filed, pending a final disposition of this pending matter by your office. Working America continues to maintain that it is not required by law to file Form A-1, but will nevertheless file such form for 2015 activity until this issue is resolved.

1. Expenditures from Treasury Funds Are Not Subject to Reporting on Form A-1 as Contributions

Working America made its expenditures from its general treasury funds, and there is no requirement in Illinois state law that such funding of these expenditures be treated as contributions. The definition of contribution uses the term “knowingly received” in connection with an election.⁹ Since Working America spent its treasury funds and did not receive those funds in connection with an election, no contribution occurred. In fact, since expenditures were made from treasury funds, Working America technically did not have any “receipts.” Solely to resolve an inquiry from your agency and to deal with reporting in the context of a form that was not designed for independent expenditures made from treasury funds, Working America listed a “receipt” on its report in an amount that corresponded to the amount of independent expenditures made. This was done simply to show a zero cash balance on Section D of Form D-2.

The Illinois reporting scheme necessitates that funds used to pay for independent expenditures be reported as a “receipt” even where a union uses its own funds. As stated above, Working America amended its reports to disclose a receipt merely as an expediency for balancing expenditures for purposes of the quarterly report. Form A-1 requires only contributions to be disclosed, therefore Form A-1 was not required. The Form A-1 Instructions are clear that the A-1 is used to report contributions, and give absolutely no indication that an organization spending its own treasury funds must file this report.¹⁰ Moreover, your agency’s Guide to Campaign Disclosure states that labor unions that make “donations” from treasury funds are not covered by the disclosure law, leaving the clear implication that unions paying for independent expenditures from their own funds are not making contributions. (Illinois State Board of Elections “Guide to Campaign Disclosure 2015,” page 4.) Given that this Guide has been recently issued with a current date several years after *Citizens United*, the regulated community is entitled to rely on the representation that expenditures from treasury funds are not contributions covered by disclosure under Form A-1.

⁹ “‘Contribution’ means: (1) a gift, subscription, donation, dues, loan, advance, deposit of money, or anything of value, knowingly received in connection with the nomination for election, election, or retention of any candidate or person to or in public office or in connection with any question of public policy.” 10 ILCS 5/9-1.4(A).

¹⁰ On page one of Form A-1, the instructions state, “This form must be filed within 5 business days after receipt of any contribution of \$1000 or more...” (emphasis added). On page two, the instructions begin with a definition of “contribution” from 10 ILCS 5/9-10(d). This definition is followed by numbered instructions one through ten, which specify that *contributions* are to be reported (emphasis added):

- Instruction Number 1: an entity shall “...file a report of any contributions of \$1000 or more from a single source...”
- Instruction Number 4: provide the name and address of “...the contributor, date contribution was received and amount of contributions(s).”

Instruction Number 5 gives clarification to additional items that could be reported on an A-1. It reads, “A candidate’s own funds as well as Loans and In-Kind contributions must also be reported if they are \$1000 or more.” But in kind contributions and loans are within the statutory definition of contribution. Nowhere on the Form A-1 is there an indication that any other funding sources, such as a Union’s own funds, or a corporation’s own profits, be disclosed.

2. Because No Single Expenditure Exceeded \$1000, the Threshold for Form A-1 Was Never Met

Even if your agency was to conclude that Working America's funding of each independent expenditure was a contribution, Form A-1 filing was still not required. Filing Form A-1 is required any time a contribution is received by a political committee from a single source in excess of \$1000.¹¹ A review of Working America's B-1 forms, detailing all independent expenditures, shows that the committee did not make any single expenditure in excess of \$1,000. Therefore, when Working America funded each expenditure through its general treasury, there was no purported "contribution" that exceeded \$1000. As previously stated, the receipt shown on Working America's amended 2014 October Quarterly D-2 was included only in response to your inquiry as to the source of the funds for the independent expenditures. If any contributions did occur, each contribution was received in connection with a corresponding expenditure, none of which were in excess of \$1000.

Moreover, Form A-1 must be filed within 5 business days after *receipt* of any contribution of \$1000 or more.¹² Under 10 ILCS 5/9-10(d) of the Act, a contribution is received "on the date (i) a monetary contribution was deposited in a bank, financial institution, or other repository of funds for the committee, (ii) the date a committee receives notice a monetary contribution was deposited by an entity used to process financial transactions by credit card or other entity used for processing a monetary contribution that was deposited in a bank, financial institution, or other repository of funds for the committee, or (iii) the public official, candidate, or political committee receives the notification of contribution of goods or services." Inasmuch as Working America made independent expenditures from its general treasury funds, the organization never received a contribution as defined by the Act. Thus, there was no requirement to file the Form A-1 and no delinquent filing occurred.¹³

3. Full public disclosure of Working America's independent expenditure activities occurred.

Working America fully and timely disclosed all of its independent expenditures by filing nineteen separate reports on Form B-1 in the thirty days prior to the 2014 election. Additionally, in the days closer to the election, Working America filed its Form B-1 reports more frequently than required. At times, Working America disclosed its independent expenditures within twenty-four hours of date of the expenditure (see Form B-1 filed on October 31, 2014 disclosing expenditures dated October 30, 2014).

It is clear from these filings that Working America was the source of the independent expenditures. The Form B-1 reports were filed in Working America's name. Furthermore, the address

¹¹ 26 Ill Admin. Code 100.70(d)(2).

¹² 26 Ill Admin. Code 100.70(d)(2)(B).

¹³ Note that for any independent expenditures that Working America makes regarding 2015 candidates Form A-1 will be filed, pending a final disposition of this matter by your agency. Working America continues to maintain that it is not required by law to file Form A-1. While preserving all legal rights to object to any requirement to file such Form, Working America will nevertheless file Form A-1 for 2015 activity until this issue is resolved.

used for all of Working America's filings is the main Washington, D.C. address of the union. Working America made no effort to conceal who was ultimately responsible for these independent expenditures, and in fact, made quite evident from their filings that they were the source.

Working America made every effort to fully and timely disclose its independent expenditures.

4. Imposition of this civil penalty imposes an undue burden on Working America for exercising its constitutional right to make independent expenditures

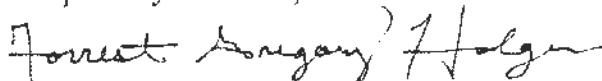
Any attempt to assess a civil penalty against Working America for making independent expenditures all of which were reported on Form B-1 places an undue burden on Working America's exercise of its constitutional rights. In its landmark decision in *Citizens United*, the Supreme Court held that labor organizations and corporations are permitted to spend unlimited funds directly on communications that expressly advocate for or against a candidate, so long as such communications are conducted independently of candidates and political parties. The crux of the *Citizens United* decision is that expenditures, as opposed to contributions, by unions are protected under the U.S. Constitution.

The imposition of a penalty on Working America for failing to file Form A-1 contribution reports in essence forces Working America to characterize its independent expenditures as contributions. This characterization runs counter to the holding of the Supreme Court in *Citizens United*. Imposition of a civil penalty under these circumstances amounts to penalizing the organization for exercising its constitutional rights.

Conclusion

Clearly Illinois reporting forms have not been updated to accommodate reporting by unions using their own treasury funds to make expenditures under *Citizens United*. Further, as demonstrated above, the guidance relating to union expenditures is confusing. The result is that there is no reporting structure in place that establishes a coherent system for disclosing independent expenditures made by unions from their own treasury funds. Given the First Amendment rights at issue, assessing a large civil penalty for failure to file a contribution form when no contribution actually occurred is unwarranted and improper. We request that your agency withdraw its failure to file notice and rescind any civil penalty.

Respectfully Submitted,



Greg Holger
Patricia Fiori
Utrecht, Kleinfeld, Fiori, Partners
Counsel to Working America

UTRECHT, KLEINFELD, FIORI, PARTNERS

ATTORNEYS AT LAW
1900 M Street, NW Suite 500 Washington, DC 20036
Main (202) 778-4000
Facsimile (202) 842-5825
www.up-law.com

November 14, 2014

Attn: Michael Crowley
State Board of Elections
State of Illinois
100 West Randolph St., Ste 14-100
Chicago, IL 60601-3232

RE: Working America
ID Number 25990

Dear Mr. Crowley:

As discussed in our phone conversation last week, I represent Working America, and am responding to your letter dated October 29, 2014. The letter from you requests an explanation as to why Working America's quarterly report covering the reporting period July 1 to September 30, 2014 reported expenditures but no receipts. This will serve as Working America's response to your inquiry, and, in addition, Working America's reports will also be amended.

Working America is a union that registered an Independent Expenditure-Only PAC on July 22, 2014 for the exclusive purpose of making independent expenditures. Working America made these expenditures from its general treasury funds which include union dues. Under the U.S. Supreme Court's decision in *Citizens United v. Federal Election Commission*, 558 U.S. 310 (2010), unions have a constitutional right to make independent expenditures from their treasury funds to expressly advocate the election or defeat of candidates for public office. Working America's independent expenditures occurred in the form of a door-to-door canvass operation that communicated support of and opposition to certain Illinois candidates.

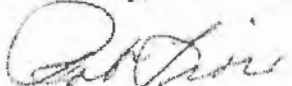
The door-to-door canvassing was performed by Working America staff. Working America reported as independent expenditures amounts spent on staff salaries, which in addition to other reported expenditures, represent the expenses incurred by Working America for its independent canvass operation. The canvass operation was not coordinated with any candidate, candidate political committee, political party committee or political action committee. The staff consisted of Working America employees and they were not directly or indirectly working with any outside campaign, political party or political action committee. These employees operated

under the control and direction of Working America and the program maintained appropriate safeguards to prevent impermissible communications with any candidate, candidate political committee, political party committee or political action committee. Hence, Working America's expenditures were not coordinated with any candidate or political party and no contributions were made to any candidate, political party or other committee.

As stated above, Working America's reports will be amended. Since Working America used its own treasury funds to make its independent expenditures, it did not receive contributions. However, the amended reports will show as a "receipt" an amount equal to Working America's reported independent expenditures so that there will be an in-coming amount to balance expenditures.

If you have any further questions, please do not hesitate to contact me by phone or email at Pfiori@up-law.com.

Sincerely,



Patricia Fiori

Attachment D

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Working America
Kevin Pape
4753 N. Broadway, Ste 720
Chicago, IL 60640

ID# 25990

Dear Working America:

This committee has failed to timely file the following Schedule A-1's. Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Working America - General Treasury	7/1/2014	\$215123	*	99	\$107562

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$107562.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$10756.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward", is written over a horizontal line.

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Working America
James Cobb Jr
815 16th Street NW
Washington DC, IL 20006

ID# 25990

7014 2120 0001 1723 3215

Dear Working America:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Working America – General Treasury	7/1/2014	\$215123	*	99	\$107562

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$107562.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$10756.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 AS 076

Citizens to Elect Doug Johnson ID# 26006
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$1,260 contribution on 9/30/14 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$630.

James MacMurdo, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. MacMurdo states that the contribution in question, from Citizens for Miller, was entered incorrectly. He says the actual amount of the contribution was \$150, and adds that the erroneous data entry has been deleted from the online site.

A review of reports filed by Citizens for Miller seems to confirm that there was never actually a contribution of \$1,260. Since a \$150 contribution does not even have to be itemized, let alone reported on an A-1, I recommend the appeal be granted. However, although the incorrect entry may have been deleted, the Committee has yet to file an amended Quarterly Report to correct the reporting. I therefore also recommend that the Committee be ordered to amend its September 2014 Quarterly Report to show the correct contribution amount, with that Report to be filed within 30 days of the date of the Final Board Order in this matter. (As of 12/31/14, this Committee reported a funds available balance of \$2,787.86.)



Tom Newman – Hearing Officer
March 26, 2015

State of Illinois)
County of: DeKalb)

STATE BOARD OF ELECTIONS
15 MAR -5 PM 1:42

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS.)

Complainant)

Respondent(s):)
CITIZENS TO ELECT DOUG JOHNSON)

Case No. 14A5076
26006

APPEAL AFFIDAVIT

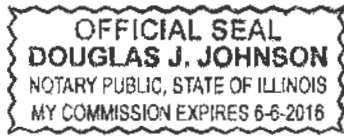
James R. MacMurtrei, the Treasurer of the
(Name) (Chairman/Treasurer)
CITIZENS TO ELECT DOUG JOHNSON
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE CITED CONTRIBUTION FROM CITIZENS FOR MILLER WAS A TYPOGRAPHIC
ERROR. THE ACTUAL RECEIPT FOR \$150.00 ON 9/10/2014 IS CORRECTLY
RECORDED. THERE WAS NO \$1260.00 RECEIPT FROM CITIZENS FOR MILLER
EVER DURING THE CAMPAIGN. THE ERRONEOUS DATA ENTRY HAS
BEEN DELETED FROM THE ON-LINE SITE.

James R. MacMurtrei
Signature of Chairman/Treasurer

Subscribed and Sworn to by: [Signature]
on this 3 Day of MARCH, 2015



Notary Public
)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholtz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Citizens to Elect Doug Johnson
PO Box 533
Sycamore, IL 60178

ID# 26006

7014 2120 0001 1723 3222

Dear Citizens to Elect Doug Johnson:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Citizens for Miller	9/30/2014	\$1260	*	14	\$630

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$630.00 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$63.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 DQ 131

Friends of Rich Martinez ID# 26042
Respondent

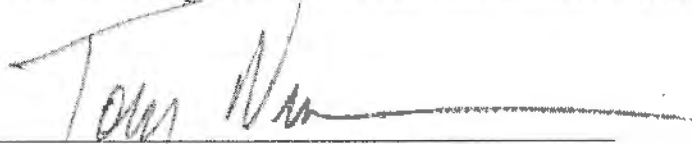
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2014 Quarterly Report

The Report was received by the Board on 1/16/15, 1 day late, resulting in a civil penalty assessment of \$50.

Angelina Briguglio, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Briguglio states that she attempted to file the Report on the deadline date, but an apparent computer glitch prevented the timely filing. She says every time she tried to file a Report, the system would boot her out. After trying for a half hour, Ms. Briguglio says the Report finally filed, but it was just a few seconds after midnight.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted. (As of 12/31/14, this Committee reported a funds available balance of \$7,893.24)



Tom Newman – Hearing Officer
April 8, 2015

State of Illinois)
County of Cook)

2015 MAR 27 PM 3:05
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends Of Rich Martinez)
Respondent(s).)

Case No. 15 DG 131

APPEAL AFFIDAVIT

I, Angelina Briguglio, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends Of Rich Martinez
(Name of the Committee)

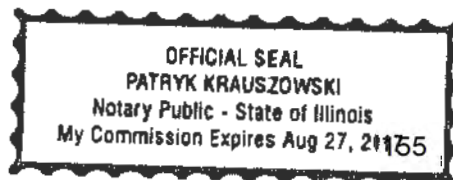
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

As I was filing for 2nd quarterly reports, there was a computer glitch that would not allow me to file the report. Every time I tried to file the report the system would boot me out. The report finally took after almost half an hour by then it was a few seconds after midnight.

Signed and Sworn to by:

Patryk Krauszowski
before me this 27th Day of
March, 2015
Patryk Krauszowski
Notary Public

Angelina Briguglio
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Friends of Rich Martinez
6500 W. Irving Park Road
Chicago, IL 60634

ID# 26042

7014 2120 0001 1723 4441

Dear Friends of Rich Martinez:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contributions and Expenditures
Report Period: October 1, 2014 through December 31, 2014
Filing Period: January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 1/16/2015, 1 days late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward", is written over a horizontal line.

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 SQ 141

Citizens for Lemont Public Library Improvements
Respondent

ID# 26099

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the September 2014 Quarterly Report

The Report was received by the Board on December 4, 2014, 32 days late, resulting in a civil penalty assessment of \$800.

Steven Reardon, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Reardon states the Committee mailed the report but it must have been lost in the mail. He adds the Committee did not need to form a Political Committee because their total funds were under the \$5,000 threshold.

The original mailing from the Committee was never received by the Board. Section 100.125(b) states in part that if the envelope containing a Quarterly Report is not received by the Board, "*...if the political committee is assessed a civil penalty for failing to file or delinquently filing either of the reports and, as part of the committee's appeal of the civil penalty assessment, it is alleged by the treasurer, chairman or candidate on a signed and notarized affidavit verifying that the report was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal, the presumptive date of receipt will be rebutted by the testimony contained in the affidavit and the report will be deemed to have been timely received.*" Since this Committee has not previously made such a claim, I recommend the appeal be granted. As a first violation the penalty is stayed. Additionally, since the Committee filed a Final Report on 3/10/15, I recommend that should the Committee remain dissolved for a period of two years following the Final Order imposing the fine, the fine be abated.



Kim Patrick – Hearing Officer
March 31, 2015

State of Illinois)
County of: COOK)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS
15 MAR 16 PM 1:55

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS FOR LEMONT)
PUBLIC LIBRARY IMPROVEMENTS)
Respondent(s).)

Case No. 145Q141

APPEAL AFFIDAVIT

I, STEVEN M. REARDON, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

CITIZENS FOR LEMONT PUBLIC LIBRARY IMPROVEMENTS

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THIS IS THE COMMITTEE'S FIRST INFRACTION.

WE DID NOT NEED TO FORM A BALLOT INITIATIVE COMMITTEE
BECAUSE THE TOTAL FUNDS OF THE COMMITTEE WERE UNDER
\$ 5,000.00.

WE DID MAIL THE SEPTEMBER 2014 QUARTERLY REPORT BUT IT MUST HAVE BEEN LOST IN THE MAIL.

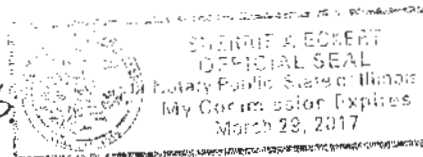
Steven M. Reardon
Signature of Chairman/Treasurer

Signed and Sworn to by:

Shawn Robert

before me this 12th Day of March, 2015

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Citizens for Lemont Public Library Improvements ID# 26099
Steven M. Reardon
389 4th Street
Lemont, IL 60439

7013 1710 0001 8744 6417

Dear Citizens for Lemont Public Library Improvements:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contributions and Expenditures
Report Period: July 1, 2014 through September 30, 2014
Filing Period: October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 12/4/2014, 32 days late. As such, this committee has been assessed a fine of \$800.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward", is written over a horizontal line.

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AD 062

Citizens to Elect Susan Sadloski Garza ID# 26168
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$2,251.79 contribution on 10/14/14 and reported it on a Schedule A-1 received by the Board on 12/15/14, 36 days late, resulting in a civil penalty assessment of \$1,126.

Maria Fattore-Lazzaroni, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Lazzaroni states that a Schedule A-1 for the contribution was filed by the Committee on 10/15/14, but with the incorrect contributor listed. The A-1 showed the contributor as Lisa Willy, when in fact the contribution was from Sewco. She says the A-1 filed on 12/15/14 and the Committee's Quarterly Report listed the correct contributor information.

In situations where a Schedule A-1 is filed with incorrect information, the preferred remedy is for the Committee to file an additional A-1 with the correct information and to additionally notify the Board of the incorrect original filing in a brief letter. While no such letter was received in this case, a review of the Committee's Quarterly Report confirms that the contribution in question was initially reported in timely fashion, albeit with incorrect contributor information. I therefore recommend the appeal be granted. Since the Committee has already corrected the information on the Quarterly Report, no further action is required. (As of 12/31/14, this Committee reported a funds available balance of \$24,845.71)



Tom Newman – Hearing Officer
April 1, 2015

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS
15 MAR 16 PM 1:55

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Respondent(s): CITIZENS TO ELECT SUSAN SADLOWSKI)
GARZA)

Case No. 15A DC62

APPEAL AFFIDAVIT

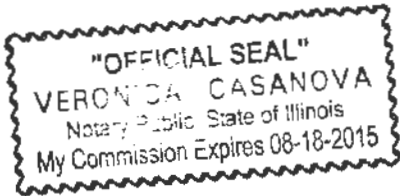
MARIA I. FATTORE-LAZZARONI the TREASURER of the
(Name) (Chairman/Treasurer)
CITIZENS TO ELECT SUSAN SADLOWSKI-GARZA
(Name of the Committee)

I, the undersigned, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

In October 15, 2014 the committee filed a Schedule A-1 Report containing the following information:
Lisa Willy - Oct, 14, 2014 - \$2,251.79. This report, which was received by the Board of Elections
at 11:21 AM, contained incorrect Contributor information. The correct information is as follows:
SEWCO, 11018 Ave M Oct, 14, 2014 \$2,251.79. The committee did not fail to report
the A-1 in a timely manner. We incorrectly stated the contributor was Lisa Willy in the
original A-1 and then correctly listed the contributor as SEWCO in our fourth
quarter D2 Report.

Maria I Fattore Lazzaroni
Signature of Chairman/Treasurer
773-909-4837

Subscribed and Sworn to by:
Veronica Casanova
to me this 12th Day of March, 2015



SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS OF \$1000 OR MORE

FOR OFFICE USE ONLY

CHICAGO

2014 OCT 15 AM 11:21

STATE BOARD OF ELECTIONS
POLITICAL COMMITTEE
IDENTIFICATION No.

Full name and complete mailing address of Political Committee:

Citizens to Elect Susan Radkowski Garza
10654 S. Ave. G Chicago IL 60617

☐ CHECK IF AN ADDRESS CHANGE

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

Note: Schedule A-1 reporting requirements now apply throughout the calendar year.

This form must be filed within 5 business days after receipt of any contribution of \$1000 or more, or within 2 business days if that receipt was within 30 days or less before the date of an election and the political committee supports or opposes a candidate or public question on the ballot at that election or makes expenditures in excess of \$500 on behalf of or in opposition to a candidate(s), or a public question(s), on the ballot at that election by either:

1. **HAND DELIVERY** - to a State Board of Elections office (see bottom of form for addresses),
2. **FACSIMILE** - to (217)-557-5630 or (312)-814-6485. Please retain a confirmation transmission for your records,
3. **ELECTRONIC TRANSMISSION** - If this political committee is required to file its reports electronically, the Schedule A-1 must also be filed electronically.

Postal service or other mail services may be used. **CAUTION:** such services do not guarantee that the A-1 form will be received by our office prior to the deadline. **A POSTMARK IS NOT USED TO DETERMINE WHETHER AN A-1 FORM HAS BEEN TIMELY FILED.**

THESE CONTRIBUTIONS MUST ALSO BE REPORTED ON THE NEXT REGULARLY SCHEDULED FORM D-2 QUARTERLY REPORT, SCHEDULE A OR SCHEDULE L

RECEIVED FROM: FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE	AMOUNT
Lisa Willy	Oct 19, 2014	\$ 2251.79
		\$
		\$
		\$
		\$

Susan Radkowski Garza
SIGNATURE OF TREASURER OR CANDIDATE
DATE
Oct 19, 2014

Name and address of person submitting this report if other than the committee's chairman or treasurer:

THE ILLINOIS STATE BOARD OF ELECTIONS IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1102. DISCLOSURE OF THIS INFORMATION IS REQUIRED. FAILURE TO PROVIDE ANY INFORMATION COULD SUBJECT US A FINE UP TO \$500. THIS FORM IS IN COMPLIANCE WITH THE POLITICAL DISCLOSURE PROGRAM ACT.

STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd.
SPRINGFIELD, IL 62708-4187

ALL POLITICAL COMMITTEES RETURN TO:

OR

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Citizens to Elect Susan Sadloski Garza
Ryan Garza/Maria Lazzaroni
10654 S. Ave G
Chicago, IL 60617

ID# 26168

7014 2120 0001 1716 4670

Dear Citizens to Elect Susan Sadloski Garza:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Sewco	10/14/2014	\$2251.79	12/15/2014	36	\$1126

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1126.00 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$113.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 DQ 159

Friends of T Ray McJunkins ID# 27289
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2014 Quarterly Report

The Report was received by the Board on 1/28/15, 8 days late, resulting in a civil penalty assessment of \$200.

Sherald Dunham, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Dunham states that the Committee received no contributions in the fourth quarter of 2014, and only created in December to allow ample time in case contributions were received. She says she did not realize that a Report for \$0 was required, but that it was filed as soon as she was notified of the missed deadline.

A review of the Committee's report filings appears to confirm Ms. Dunham's contention that the Committee did not actually begin raising or spending money until January of 2015 – after the reporting period for the December 2014 Quarterly Report. Had the Committee filed an amended Statement of Organization to change its creation date to one in January, this assessment would likely not have occurred. I therefore recommend the appeal be granted, but I further recommend the Committee be ordered to file an amended Statement of Organization (Form D-1) to change its creation date to the actual date the Committee exceeded \$5,000 in money raised or spent, or in the alternative, to the date the Committee actually began raising or spending money. This filing would be due within 30 days of the date of the Final Board Order in this matter. (As of 3/31/15, this Committee reported a funds available balance of \$5,424.62)



Tom Newman – Hearing Officer
April 7, 2015

State of Illinois)
)
County of: Sangamon)

STATE BOARD OF ELECTIONS

15 MAR 26 AM 10:16

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Friends of T Ray McJunkins)
Respondent(s).)

Case No. 15DQ159

APPEAL AFFIDAVIT

I, Sherald Dunham, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of T. Ray McJunkins
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The committee wanted to establish the fund with ample time in case there
were contributions received. There were no funds received in the fourth quarter
of 2014. I did not realize a report for \$0.00 was required. In my error, it was
not. Upon notice we immediately filed. We have been diligent in all reporting
since. It is our request to have this removed from our records. Thank
you for your consideration.

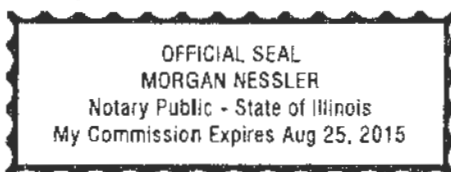
Sherald A. Dunham
Signature of Chairman/Treasurer
Sherald A. Dunham

Signed and Sworn to by:

Morgan Nessler

before me this 24 Day of March, 2015

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Friends of T Ray McJunkins
T Ray McJunkins
PO Box 2573
Springfield, IL 62708

ID# 27289

7014 2120 0001 1723 4724

Dear Friends of T Ray McJunkins:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contributions and Expenditures
Report Period: October 1, 2014 through December 31, 2014
Filing Period: January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 1/28/2015, 8 days late. As such, this committee has been assessed a fine of \$200.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AD 001

Randolph County Democratic Central Committee ID# 44
Respondent

REPORT OF HEARING OFFICER

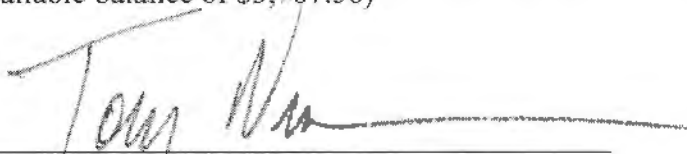
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$1,200 contribution on 11/28/14 and reported it on a Schedule A-1 received by the Board on 1/9/15, 23 days late, resulting in a civil penalty assessment of \$600. Additionally, the Committee was previously assessed a \$100 civil penalty (not appealed, stayed) for delinquent filing of a Schedule A-1 in the first quarter of 2013. The total assessment is \$700.

Barbara Brown, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Brown states that the late filing was the result of an oversight by the Committee's Treasurer, who was experiencing a heavy workload at the time. She says the contribution was a transfer from one Committee formed by the county party for fundraising, to the county central committee. Ms. Brown says that because the transfer was essentially moving funds between checking accounts, the need for an A-1 failed to register with the Treasurer.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the second A-1 violation for this Committee, I recommend the penalty be reduced to 50% of the original assessment, or \$300. If these recommendations are accepted by the Board, the stay would be lifted from the previous penalty, and the \$400 civil penalty will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$3,767.56)



Tom Newman – Hearing Officer
April 3, 2015

State of Illinois)
)
County of : _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **15 MAR 26 AM 10:17**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Randolph County Democratic Central Comtee)
Respondent(s).)

Case No. 15A0001

APPEAL AFFIDAVIT

I, Barbara Brown, the Chairman of the
(Name) (Chairman/Treasurer)
Randolph County Democratic Central Committee
(Name of the Committee)

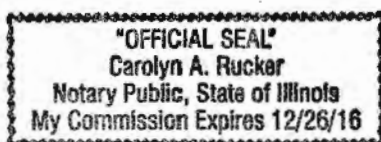
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please See Attached.

Barbara Brown
Signature of Chairman/Treasurer

Signed and Sworn to by: Carolyn A. Rucker
before me this 25 Day of March, 2015

Notary Public
(seal)



Randolph County Democrats have two checking accounts and have two committees filed with the State Board of Elections. They are the Randolph County Democratic Central Committee, which is the governing body for our county party, and the Pierre Menard Democrat Club, Inc., which is the fundraising committee of our county party. We typically transfer funds, as needed, from the Pierre Menard Democrat Club account to our Democratic Central Committee account to meet financial commitments that our Central Committee incurs.

This was the case on November 28, 2014, when I asked the treasurer of the Pierre Menard Club to issue a check to the Randolph County Democratic Central Committee to pay some bills. The Treasurer of our Central Committee was at that time carrying an unusually heavy load on his job—he has been absorbing the duties of other employees due to his employer not filling some positions after retirements. His job requires that he be on the road throughout southern Illinois and the metro east, often leaving him little time to attend to personal responsibilities. So, the simple reason that the A-1 was not filed in a timely manner was due to the overload he was experiencing.

He realized his omission when he filed the Dec. 31 quarterly report. He then promptly did two things: he filed the A-1 and notified me of his intent to resign as our Treasurer (after over 10 years of dedicated service to us). He was deeply regretful that he had failed to submit the A-1 and troubled that the Committee would have to suffer the consequences of his failure to conform to the rule.

The loss of this Treasurer has been a real blow to our Committee but we understood and accepted his resignation. We will make every effort to meet all of our obligations under the law, as we always have. I believe if this had been a contribution from an outside party it would more likely have registered with him that he needed to submit the A-1, but the fact that this was no more than a transfer between our checking accounts did not raise red flags, leading him to fail to report.

I hope you recognize in this plea that there was no attempt to deceive or to obscure. There was nothing nefarious in the transfer of funds and the amount is not large. I would ask that you consider waiving or reducing the fine to reflect that. I understand that it is a serious matter to fail to comply but we have been reminded by this error just how important it is to be ever vigilant in meeting our obligations.

I am undergoing treatments for a serious illness at present and would likely find it difficult to travel to a hearing so I am asking that this matter be reviewed based on the information provided here. There is no more to offer than that this was a simple oversight by an individual who was trying to do more than he could manage. I am available by phone should there be questions I can answer. My contact number is 618/559-0809.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

February 27, 2015

Randolph County Democratic Central Cmte ID# 44
Barbara Brown
1412 High Street
Chester, IL 62233

7014 2120 0001 1716 4786

Dear Randolph County Democratic Central Cmte:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Pierre Menard Democratic Club	11/28/2014	\$1200	1/9/2015	23	\$600

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$600.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$300.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
March 2013	A1	\$100
TOTAL AMOUNT NOW DUE		\$400

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 SQ 001

Maine Township Regular Republican Org
Respondent

ID #73

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the September 2014 Quarterly Report

The Report was received by the Board on November 5, 2014, 14 days late, resulting in a civil penalty assessment of \$1,050. Additionally, the Committee had previously been assessed a \$75 civil penalty (not appealed, paid) for delinquently filing the December 2013 Quarterly report, a \$100 civil penalty (not appealed, paid) for delinquently filing the March 2012 Quarterly report and a \$75 civil penalty (not appealed, paid) for delinquently filing the September 2011 Quarterly report. The total assessment is \$1,050.

Mark Thompson, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Thompson states this Committee has not had any activity for the past five years. He adds that he requested a Final report to be filed but that did not happen. He said he would pay the Board \$150 out of his pocket if this would close the matter since the Committee is closed and has no funds.

I must recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$1,050 civil penalty will be due and owing. Additionally, since the Committee filed a Final Report on 1/13/15, I recommend that should the Committee remain dissolved for a period of two years following the Final Order imposing the fine, the fine be abated.



Kim Patrick – Hearing Officer

April 2, 2015

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS

15 MAR 25 PM 1:20

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Maine Township Regular)
Respondent(s). Republican)
Organization)

Case No. 1450001

APPEAL AFFIDAVIT

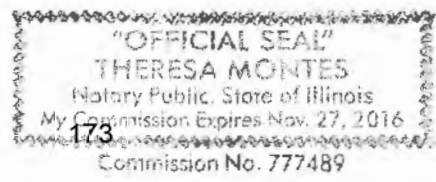
I, Mark Thompson, the Chairman of the
(Name) (Chairman/Treasurer)
Maine Township Regular Republican Organization
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:
Mark Thompson
Before me this 23 Day of MARCH, 2015
Notary Public
(seal) [Signature]



Maine Township Regular Republican Organization

I (Mark Thompson) am the former Township Republican Committeeman. This committee has not had any activity for the past five years. I left it open in case a Committeeman to be elected in 2014 wanted to use it. (I did not know if I would run again or support someone else.) After the 2014 primary election, the new Committeeman decided not to use this committee and formed a new committee. I requested that the person handling the committee filings file a final report and close out the committee. This did not happen until January 2015. In the meantime, there was no committee activity. Given that there was no committee activity and the committee is now closed, I request that the Board dismiss this matter. In the alternative, I request that the \$1,050 fine be reduced. The committee is closed and has no funds. If the fine is reduced to \$150, I will pay it out of my pocket to close this matter.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015
ID# 73

Maine Township Regular Republican Org
PO Box 2172
Des Plaines, IL 60017-2172

7014 2120 0001 1722 7146

Dear Maine Township Regular Republican Org:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2014 through September 30, 2014
Filing Period:	October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 11/5/2014, 14 day(s) late. As such, this committee has been assessed a fine of \$1050.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AD 003

Randolph County Republican Central Committee ID# 154
Respondent

REPORT OF HEARING OFFICER

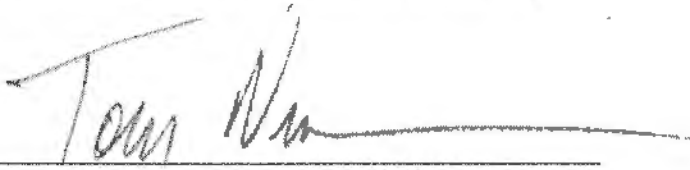
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$1,000 contribution on 11/3/14 and reported it on a Schedule A-1 received by the Board on 1/8/15, 38 days late, resulting in a civil penalty assessment of \$500. Additionally, the Committee was previously assessed a \$200 civil penalty (appealed, granted) for delinquent filing of the December 2010 Semi-Annual Report. The total assessment is \$500.

Clyde Boyd, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Boyd states that when entering information into the IDIS electronic filing system in early November, he encountered computer or system problems that caused attempts at a new entry to instead duplicate previous entries already in the database. He adds that when he looked at the Committee's "reports due now" page the A-1 for the contribution was listed, but he says it showed a due date in January. Mr. Boyd says after seeing this he decided to wait until he could get his computer looked at before filing the A-1, which he did on January 8.

I believe Mr. Boyd's confusion as to the filing date of the Schedule A-1 was the result of the system displaying a general filing period for any A-1 contributions occurring within a quarter, rather than a specific date for a specific A-1. However, he was apparently able to successfully create the A-1, and despite his apparent confusion, as the Committee's Treasurer for the past several years Mr. Boyd should have been aware that the deadline for any A-1 filing is within 5 business days of receipt of the contribution. Additionally, since the Committee has previously used an electronic filing defense in appealing the assessment for the December 2010 Semi-Annual Report, I recommend the appeal be denied. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$50. As a first violation, the penalty is stayed. (As of 12/31/14, this Committee reported a funds available balance of \$2,624.50)


Tom Newman - Hearing Officer
April 2, 2015

County of Illinois

County of: _____

STATE BOARD OF ELECTIONS

15 MAR 24 PM 1:02

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF:

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Case No. 15AD003

Randolph County Republican Central Comm,
Respondent(s).

APPEAL AFFIDAVIT

Clyde Boyd, the Treasurer of the
(Name) (Chairman/Treasurer)

Randolph County Republican Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer 2 good reasons on or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

While entering information on or about 11-10-2014, prior to filing the A-1 in question,

I was experiencing computer and/or system problems, each time I tried to enter a new item

I would get a re-entry of the previous item or entity. I went to the reports due page and

looked at the line item with my A-1. The report was listed as being due in January, I'm not

sure of the exact date but it was shortly before the regular quarterly report was due. When

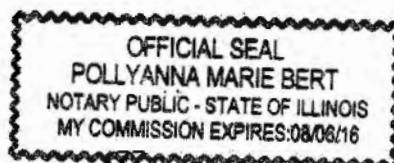
I saw this I decided to wait until I could get my computer looked at to file everything
together. Returned to the system on 01-08-2015 and filed A-1 and quarterly report.

Clyde Boyd
Signature of Chairman/Treasurer

Subscribed and Sworn to by:

Clyde Boyd
Signed on this 23rd Day of March, 2015

Notary Public
Pollyanna Marie Bert



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Randolph County Republican Central Committee ID# 154
Clyde Boyd
8751 Michael Rd
Sparta, IL 62286

7014 2120 0001 1723 2461

Dear Randolph County Republican Central Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Bruce and Diana Rauner	11/3/2014	\$1000	1/8/2015	38	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

15 AD 004

Marion County Republican Central Comm

ID #280

Respondent

REPORT OF HEARING OFFICER

~~Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report~~

The Committee received a \$1,000 contribution on 10/31/14, and reported it on a Schedule A-1 received by the Board on 12/11/14, 21 days late, resulting in a civil penalty assessment of \$500. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquently filing a Schedule A-1 in the 4th quarter of 2012. The total assessment is \$550.

Ben Stratemeyer, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Stratemeyer states the Committee does not receive contributions of \$1,000 or more very often and is not accustomed to the Schedule A-1 filing requirements. He adds that due to the infrequency in which the Committee receives contributions this large, the officers responsible for filing the Schedule A-1 were not aware of the rules which pertain to it.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional and since this is the second A-1 violation for this Committee, I further recommend the penalty be reduced to 50% of the original assessment or \$250. If these recommendations are accepted by the Board, the stay would be lifted from the previous \$50 assessment and the total \$300 civil penalty will be due and owing.

(As of 12/31/14, this Committee reported a funds available balance of \$273.42.)



Kim Patrick – Hearing Officer
April 8, 2015

State of Illinois)
)
County of Marion)

STATE BOARD OF ELECTIONS

15 MAR 30 PM 3:35

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
 Complainant,)
)
 v.)
)
MARION COUNTY REPUBLICAN)
CENTRAL COMMITTEE,)
 Respondent.)

Case No. 15A2004

APPEAL AFFIDAVIT

I, BEN STRATEMEYER, the Chairman of the Marion County Republican Central Committee, first being duly sworn, deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1) The Committee's failure to file the Schedule A-1 was not willful or wanton, but inadvertent;

2) The Committee did not wait to file the Schedule A-1 at the same time it filed the quarterly report in January 2015. Rather, the A-1 was filed in early December when the omission was realized. The A-1 was signed by our treasurer on the same date it was filed with the State Board of Elections, which shows our immediate attempt to disclose the contribution and correct the violation;

3) The amount of the contribution was just large enough to trigger the A-1 requirement. Had the contribution been for \$1.00 less, which would have made it only \$999.00, the A-1 would not

have been required;

4) The Committee does not receive contributions of \$1,000.00 or more very often and is not accustomed to the Schedule A-1 filing requirements;

5) Other than the \$1,000.00 contribution which led to the most recent Schedule A-1, the Committee had not received a \$1,000.00 contribution since the current chairman took office in May 2014;

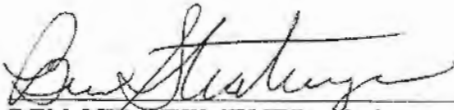
6) Upon information and belief, and according to the records available through the Illinois State Board of Elections website, this is only the second \$1,000.00 contribution received since the current treasurer took office in or about August 2011;

7) Upon information and belief, and according to the records available through the Illinois State Board of Elections website, the most recent Schedule A-1 prior to August 2011 appears to have been in October 2000;

8) Due to the infrequency in which the Committee receives contributions large enough to require the filing of Schedule A-1, the officers responsible for filing it are not aware of the rules which pertain to it;

9) Further, the contribution which was received and caused the need to file the Schedule A-1 was received on October 31, 2014, the Friday just prior to the general election. As such, the earliest date on which the A-1 was due to be filed would have been two (2) business days thereafter, such date being November 4, 2014, the actual date of the general election. Even had the Schedule A-1 been filed on that date, it could have lawfully been filed after the voting polls close, meaning the information would likely not have been available to anyone interested in looking for it until after the election was over;

FURTHER AFFIANT SAYETH NAUGHT.


BEN STRATEMEYER, chairman

Subscribed and Sworn to by:

BEN STRATEMEYER

before me this 24 day of March, 2015.




Notary Public

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

February 27, 2015

Marion County Republican Central Comm ID# 280
Mona Watson
317 Northwood Lane
Salem, IL 62881

Dear Marion County Republican Central Comm:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Bruce Rauner	10/31/2014	\$1000	12/11/2014	21	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
December 2012	A1	\$50
TOTAL AMOUNT NOW DUE		\$300

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 AD 008

Kankakee County Democratic Central Committee ID# 325
Respondent

REPORT OF HEARING OFFICER

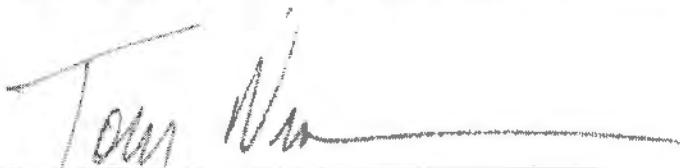
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$1,000 contribution on 11/4/14 and reported it on a Schedule A-1 received by the Board on 1/15/14, 42 days late, resulting in a civil penalty assessment of \$500.

Raymond Chamberlain, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Chamberlain states that the Committee has multiple people who have the ability to sign checks and do banking. He says the contribution in question was apparently deposited in the bank by one of those people, but as Treasurer he did not become aware of the contribution until January, when he was preparing the Committee's Quarterly Report. Mr. Chamberlain adds that the Committee has corrected the communication problem that resulted in the late filing.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$50. As a first violation, the penalty is stayed. (As of 12/31/14, this Committee reported a funds available balance of \$399.86)



Tom Newman – Hearing Officer
April 8, 2015

State of Illinois)
County of : _____)

2015 MAR 27 AM 11:05
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;
ILLINOIS STATE BOARD OF ELECTIONS.

Complainant

Case No 15A0008

KANKAKEE COUNTY CENTRAL
DEMOCRATIC Respondent(s). COMMITTEE

APPEAL AFFIDAVIT

RAYMOND CHAMBERLAIN the TREASURER of the
(Name) (Chairman/Treasurer)

KANKAKEE COUNTY CENTRAL DEMOCRATIC COMMITTEE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE ATTACHED

Raymond Chamberlain
Signature of Chairman/Treasurer

Subscribed and Sworn to by:
Raymond S Chamberlain
Subscribed on this 27 Day of March, 2015
Notary Public Mary L Moorhouse



March 26, 2015
Illinois State Board of Elections:
2329 S. MacArthur Blvd.
Springfield, Illinois 62704

My name is Raymond Chamberlain I currently am the Treasurer for the Kankakee County Democratic Central Committee, I am responsible for reports to the State Board.

In our committee for safety and security concerns, we have not less than 4 people who have the ability to sign checks and or do banking. We have enclosed our bank statement for the month of November 2014, clearly as you can see on the 4th of the month there is a \$1000.00 deposit. This is the reported deposit in question, the A-1 was filed on the 15 of January because as treasurer this is when I became aware of the deposit. Our committee did not meet in the months of November and December due the election season and the Christmas holiday.

After entering all the necessary information for our 1st Quarter 2015 report, our report indicated that we had \$1000.00 more in expenditures than we had in income, it was the discrepancy in this report that led to reviewing all activities for the quarter. This is where I discovered the deposit, the report was filed on January 15, 2015 because this is when I found the deposit had been made by another member of our committee. We have since met twice we believe we have corrected our communication problems. We respectfully request you reconsider the actions against our committee.

Thank You for your consideration:
Respectfully: Raymond Chamberlain
Treasurer
Kankakee County Democratic Central Committee

Raymond Chamberlain

State of Illinois
County of Kankakee
On this 27 day of March 2015
before me personally appeared
Raymond S Chamberlain
known to be the person who executed the
 foregoing instrument, and acknowledged that he
 executed the same as his free act and deed.
SEAL (SIGNED) Mary L Moorhouse
Notary Public



HomeStar Bank
& Financial Services



576 Latham Dr., Bourbonnais, IL 60914
435 E. North St., Bradley, IL 60915
255 E. Station St. Kankakee, IL 60801
303 Section Line Rd., Manteno, IL 60950

(815) 468-2265

25640 S. Gaugar Rd., Manhattan, IL 60442

(815) 361-4455

000 00001 19
ACCOUNT:

PAGE: 1
740586 11/28/2014

KANKAKEE COUNTY DEMOCRATIC
CENTRAL COMMITTEE
PO BOX 183
KANKAKEE IL 60901

30

1

7

Identity Theft Protection is available for HomeStar's Max
Checking customers. If you do not have a
Max Checking account, speak with a
HomeStar Representative to begin your ID Protection today!

BUSINESS INTEREST ACCOUNT 740586

DESCRIPTION	DEBITS	CREDITS	DATE	BALANCE
BALANCE LAST STATEMENT			10/31/14	7,307.14
CHECK # 1213	122.26		11/03/14	7,184.88
DEPOSIT		1,000.00	11/04/14	8,184.88
CHECK # 1215	2,000.00		11/06/14	6,184.88
CHECK # 1216	483.80		11/07/14	5,701.08
CHECK # 1217	100.00		11/10/14	5,601.08
CHECK # 1218	100.00		11/10/14	5,501.08
CHECK # 1214	26.55		11/14/14	5,474.53
CHECK # 1219	247.14		11/17/14	5,227.39
218246 BILL PAYMENT MYFAX *PROTUS I 866-563-9212 GA				
16333407 218246	10.00		11/21/14	5,217.39
INTEREST		.45	11/28/14	5,217.84
BALANCE THIS STATEMENT			11/28/14	5,217.84
TOTAL CREDITS (2)	1,000.45	AVG AVAILABLE BALANCE		5,804.77
TOTAL DEBITS (8)	3,089.75			

YOUR CHECKS SEQUENCED

DATE...CHECK #.....AMOUNT	DATE...CHECK #.....AMOUNT	DATE...CHECK #.....AMOUNT
11/03 1213 122.26	11/07 1216 483.80	11/17 1219 247.14
11/14 1214 26.55	11/10 1217 100.00	
11/06 1215 2,000.00	11/10 1218 100.00	

* * * C O N T I N U E D * * *

HomeStar Bank
& Financial Services



5000 North US Highway 1, 9th Fl
Chicago, IL 60640
Tel: (815) 468-2265
Fax: (815) 468-2265

(815) 468-2265

25000 S. Grange Rd., Merrillville, IN 46750

(815) 361-4455

000 00001 19
ACCOUNT:

PAGE: 2
740586 11/28/2014

KANKAKEE COUNTY DEMOCRATIC
CENTRAL COMMITTEE

BUSINESS INTEREST ACCOUNT 740586

- - - I N T E R E S T - - -

AVERAGE LEDGER BALANCE:	5,804.77	INTEREST EARNED:	.45
INTEREST PAID THIS PERIOD:	.45	DAYS IN PERIOD:	28
INTEREST PAID 2014:	6.58	ANNUAL PERCENTAGE YIELD EARNED:	.10%

- - - ITEMIZATION OF OVERDRAFT AND RETURNED ITEM FEES - - -

*		TOTAL FOR	TOTAL
*		THIS PERIOD	YEAR TO DATE

* TOTAL OVERDRAFT FEES:		\$.00	\$.00

* TOTAL RETURNED ITEM FEES:		\$.00	\$.00

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Kankakee County Democratic Central Committee ID# 325
PO Box 183
Kankakee, IL 60901-0183

Dear Kankakee County Democratic Central Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Illinois Democratic County Chairman's Association	11/4/2014	\$1000	1/15/2014	42	\$500

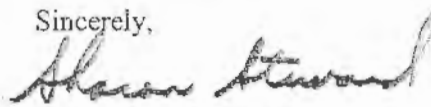
As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,


Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

14 AS 006

Communication Workers of America
Dist 4 PEC

ID#763

Respondent

REPORT OF HEARING OFFICER

~~Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report~~

The Committee received a \$5,600 contribution on 9/29/14 but failed to report this on a Schedule A-1 resulting in a civil penalty of \$2,800. Additionally, the Committee had previously been assessed a civil penalty of \$547 (appealed, denied, paid) for delinquent filing two Schedule A-1s in the 4th quarter of 2011, a civil penalty of \$1,500 (not appealed, paid) for delinquent filing a Schedule A-1 in the 2nd Quarter of 2012, and a civil penalty of \$5,000 (appealed, denied paid) for delinquent filing a Schedule A-1 in the 3rd Quarter of 2013. The total assessment is \$2,800.

Frank Mathews, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

The Committee states the filing of the A-1 report was timely and attached a copy of the Schedule A-1.

The document included by Mr. Mathews is a Schedule A-1 and it does include the word "filed" in the upper right corner, however there is no "filed on" date included. The word "filed" is essentially a place holder and does not indicate the report was actually filed. It therefore appears the contribution was entered into IDIS, but the A-1 was never filed. I recommend the appeal be denied. Although, there is no indication the violation was anything other than inadvertent and unintentional since this is at least the third set of A-1 violations for this Committee, I recommend the penalty remain at 100% of the original assessment or \$2,800.

If these recommendations are accepted by the Board, the \$2,800 civil penalty will be due and owing.

(As of 12/31/14, this Committee reported a funds available balance of \$1,100.00.)



Kim Patrick – Hearing Officer
March 24, 2015

STATE BOARD OF ELECTIONS

15 MAR -9 AM 8:16

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:

ILLINOIS STATE BOARD OF ELECTIONS.

Complainant

Case No. 14 AS006

Communications Workers of America
Respondent(s) Dist 4 PEC

APPEAL AFFIDAVIT

Frank Matthews

2010

Treasurer

of these

(Name)

(Chairman/Treasurer:

Communications Workers of America Dist 4 PEC

(Name of the Committee)

committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Committee states that filing of A-1 report was timely.

Please see attached copy of Schedule A-1 receipt.

Signature of Chairman: Treasurer:

red, and Sworn to by:

Frank Mathews

are me this 2nd Day of March, 2015

any Public

!

Lisa R Steenstra

LISA R. STEENSTRA
Notary Public-State of Ohio
Recorded in Lorain County
My Commission Expires February 13, 2017

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

February 27, 2015

Communication Workers of America Dist 4 PEC ID# 763
Jeffrey A Rechenbach, Karen Rechenbach, Linda L Hinton
20525 Center Ridge Rd, Ste 700
Cleveland, OH, IL 44116-3497

7014 2120 0001 1723 2232

Dear Communication Workers of America Dist 4 PEC:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
CWA COPE PCC	9/29/2014	\$5600	*	2	\$2800

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2800.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

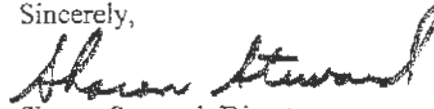
Since this is the fourth delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2800.00, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

14 AJ 011

Skokie Caucus Party 4273

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2nd Quarter of 2014

This committee received one \$1,000 contribution, and one \$1,500 contribution on 6/23/14 and filed them on a Schedule A-1 on 7/11/14, 8 business days late, resulting in a civil penalty assessment of \$1,250. Additionally, the Committee had previously been assessed a \$130 civil penalty (appealed, denied, reduced, reduced again, paid) for delinquently filing a Schedule A-1 for the 2001 Consolidated Election; a \$150 civil penalty (appealed, denied, reduced, reduced again, paid) for delinquently filing a Schedule A-1 for the 2001 Consolidated Election; a \$50 civil penalty (appealed, denied, reduced, reduced again, paid) for delinquently filing a Schedule A-1 for the 2001 Consolidated Election; a \$50 civil penalty (appealed, denied, reduced, reduced again, paid) for delinquently filing a Schedule A-1 for the 2001 Consolidated Election; a \$50 civil penalty (appealed, denied, reduced, reduced again, paid) for delinquently filing a Schedule A-1 for the 2001 Consolidated Election; a \$150 civil penalty (appealed, denied, reduced, reduced again, paid) for delinquently filing a Schedule A-1 for the 2001 Consolidated Election; a \$50 civil penalty (appealed, denied, reduced, reduced again, paid) for delinquently filing a Schedule A-1 for the 2001 Consolidated Election; and a \$150 civil penalty (not appealed, paid) for delinquently filing the June 2004 Semi-Annual Report. The total assessment is \$1,250.

The chairman, James McCarthy, and the treasurer, Angeles Carandang, appeared at the March 11th appeal hearing.

Mr. McCarthy and Ms. Carandang both stated that a deposit was made containing the two contributions in question on 6/23/14 and Ms. Carandang sent an e-mail to Ms. Shirley Taetle, their accountant, on that same day so she could file a Schedule A-1 Report. However, it is presumed that sometime between 6/20/14 and 6/23/14 that Ms. Carandang's e-mail was hacked and it was not until 7/10/14 that Ms. Carandang realized that Ms. Taetle had not received the e-mail she sent her on 6/23/14. Once the accountant, Ms. Taetle, received the receipts and disbursement information for the filing of the quarterly report she immediately contacted Ms. Carandang about the two contributions and questioned why she had not been given this information earlier. Ms. Taetle filed the Schedule A-1 Report the following day on 7/11/14. Ms. Carandang further explained that her e-mail was working sporadically so she did not realize for some time that her e-mail was not working properly and had been hacked. She gave a hypothetical to try to explain what was happening to her in that she would send out an e-mail to two people and only one of them would receive it however she never received notice that the second person did not received the e-mail. The Respondent requests that since the circumstances of the situation were out of their control and

the Skokie Caucus Party has never been delinquent in the filing of a Schedule A-1 Report that the Board not punish the Committee.

It is unfortunate that Ms. Carandang's e-mail account was hacked and the e-mail she sent to the committee's accountant did not reach the accountant however this appears to be an internal matter within the committee and accordingly I recommend the appeal be denied for lack of an adequate defense.

However, since there is no indication that the Schedule A-1 violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment as a first violation (because of the lapse in time since the last violation), or \$125. If the Board accepts this recommendation, a total of \$125 would be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$57,101.40.)



Andy Nauman – Hearing Officer
March 18, 2015

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS BOARD OF ELECTIONS,)
)
Complainant,)
)
Vs.)
)
SKOKIE CAUSUS PARTY,)
)
Respondent.)

Case No.

14A9011

AFFIDAVIT

NOW COMES Ms. Angeles Carandang, and pursuant to 735 ILCS 5/1-109 states as follows:

1. That she is the Treasurer for the Skokie Caucus Party;
2. That on November 17, 2014, she received a letter from the State Board of Elections notifying the Skokie Caucus Party of a failure to timely file two (2) Schedule A-1's, as required by the Illinois Campaign Disclosure Act; (Exhibit "A")
3. That the letter from the State Board of Elections indicated that the A-1 filing was 8 days late; (Exhibit "A")
4. That upon deposit of the contributions on June 23, 2014, Ms. Carandang notified Ms. Shirley Taetle, the outside accountant for the Skokie Caucus Party, by email, about the two contributions;
5. That sometime between June 20, 2014 and July 7, 2014, Ms. Carandang's email was hacked and she was not receiving emails and her emails were not delivered; specifically, the email Ms. Carandang sent to Ms. Taetle on June 23, 2014 was never delivered;

6. That on July 10, 2014, when Ms. Carandang emailed the receipt and disbursement report for the quarter, Ms. Taetle asked about the two deposits over \$1,000.00 dollars. That's when Ms. Carandang realized Ms. Taetle did not get her earlier email on June 23, 2014, and resent her previous email;

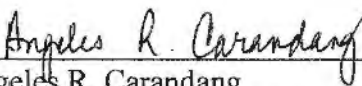
7. That on July 11, 2014, Ms. Taetle submitted the proper A-1 Reports to the State Board of Elections;

8. That due to circumstances beyond her control, the A-1 Reports were sent to the State Board of Elections in the most expeditious manner;

9. That the Skokie Caucus Party has never been delinquent in the filing of its A-1 Reports, and it would be prejudicial to punish the Skokie Caucus Party for actions out of its control;

Further affiant sayeth not.

Under penalties as provided by law pursuant to Section 1-109 of the Code of Civil Procedure, the undersigned certifies that the statements set forth in this instrument are true and correct.



Angeles R. Carandang
Treasurer, Skokie Caucus Party

Subj: **Email hacked**
Date: 7/23/2014 10:00:49 A.M. Central Standard Time
From: MSJELLYBEANS@aol.com
To: angelmgraf@gmail.com, cagustin@uchicago.edu, fbaltazar@yahoo.com, gmiller@luc.edu,
james_v_fernandez@hotmail.com, jason.fernandez@hewitt.com, jay_mich@comcast.net,
je@bagwiscollective.org, jenita.julian@nwiht.edu, jifernan@hewitt.com,
joefernandez6@hotmail.com, juanitasburris@gmail.com, kmascarenas@luc.edu,
ktloy@comcast.net, laliposa@yahoo.com, larajo3@comcast.net, lovemorris@comcast.net,
cymanzano@comcast.net, mavraslopez@gmail.com, myrla.baldonado@yahoo.com,
nvp_p950@msn.com, rpcascolan@gmail.com, shyamshrestha@sbcglobal.net,
svbelvis@yahoo.com, tboncan@gmail.com, nuval@archchicago.org
CC: msjellybeans@aol.com

Please do not open any emails from me with questionable subject. Sorry for the inconvenience. We need to be careful when opening emails from anyone. If in doubt, contact the sender by phone.

Thanks.
Jelly

Wednesday, November 19, 2014 AOL: MSJELLYBEANS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
November 14, 2014

BOARD MEMBERS
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Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Skokie Caucus Party
Angeles Carandang
5120 Golf Rd
Skokie, IL 60077-1206

ID# 4273

7013 1710 0001 8744 6912

Dear Skokie Caucus Party:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Michael Lorge	6/23/2014	\$1000	7/11/2014	8	\$500
Old Orchard Urban Ltd Partnership	6/23/2014	\$1500	7/11/2014	8	\$750

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1250.00 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

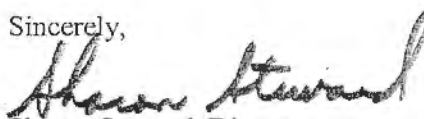
Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$125.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 15, 2014 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward". The signature is fluid and cursive, with the first name "Sharon" and last name "Steward" clearly distinguishable.

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 MA 006

Wayne Township Republican Organization
Respondent

ID# 4463

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File Schedule A-1 Reports

~~The Committee received two \$1,000 contributions on 9/29/14 and failed to report either on a~~
Schedule A-1, resulting in a civil penalty assessment of \$1,000. Additionally, the Committee received
a \$1,000 contribution on 10/20/14 and failed to report it on a Schedule A-1, resulting in a civil penalty
assessment of \$500. The total assessment is \$1,500.

Phillip Costello, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on
the Appeal Affidavit the following:

On the Affidavit, Mr. Costello states that he had been the organization's Treasurer for less than
a year and was unaware of the A-1 filing requirement, especially since the Committee has never received
a contribution of \$1,000 and typically does not handle contributions of this size. He adds that a training
memo has been created to ensure the Committee complies with filing requirements in the future.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no
indication the violations were anything other than inadvertent and unintentional, I recommend the
penalty for the first two violations be reduced to 10% of the original assessment, or \$100, as the first set
of A-1 violations for the Committee. I recommend that because the third violation occurred in a later
quarter, it be considered the second A-1 violation for the Committee, and the penalty accordingly be
reduced to 50% of the original assessment, or \$250. If these recommendations are accepted by the
Board, the total assessment of \$350 will be due and owing. (As of 12/31/14, this Committee reported a
funds available balance of \$7,692.47)



Tom Newman – Hearing Officer
April 8, 2015

State of Illinois)
County of DuPage)

STATE BOARD OF ELECTIONS

15 MAR 30 PM 3:36

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Wayne Twp Republican Or)
Respondent(s).)

Case No. 15MA006

APPEAL AFFIDAVIT

I, Philip A. Costello, the Treasurer of the
(Name) (Chairman/Treasurer)
Wayne Township Republican Organization
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Phil Costello, the Treasurer, has been this organization's treasurer for less than one year and has never had a contribution of \$1,000. I was not aware of this filing deadline. Our organization is very small and rarely handles contributions of this size so we are not accustomed to complying with the pertinent rules.

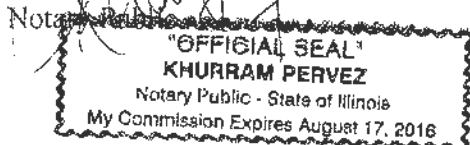
In light of these facts, we ask that the State Board of Elections abate the assessment.

Since this is the first notice, we would further ask that if the entire assessment cannot be abated that the Board of Elections consider assessing the 10%, therefore reducing the assessment from \$350 to \$150.

To avoid this from happening again, I have created a training memo for recurring disclosure filings and one time filings such as this now and in the future.

Signed and Sworn to by:

before me this 27 Day of
MARCH, 2015




(Signature of Chairman/Treasurer)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Wayne Twp Republican Org
Philip A Costello
1125 Ascot Way
Bartlett, IL 60103

ID# 4463

7014 2120 0001 1716 1907

Dear Wayne Twp Republican Org:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the third quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Kevin R. Wiley	9/29/2014	\$1000	*	6	\$500
Jerry Marchese	9/29/2014	\$1000	*	6	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1000.00 for delinquently filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the fourth quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Robert Larsen	10/20/2014	\$1000	*	53	\$500

Your committee is subject to a fine of \$500.00 for delinquently filing Schedule A-1 reports.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250.00 (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.


The total for all assessments in this letter is \$350.00

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 SQ 015

Committee to Retain John Baricevic ID# 5499
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2014 Quarterly Report

The Report was received by the Board on 10/28/14, 9 days late, resulting in a civil penalty assessment of \$1,800. Additionally, the Committee was previously assessed a \$200 civil penalty (not appealed, paid) for delinquent filing of the June 2014 Quarterly Report, a \$400 civil penalty (not appealed, paid) for delinquent filing of the March 2013 Quarterly Report, and a \$100 civil penalty (not appealed, paid) for delinquent filing of the June 2012 Quarterly Report. The total assessment is \$1,800.

Richard Miele, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Miele states that no public interest was violated or harmed by the late filing, since there was no activity in the account between 10/20 and 10/28, so the Report looked identical to how it would have looked if filed on the due date.

Regardless of the amount of activity, the Committee had an obligation to meet the Report filing deadline. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$1,800 civil penalty will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$9,713.77)



Tom Newman – Hearing Officer
April 3, 2015

State of Illinois)
County of: St. Clair)

STATE BOARD OF ELECTIONS
15 MAR 26 AM 10:16

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Committee to Retain John
Respondent(s).)
Baricevic (Richard Meile,
Chairman))

Case No. 1450015

APPEAL AFFIDAVIT

Richard Meile, the Chairman of the
(Name) (Chairman/Treasurer)
Committee to Retain John Baricevic
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

WHILE REPORT WAS FILED LATE, NO PUBLIC INTEREST ^{WAS} VIOLATED OR
HARMED AS THERE WAS NO ACTIVITY IN ACCOUNT BETWEEN 10/20/15 AND 10/29/15.
HAD THE REPORT BEEN FILED ON DAY DUE, THE REPORT WOULD HAVE LOOKED
IDENTICAL TO REPORT FILED ON 10/29/15.

Ruth F. Meile
Signature of Chairman/Treasurer

Signed and Sworn to by: [Signature]
Before me this 23rd Day of March, 2015
Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
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Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

ID# 5499

Committee to Retain John Baricevic

Jim Jacquot

3923 Benington Dr

Swansea, IL 62221

7014 2120 0001 1722 7207

Dear Committee to Retain John Baricevic:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2014 through September 30, 2014
Filing Period:	October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/28/2014, 9 day(s) late. As such, this committee has been assessed a fine of \$1800.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 SQ 016

Democratic Citizens Assn of Caseyville Twp
Cmte ID: 5512
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the September 2014 Quarterly Report

The Report was received October 28, 2014, 9 days late, resulting in an assessment of \$900. The Committee was previously fined \$50 (not appealed, stayed) for delinquently filing the March 2013 Quarterly Report.

Kathy Frawley, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Frawley stated she believed she had electronically filed the Report in a timely manner on October 4, 2014. She was informed by a colleague on October 27, 2014, that the Report had not been received. With the assistance of Board staff, Ms. Frawley successfully uploaded the Report the next day.

I recommend the appeal be denied for lack of an adequate defense. Section 100.150 (5) of the Rules accompanying the Illinois Campaign Finance Act reads, in part, "If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." However, Committees are allowed to use such a defense only once, and this Committee did so with respect to a fine assessed for delinquently filing the June 2009 Semi-Annual Report. If the Board adopts this recommendation, the stay on the \$50 fine related to the delinquent March 2013 Quarterly Report would be lifted, and a total of \$950 would be due and owing. As of December 31, 2014, the Committee reported a cash balance of \$4,874.77.



John Levin – Hearing Officer
March 25, 2015

State of Illinois)
County of: St. Clair)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

15 MAR 10 AM 8:38

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Democratic Citizens Assn. of)
Caseyville Township)
Respondent(s).

Case No. 1450016

APPEAL AFFIDAVIT

I, Kathy Frawley, the Treasurer of the
(Name) (Chairman/Treasurer)
Democratic Citizens Assn. of Caseyville Township
(Name of the Committee)

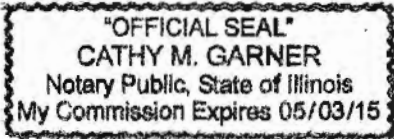
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Dear Illinois State Board of Elections: My name is Kathy Frawley. I am the
treasurer for the Democratic Citizens Assn. of Caseyville Township. I received
a letter stating that the quarterly report for July 1, 2014 through September
30, 2014 was not filed on time and therefore is a \$900.00 fine.
I would like to appeal this assessed fine. I am the new Treasurer for

Kathy Frawley
Signature of Chairman/Treasurer

Signed and Sworn to by:
Kathy Frawley
before me this 6th Day of MARCH, 2015

Notary Public
seal) Cathy M. Garner



the Democratic Citizens Assn. of Caseyville Township and this was a first time filing by myself. I filled everything out and filed the quarterly report on 10-4-14. I processed it and thought it was accepted. Then on 10/27/14 the former Treasurer brought a letter to me that he had received at his address stating the quarterly report had not been filed.

On October 28th I called and spoke to "Tom", he walked me through the process and it went through that time. Apparently the first time on October 4, 2014 there was a computer issue and it did not complete the report. Tom was very helpful and very patient. Please consider my appeal. I will make sure this does not happen again. Thank you in advance for your consideration.

Kathy Frawley
Democratic Citizens Assn.
of Caseyville Township
Treasurer
9710 Avalon Drive
Fairview Heights, IL 62208

Cell Phone: 618-977-1367

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

Democratic Citizens Assn of Caseyville Township ID# 5512

9127 Bunkum Rd

Fairview Heights, IL 62208

7014 2120 0001 1722 6828

Dear Democratic Citizens Assn of Caseyville Township:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2014 through September 30, 2014
Filing Period:	October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/28/2014, 9 day(s) late. As such, this committee has been assessed a fine of \$900.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2013	Quarterly	\$50
TOTAL AMOUNT NOW DUE		\$950.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 DQ 013

Williamson County Republican Women's Club ID# 5570
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2014 Quarterly Report

The Report was received by the Board on 1/23/15, 5 days late, resulting in a civil penalty assessment of \$125.

Lynda Goolsby, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mrs. Goolsby states that the late filing was the result of extenuating circumstances including medical visits for herself and her husband, as well as counselling visits for their foster child. She includes documentation of several doctor and hospital visits occurring during the filing period.

While I am sympathetic to the difficulties faced by Mrs. Goolsby and her family, I must recommend the appeal be denied for lack of an adequate defense. I would also note that the Report contained only four entries, and should therefore have taken the Committee very little time to complete. As a first violation, the penalty is stayed. (As of 12/31/14, this Committee reported a funds available balance of \$1,742.95)



Tom Newman -- Hearing Officer
April 3, 2015

State of Illinois)
County of: Williamson)

STATE BOARD OF ELECTIONS

15 MAR 26 AM 10:17

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
vs. Williamson County)
Republican Women's Club)
Respondent(s).)

Case No. 15DQ013

APPEAL AFFIDAVIT

Lynda Goolsby, the Treasurer of the
(Name) (Chairman/Treasurer)
Williamson County Republican Women's Club
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I claim that there were extenuating circumstances justifying
my defenses & respectfully request your favor in this matter.
Dr's
Attachments: Visit for myself, medical visits for my husband,
Elmer E. Goolsby & counseling visits for our foster child
Dale Baker.

Thank-you,

Lynda Goolsby
Signature of Chairman/Treasurer

Lynda Goolsby
Signed and Sworn to by:
Vicki A Hayes
Before me this 25th Day of March, 2015

Notary Public
(Signature)



View Appointment History for GOOLSBY, ELMER 01/10/1943 (72yo M) #23272 E#23272

[Show service detail](#) | [Show all provider groups](#)

Lynda's spouse

Provider Group R2R_RIVER TO RIVER HEART GROUP

Date ▼	Appointment Details
March 4, 2015	<p>1 R2R_RIVER TO RIVER HEART GROUP, R2R_ECHO, ECHO, 60 min. (01:00 PM) cancel or reschedule</p> <p>scheduled 12/12/2014 by cduke3</p> <ul style="list-style-type: none"> 12/12/2014 cduke3: ECHO/DR.MWANSA (Edit) <p>Show Audit History</p>
February 18, 2015	<p>2 R2R_RIVER TO RIVER HEART GROUP, R2R_MWANSA, FOLLOW UP, 15 min. (11:00 AM)</p> <p>scheduled 01/19/2015 by cgreenley</p> <ul style="list-style-type: none"> 01/19/2015 cgreenley: ONE MO F/U AFTER STARTING NEW MEDS (Edit) ReminderCall at 02/16/2015 05:13 PM CST - Confirmed <p>Show Audit History</p>
January 19, 2015	<p>4 R2R_RIVER TO RIVER HEART GROUP, R2R_MWANSA, FOLLOW UP, 15 min. (09:30 AM)</p> <p>scheduled 01/08/2015 by amarr</p> <ul style="list-style-type: none"> 01/08/2015 amarr: 2 WEEK F/U AFTER HOLTER(48HR), LEXI, ECHO (Edit) ReminderCall at 01/15/2015 04:55 PM CST - Confirmed <p>Show Audit History</p>
January 12, 2015 See attached	<p>4 R2R_RIVER TO RIVER HEART GROUP, R2R_ECHO, ECHO, 60 min. (02:00 PM)</p> <p>scheduled 01/08/2015 by amarr</p> <ul style="list-style-type: none"> ReminderCall at 01/08/2015 05:47 PM CST - Confirmed <p>Show Audit History</p>
January 12, 2015 See attached	<p>2 R2R_RIVER TO RIVER HEART GROUP, R2R_MWANSA, STRESS TEST -HEARTLAND, 60 min. (10:00 AM) reschedule</p> <p>scheduled 01/08/2015 by amarr</p> <p>cancelled 01/13/2015 by cduke3: OFFICE CANCELLATION</p> <ul style="list-style-type: none"> 01/08/2015 amarr: heartland stress (Edit) <p>Show Audit History</p>
January 12, 2015 See attached	<p>4 R2R_RIVER TO RIVER HEART GROUP, R2R_ECHO, HOLTER MONITOR, 48 min. (03:00 PM)</p> <p>scheduled 01/08/2015 by amarr</p> <p>Show Audit History</p>
January 8, 2015	<p>4 R2R_RIVER TO RIVER HEART GROUP, R2R_MWANSA, FOLLOW UP, 15 min. (09:45 AM)</p> <p>scheduled 01/06/2015 by amarr</p> <ul style="list-style-type: none"> 01/06/2015 amarr: follow up patient seen in er sob dizziness (Edit) ReminderCall at 01/06/2015 06:15 PM CST - Confirmed <p>Show Audit History</p>
December 12, 2014	<p>4 R2R_RIVER TO RIVER HEART GROUP, R2R_MWANSA, INITIAL OFFICE CONSULT - 30, 30 min. (10:00 AM)</p> <p>scheduled 12/08/2014 by cgreenley</p> <ul style="list-style-type: none"> 11/20/2014 cgreenley: REFERRAL DR KELLER DX: PALPITATIONS (Edit) 12/05/2014 cgreenley: 12/05: L/M ON V/M TO R/S APPTMENT (Edit) ReminderCall at 12/10/2014 03:58 PM CST - ²¹⁵Busy

• ReminderCall at 12/10/2014 04:23 PM CST - Confirmed

[Show Audit History](#)

December 9,
2014

x R2R_RIVER TO RIVER HEART GROUP, R2R_MWANSA, INITIAL OFFICE CONSULT - 30, 30 min.
(10:00 AM) [reschedule](#)
scheduled 11/20/2014 by cgreenley
cancelled 12/08/2014 by cgreenley: OFFICE CANCELLATION
• ReminderCall at 12/05/2014 08:06 PM CST - Voicemail

[Show Audit History](#)

Appointment Cancellation History

Department	Rescheduled	Provider Unavailable	No-Show	Other
R2R_RIVER TO RIVER HEART GROUP	0	0	0	2

GOOLSBY, ELMER E (id #23272, dob: 01/10/1943)

Lynda's spouse:

Imaging Order

01/08/2015

To Provider	From Provider
HEARTLAND REGIONAL HOSPITAL (CENTRAL SCHEDULING) 3333 W DEYOUNG ST MARION, IL 62959 Phone: Phone: (618) 998-7001 Fax: Fax: (618) 998-7597	VICTOR MWANSA, MD R2R RIVER TO RIVER HEART GROUP 3331 W DEYOUNG ST STE 100 MARION, IL 62959-5896 Phone: (618) 998-7600 Fax: (618) 997-6680

Imaging Order Information

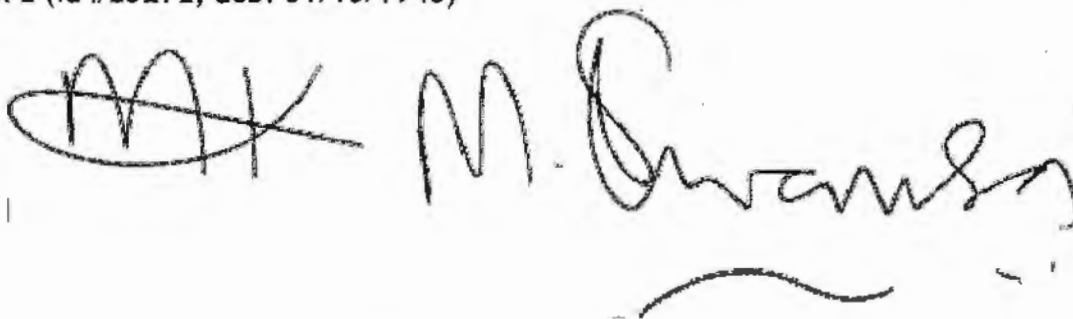
Diagnosis	<ul style="list-style-type: none"> Dyspnea ICD-9: 786.05: Shortness of breath
Order Name	Orders included: 1 Dyspnea ICD-9: 786.05: Shortness of breath <ul style="list-style-type: none"> LEXISCAN CARDIOLITE STRESS TEST Send To: *HEARTLAND REGIONAL HOSPITAL (CENTRAL SCHEDULING) Appointment Date: 01/12/2015 Appointment Time: 10:00am
Notes	

Patient Information

Patient Name	GOOLSBY, ELMER E
Sex - DOB - Age	M 01/10/1943 72 YR
Address	2201 AUTUMN LANE MARION, IL 62959
Phone	H: (618) 997-4076
Primary Insurance	MEDICARE B-IL: NATIONAL GOVERNMENT SERVICES INC ID: 328346135A Policy Holder: GOOLSBY, ELMER E Eligibility: Member is eligible. (Verified 02/15/2015)
Secondary Insurance	GUARANTEE TRUST LIFE INSURANCE - SENIORS CHOICE (SECONDARY TO MEDICARE) ID: 7800013996 Group: G1001 Policy Holder: GOOLSBY, ELMER E Eligibility: Could not determine eligibility. Please call the insurance company to verify eligibility or try again later. (Verified 01/19/2015)

Electronically Signed by: VICTOR MWANSA, MD

GOOLSBY, ELMER E (id #23272, dob: 01/10/1943)

A handwritten signature in black ink, appearing to read "M. Mwansa". The signature is written in a cursive, flowing style. To the left of the main signature, there is a smaller, more stylized mark that looks like "MK" or "MK" with a large loop.

VICTOR MWANSA, MD

GOOLSBY, ELMER E (id #23272, dob: 01/10/1943)

Imaging Order

01/08/2015

To Provider	From Provider
IN-HOUSE RESULTS FOR INTERNAL USE ONLY , IL Phone: Fax:	VICTOR MWANSA, MD R2R RIVER TO RIVER HEART GROUP 3331 W DEYOUNG ST STE 100 MARION, IL 62959-5896 Phone: (618) 998-7600 Fax: (618) 997-6680

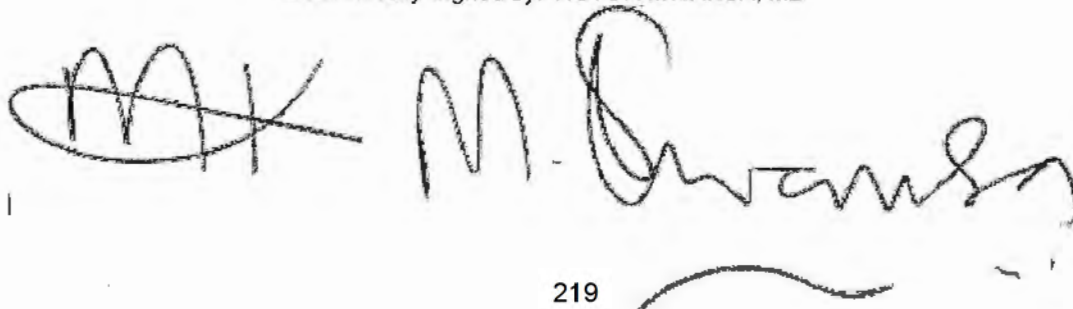
Imaging Order Information

Diagnosis	• Dyspnea ICD-9: 786.05: Shortness of breath
Order Name	Orders included: 1 Dyspnea ICD-9: 786.05: Shortness of breath • ECHOCARDIOGRAM Send To: *IN-HOUSE RESULTS
Notes	

Patient Information

Patient Name	GOOLSBY, ELMER E
Sex - DOB - Age	M 01/10/1943 72 YR
Address	2201 AUTUMN LANE MARION, IL 62959
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Electronically Signed by: VICTOR MWANSA, MD



GOOLSBY, ELMER E (id #23272, dob: 01/10/1943)

VICTOR MWANSA, MD

GOOLSBY, ELMER E (id #23272, dob: 01/10/1943)

Imaging Order

01/08/2015

To Provider	From Provider
Phone: Fax:	VICTOR MWANSA, MD R2R_RIVER TO RIVER HEART GROUP 3331 W DEYOUNG ST STE 100 MARION, IL 62959-5896 Phone: (618) 998-7600 Fax: (618) 997-6680

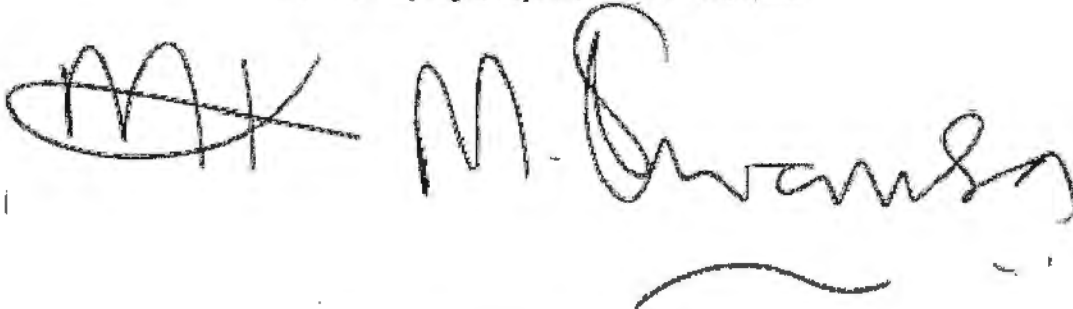
Imaging Order Information

Diagnosis	<ul style="list-style-type: none"> Palpitations ICD-9: 785.1: Palpitations
Order Name	Orders included: 1 Palpitations ICD-9: 785.1: Palpitations <ul style="list-style-type: none"> HOLTER MONITOR Note to Imaging Facility: 48 HOUR Appointment Date: 01/12/2015
Notes	

Patient Information

Patient Name	GOOLSBY, ELMER E
Sex - DOB - Age	M 01/10/1943 72 YR
Address	2201 AUTUMN LANE MARION, IL 62959
Phone	H: (618) 997-4076
Primary Insurance	MEDICARE B-IL: NATIONAL GOVERNMENT SERVICES INC ID: 328346135A Policy Holder: GOOLSBY, ELMER E
Secondary Insurance	GUARANTEE TRUST LIFE INSURANCE - SENIORS CHOICE (SECONDARY TO MEDICARE) ID: 7800013996 Group: G1001 Policy Holder: GOOLSBY, ELMER E

Electronically Signed by: VICTOR MWANSA, MD



VICTOR MWANSA, MD

HEARTLAND REGIONAL MEDICAL CENTER

ACCOUNT #: 6269172

3333 WEST DEYOUNG

MED REC#: 000329447

ADMIT DATE/TIME: 01/05/15 11:29

MARION, IL 62959

MAIDEN NAME:

DISCH DATE/TIME: 01/05/15 13:45

(618) 998-7000

PAT TYPE: E HSV: EOP

ROOM/BED: / VRE:

ADVANCE DIRECTIVE: N

MRSA:

PATIENT ADDRESS/PH# GOOLSBY ELMER E 2201 AUTUMN LN MARION IL 62959 (618) 997-4076	EMPLOYER ADDRESS/PH# RETIRED OCCUPATION: RETIRED 2010	AGE: 71 RACE: W SEX: M VIP: N DOB: 01/10/1943 MARITAL: M BIRTHPLACE: IL PREFERRED LANGUAGE: ENGLISH PATIENT SS#: 328-34-6135 GUARANTOR SS#: 328-34-6135 ETHNICITY: NOT HISPANIC OR LAT RELIGION: B COUNTY: WILLIAMSON																																																								
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REL: SELF EMERGENCY CONTACT #1 GOOLSBY LYNDIA 2201 AUTUMN LANE MARION IL 62959 HOME PH#: (618) 997-4076 WORK PH#: (618) 889-2010 RELSHP: 01 SPOUSE	REL: EMERGENCY CONTACT #2 CRAIG BRENDA 204 WESTERNAIRE DR MARION IL 62959 HOME PH#: (618) 998-9358 WORK PH#: (618) 889-1927 RELSHP: G8 OTHER RELATIONSHIP																																																									
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ICD-9: 7804		ADMITTING DIAGNOSIS: Dizziness elevated hr																																																								
COMMENTS:																																																										



>>>> 6269172

BOP 01/05/15 11:29

** 01436269172 FACE143

ADMISSIONS

01/05/15 000329447 GOOLSBY ELMER E

HEARTLAND REGIONAL MEDICAL CENTER

ACCOUNT #: 6269832

3333 WEST DEYOUNG

MED REC#: 000329447

ADMIT DATE/TIME: 01/12/15 08:04

MARION, IL 62959

MAIDEN NAME:

DISCH DATE/TIME: 01/12/15 08:04

(618) 998-7000

PAT TYPE: E HSV: MOP

ROOM/BED: / VRE:

ADVANCE DIRECTIVE: N

MRSA:

PATIENT ADDRESS/PH# GOOLSBY ELMER E 2201 AUTUMN LN MARION IL 62959 (618) 997-4076	EMPLOYER ADDRESS/PH# RETIRED OCCUPATION: RETIRED 2010	AGE: 72 RACE: W SEX: M VIP: N DOB: 01/10/1943 MARITAL: M BIRTHPLACE: IL PREFERRED LANGUAGE: ENGLISH PATIENT SS#: 328-34-6135 GUARANTOR SS#: 328-34-6135 ETHNICITY: NOT HISPANIC OR LAT RELIGION: B COUNTY: WILLIAMSON
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PAYOR 1 FC/PAYOR/PLAN K 980 2 NAME: MUTUAL OF OMAHA MEDICARE	2 FC/PAYOR/PLAN H 248 201 NAME: GTL	3 FC/PAYOR/PLAN G 0 NAME:
ADD #1 PO BOX 1602 ADD #2 CITY OMAHA STATE/ZIP NE 68175 PHONE (866) 734-6656 GRP NME GRP # POL# 328346135A INSURED GOOLSBY ELMER E INS'D DOB 01/10/1943 SEX M RELSHIP 18 SELF	PC BOX 1148. GLENVIEW IL 60025 (800) 338-7452 G1001 7800013996 GOOLSBY ELMER E 01/10/1943 M 18 SELF	
ICD-9: 78605 ADMITTING DIAGNOSIS: DYSPNEA		
COMMENTS:		



>>>> 6269832

MOP 01/12/15 08:04

** 01436269832 FACE143

ADMISSIONS

01/12/15 000329447 GOOLSBY ELMER E

Your Claims for Part B (Medical Insurance)

Part B Medical Insurance helps pay for doctors' services, diagnostic tests, ambulance services, and other health care services.

Definitions of Columns

Service Approved?: This column tells you if Medicare covered the service.

Amount Provider Charged: This is your provider's fee for this service.

Medicare-Approved Amount: This is the amount a provider can be paid for a Medicare service. It may be less than the actual amount the provider charged.

Your provider has agreed to accept this amount as full payment for covered services. Medicare usually pays 80% of the Medicare-approved amount.

Amount Medicare Paid: This is the amount Medicare paid your provider. This is usually 80% of the Medicare-approved amount.

Maximum You May Be Billed: This is the total amount the provider is allowed to bill you and can include a deductible, coinsurance, and other charges not covered. If you have Medicare Supplement Insurance (Medigap policy) or other insurance, it may pay all or part of this amount.

December 10, 2014

Virtual Radiologic Prof LLC, (952)595-1190

PO Box 4246, Carol Stream, IL 60197-4246

Referred by Keller, Jack D

Service Provided & Billing Code	Service Approved?	Amount Provider Charged	Medicare-Approved Amount	Amount Medicare Paid	Maximum You May Be Billed	See Notes Below
Dr. Henry, Thomas D., M.D.						
CT scan of neck with contrast (70491-26) professional charge	Yes	\$267.00	\$69.29	\$54.32	\$13.86	A,B
Total for Claim #02-14357-618-740		\$267.00	\$69.29	\$54.32	\$13.86	C

Saw Dr Koth at Southern IL Orthopedic Center
on 1-14-15 at 9 AM for results of this neck scan.
(See attached)

Notes for Claims Above

- A** The approved amount is based on a special payment method.
- B** After your deductible and coinsurance were applied, the amount Medicare paid was reduced due to Federal, State and local rules.
- C** We have sent your claim to your Medigap insurer. Send any questions regarding your benefits to them. Your Medigap insurer is GUARANTEE TRUST LIFE INS CO.



Orthopaedic Institute of Southern Illinois

Roland J. Barr, M.D.
Treg Brown, M.D.
J. Michael Davis, M.D.

John T. Davis, M.D.
Robert J. Golz, M.D.
Bret H. Miller, M.D.
Richard L. Morgan, M.D.

C. David Wood, M.D.
John B. Wood, M.D.
Steven D. Young, M.D.

MEDICARE AUTHORIZATION

Signature on File

Elmer E. Dolsky
Patient Signature

1-14-2015
Date

Medigap Assignment of Benefits

To: _____
Medigap Insurance Carrier (Supplemental Insurance Co.)

Elmer E. Dolsky
Patient Signature

1-14-2015
Date

By signing above, I request that payment of authorized Medigap benefits be made either to me or on my behalf to Orthopaedic Institute of Southern Illinois for any services furnished to me by the physicians of that organization. I authorize any holder of medical information pertaining to me, to be released to the above mentioned insurance carrier, any information needed to determine these benefits payable or benefits payable for related services.

****The patient's signature is valid for a period of one year****

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Williamson County Republican Women's Club ID# 5570

Lynda Goolsby

2201 Autumn Lane

Marion, IL 62959

7014 2120 0001 1723 3888

Dear Williamson County Republican Women's Club:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contributions and Expenditures

Report Period: October 1, 2014 through December 31, 2014

Filing Period: January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 1/23/2015, 5 days late. As such, this committee has been assessed a fine of \$125.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 SQ 021

McHenry Township Republican Team Fund
Respondent

ID# 9158

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2014 Quarterly Report

The Report was received by the Board on 10/16/14, 1 day late, resulting in a civil penalty assessment of \$50.

Steven Rooney, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Rooney states that the entire leadership of the Committee changed and an inexperienced Treasurer missed the postmark time, causing a next day postmark to go on the Report filing. He adds that the new leadership team was not aware of the previous assessed penalty.

The Committee's Report showed a postmark of 10/15/14. In order to be considered a timely filing, a Report received after the filing deadline must be postmarked at least 72 hours before the deadline to be considered timely. So even if this Committee's Report had been postmarked a day earlier, it would still have been a late filing. I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. (The assessment letter sent to the Committee incorrectly listed a previous violation that had actually expired.) (As of 12/31/14, this Committee reported a funds available balance of \$2,764.77)



Tom Newman – Hearing Officer
April 7, 2015

is)
Mullenry)

STATE BOARD OF ELECTIONS

15 MAR 26 AM 10:16

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

MATTER OF;

ILLOIS STATE BOARD OF ELECTIONS,

Complainant

Case No. 1450021

Mullenry Township Republican Team Fund
Respondent(s).

APPEAL AFFIDAVIT

Steven Rooney

(Name)

the Chairman

(Chairman/Treasurer)

of the

Mullenry Township Republican Central Committee

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Entire leadership of the Committee has changed.

INEXPERIENCED NEW TREASURER MISSED POSTMARK

time, causing next day postmark. New leadership team

were not aware of a previous violation. Therefore, this

Chairman pleads for leniency before this state board of

elections, to pay what you deem appropriate.

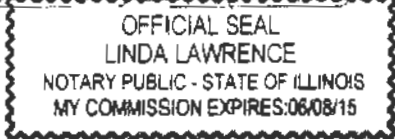
Signature of Chairman/Treasurer

THE 1st OFFENCE DELINQUENT FINE IS \$25

2nd OFFENCE delinquent FINE IS \$50

Signed and Sworn to by:

before me this
Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

ID# 9158

McHenry Township Republican Team Fund

Erik Silvertsen

5402 W. Flanders Rd

McHenry, IL 60050

7014 2120 0001 1722 6835

Dear McHenry Township Republican Team Fund:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2014 through September 30, 2014
Filing Period:	October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/16/2014, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2012	Quarterly	\$150
TOTAL AMOUNT NOW DUE		\$200.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: ln

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AD 021

Citizens for Jim Langfelder ID# 12048
Respondent

REPORT OF HEARING OFFICER

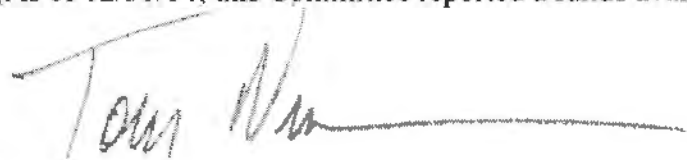
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$5,000 contribution on 11/19/14 and reported it on a Schedule A-1 received by the Board on 12/10/14, 8 days late, resulting in a civil penalty assessment of \$2,500. Additionally, the Committee was previously assessed a \$55 civil penalty (appeal pending) for delinquent filing of an A-1 contribution in the 2nd Quarter of 2014. The total assessment is \$2,555.

Mark Ginster, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Ginster states that being new to the IDIS electronic filing system, he entered the contribution in question but did not take the next step of actually filing the Schedule A-1. He says the delayed filing was an honest mistake.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$250. If these recommendations are accepted by the Board, the \$250 penalty would be stayed as a first violation. (As of 12/31/14, this Committee reported a funds available balance of \$70,661.33)



Tom Newman – Hearing Officer
April 7, 2015

State of Illinois

STATE BOARD OF ELECTIONS

County of: Sangamon

15 MAR 27 AM 10:36

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:

ILLINOIS STATE BOARD OF ELECTIONS.

Complainant

Vs.

Case No. 15A0021

CITIZENS FOR JIM LINSFELDER

Respondent(s).

APPEAL AFFIDAVIT

MARK E. GINSTA

(Name)

the

Treasurer

of the

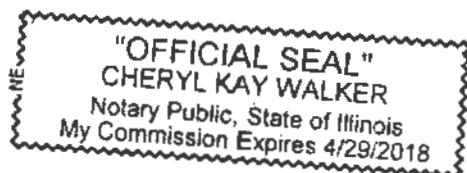
(Chairman/Treasurer)

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

SEE ATTACHED

I ENTERED Contribution but did not realize I did not
take The next Step of Clicking and Filing it.



signed and Sworn to by:

Mark E. Ginstar

before me this 27th Day of March, 2015

Notary Public
(Signature)

Cheryl Kay Walker

Mark E. Ginstar
Signature of Chairman/Treasurer

March 26, 2015

STATE BOARD OF ELECTIONS

15 MAR 27 AM 10:36

Attn: Campaign Disclosure
1020 S. Spring Street
Springfield, Illinois 62704

Regarding Delinquent A-1 Filing ID# 12048

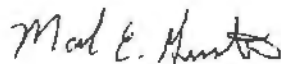
Dear Hearing Officer,

I am asking to reconsider the fine assessed offense of delinquent filing of a Schedule A-1. A contribution of \$5000.00 was made on 11/19/14 but the A-1 was not filed until 12/10/14. I am the Treasurer of the Citizens for Jim Langfelder and I am new to the IDIS reporting system. I entered the contribution and did not realize that the A-1 was not filed. I missed the last step. In speaking with Jim Langfelder he explained the step I missed and went back into the website and corrected my error. The delayed filing was an honest mistake with no violation intended.

The delayed filing was an honest oversight with no willful intent. Under Section 125.425, *Civil Penalty Assessments*, 5, C states that in cases of negligent or inadvertent violations, the Board may waive the fine. I am asking for your mercy and ask that you waive this delinquent Schedule A-1 filing offense fine. It truly was my human error and an inadvertent violation.

If you have questions, please call me at (217) 491-0052. Thank you for your time and consideration.

Sincerely,



Mark E. Ginster
Treasurer
Citizens of Jim Langfelder

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

February 27, 2015

Citizens for Jim Langfelder
James O Langfelder
2125 Lake Crest Dr
Springfield, IL 62712-9587

ID# 12048

7014 2120 0001 1716 4700

Dear Citizens for Jim Langfelder:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Laborer's Local #477	11/19/2014	\$5000	12/10/2014	8	\$2500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2500.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1250.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2014	A1 (ON APPEAL)	\$55
TOTAL AMOUNT NOW DUE		\$1305

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 SQ 028

Coalition for a Better Dolton
Respondent

ID #13550

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the September 2014 Quarterly Report

The Report was received by the Board on October 22, 2014, 5 days late, resulting in a civil penalty assessment of \$375. Additionally, the Committee had previously been assessed a \$66.47 civil penalty (not appealed, partially paid) for delinquently filing the March 2014 Quarterly report, and a \$25 civil penalty (not appealed, not paid) for delinquently filing the September 2012 Quarterly report. The total assessment is \$466.47.

Mary Duggan, the Chairman/Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Duggan states upon receipt of the notice of the late filing, the Committee filed its Final report and submitted a check to the Board for the balance of funds remaining in its account in the amount of \$33.53.

I must recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$466.47 civil penalty will be due and owing. Additionally, since the Committee filed a Final Report on 10/22/14, I recommend that should the Committee remain dissolved for a period of two years following the Final Order imposing the fine, the fine be abated.



Kim Patrick – Hearing Officer

April 7, 2015

State of Illinois)
County of : COOK)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **15 MAR 30 PM 3:35**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Coalition for a Better Dolton.)
Respondent(s).)

Case No. 1450028

APPEAL AFFIDAVIT

I, MARY K. DUGGAN, the Chairman/Treasurer of the
(Name) (Chairman/Treasurer)
Coalition for a Better Dolton

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Upon receipt of the notice of late filing dated October 27, 2014, the Committee filed its D-2 Final Report and submitted a check payable to the State Board of Elections for the balance of funds remaining in its bank account in the amount of \$33.53. The account is closed. Supporting documents are attached.

Mary K. Duggan
Signature of Chairman/Treasurer

Signed and Sworn to by:
MARY K. DUGGAN

before me this 25 Day of March, 20 15

Notary Public (seal) Atlena Greer-Williamson



FORM**D-2**

**REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES
FINAL REPORT**

**FOR OFFICE USE ONLY
IDENTIFICATION NO.**

13550

Coalition for a Better Dolton
14459 Lincoln Ave
Dolton, IL 60419-1818

FILED
10/22/2014 10:57:00 AM

REPORTING PERIOD
10/1/2014 thru 10/22/2014

CASH AVAILABLE AT
THE BEGINNING OF THE
REPORTING PERIOD
\$33.53

SECTION A - RECEIPTS

1. Individual Contributions:	
a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized.....	\$ 0.00
2. Transfers In:	
a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized.....	\$ 0.00
3. Loans Received:	
a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized.....	\$ 0.00
4. Other Receipts:	
a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized.....	\$ 0.00
TOTAL RECEIPTS (1-4).....	\$ 0
5. In-Kind Contributions:	
a. Itemized (from Schedule I)	\$ 0.00
b. Not-Itemized.....	\$ 0.00
TOTAL IN-KIND.....	\$ 0.00

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

SECTION B - EXPENDITURES

6. Transfers Out:	
a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized.....	\$ 0.00
7. Loans made:	
a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized.....	\$ 0.00
8. Expenditures:	
a. Itemized (from Schedule B)	\$ 0
b. Not-Itemized.....	\$ 33.53
9. Independent Expenditures:	
a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized.....	\$ 0.00
TOTAL EXPENDITURES (6-8)...	\$ 33.53

SECTION C - DEBTS AND OBLIGATIONS

10. a. Itemized (from Schedule C)...	\$ 0.00
b. Not-Itemized.....	\$ 0.00
TOTAL DEBTS AND OBLIGATIONS	\$ 0.00

SECTION D - CASH BALANCE

Funds available at the beginning of the reporting period.....	\$ 33.53
Total Receipts (Section A).....	\$ 0.00
Subtotal.....	\$ 33.53
Total Expenditures (Section B).....	\$ 33.53
Funds available at the close of the reporting period.....	\$ 0.00

Investment Total.....	\$ 0.00

Filed Electronically



COALITION FOR A BETTER DOLTON
C/O MARY K DUGGAN
14459 LINCOLN AVE
DOLTON IL 60419-1818

Uni-Statement

Account Number:
1 545 0086 7275

Statement Period:
Oct 24, 2014
through
Dec 22, 2014

Page 2 of 2



IMAGES FOR YOUR EASY CHECKING ACCOUNT

Member FDIC

Account Number 1-545-0086-7275

COALITION FOR A BETTER DOLTON 10-97	7-70457210 0250 1818	PA 119
P.O. BOX 520 DOLTON IL 60419	<u>DATE 10/3/14</u>	
<u>Pay to the order of State Board of Elections</u>		\$ 33.53
<u>thirty-three & 53/100</u>		
CALUMET FEDERAL SAVINGS		
<u>14 MG 041</u>		<u>Mary K Duggan</u>
⑆271070568⑆ 02⑆50086727⑆ 0119		

0119

Dec 11

33.53



P.O. Box 1800
Saint Paul, Minnesota 55101-0800

7164 IMG

X ST01

Uni-Statement

Account Number:

1 545 0086 7275

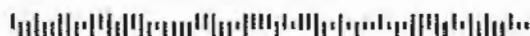
Statement Period:

Oct 24, 2014

through

Dec 22, 2014

Page 1 of 2



000020519 1 AT 0.406 106481858846611 P

COALITION FOR A BETTER DOLTON

C/O MARY K DUGGAN

14459 LINCOLN AVE

DOLTON IL 60419-1818



To Contact U.S. Bank

By Phone:

1-800-US BANKS

(1-800-872-2657)

St. Louis

Metro Area:

314-425-2000

Telecommunications Device

for the Deaf:

1-800-685-5065

Internet:

usbank.com

NEWS FOR YOU

Order your FREE online Annual Check Card Summary today! The summary provides a comprehensive record of all your 2014 transactions organized into categories for easy identification. It's a great tool for household budgeting, tax preparation & expense management. To order, login to your account at usbank.com, select your check card account from "My Accounts" and click "Order your FREE Annual Account Summary" by December 27, 2014.

EASY CHECKING

U.S. Bank National Association

Member FDIC

Account Number 1-545-0086-7275

Account Summary

Beginning Balance on Oct 24	\$	33.53	Number of Days in Statement Period	60
Checks Paid		33.53	Average Account Balance	\$ 18.62
Ending Balance on Dec 22, 2014	\$	0.00		

Checks Presented Conventionally

Check	Date	Ref Number	Amount
0119	Dec 11	8951837431	33.53

Conventional Checks Paid (1) \$ 33.53

Balance Summary

Date	Ending Balance
Dec 11	0.00

Balances only appear for days reflecting change.



P.O. Box 1800
Saint Paul, Minnesota 55101-0800

7164 IMG

X ST01

Uni-Statement

Account Number:

1 545 0086 7275

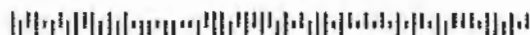
Statement Period:

Dec 23, 2014

through

Feb 24, 2015

Page 1 of 1



000018405 1 AT 0.406 106481941531791 P

COALITION FOR A BETTER DOLTON

C/O MARY K DUGGAN

14459 LINCOLN AVE

DOLTON IL 60419-1818



To Contact U.S. Bank

By Phone:

1-800-US BANKS

(1-800-872-2657)

St. Louis

Metro Area:

314-425-2000

Telecommunications Device

for the Deaf:

1-800-685-5065

Internet:

usbank.com

NEWS FOR YOU

Go paperless with Online Statements

Get your financial documents the fastest and most secure way by having them delivered directly to your Online Banking account.

Reduce paper clutter and stay organized with access to up to seven years of statements online for easy reference. Know that your information is secure within Online Banking and get automatic alerts when your latest statement is available. In addition to account statements, you can take full advantage of our paperless services by having other letters, notices and even 1099s electronically delivered to your secure Online Banking account.

It's easy to sign up, visit usbank.com/onlinestatements to learn more!

INFORMATION YOU SHOULD KNOW

Effective March 1, 2015, we will no longer offer American Express Travelers Cheques at our branch locations.

EASY CHECKING

Member FDIC

ACCOUNT CLOSED

U.S. Bank National Association

Account Number 1-545-0086-7275

Account Summary

Beginning Balance on Dec 23	\$	0.00	Number of Days in Statement Period	43
Ending Balance on Feb 24, 2015	\$	0.00	Average Account Balance	\$ 0.00

Other Withdrawals

Date	Description of Transaction	Ref Number	Amount
Feb 4	Account Closed		\$ 0.00-
Total Other Withdrawals			\$ 0.00-

Balance Summary

Date	Ending Balance
Feb 4	0.00

Balances only appear for days reflecting change.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

ID# 13550

Coalition for a Better Dolton
Mary K Duggan
14459 Lincoln Ave
Dolton, IL 60419-1818

7014 2120 0001 1722 7238

Dear Coalition for a Better Dolton:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2014 through September 30, 2014
Filing Period:	October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/22/2014, 5 day(s) late. As such, this committee has been assessed a fine of \$375.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2012	Quarterly	\$25
March 2014	Quarterly	\$66.47
TOTAL AMOUNT NOW DUE		\$466.47

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AD 024

Committee to Elect Wayne Motley ID# 15611
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received two \$1,000 contributions on 10/29/14 and reported both on a Schedule A-1 received by the Board on 12/19/14, 29 days late, resulting in a civil penalty assessment of \$1,000.

Jorge Torres, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Torres states that the A-1 in question was originally filed on 11/3/14, but he says the filing apparently didn't process correctly and was instead included on the A-1 that was filed on 12/19/14. He attaches a copy of an A-1 Report that he says was shown as filed on 11/3, presumably referring to the word "filed" appearing in the top right corner of the page.

The word "filed" on the top of a report is essentially a place-holder, where the date and time filed will appear once the report is actually submitted. It does not indicate a report has been successfully uploaded to the Board. In order to send a report through the IDIS electronic filing system, a Committee must enter its password, select a signer for the report, and actually click a "file now" button. The filing can then be confirmed by receipt of a "report successfully filed" message, checking in the previously filed reports page in the Committee's database, or checking the Board's website. Additionally, an unfiled report will continue to appear on the "reports due now" page until it is filed. This was evidently the case for this Committee, but the "reports due now" page was apparently not checked until mid-December, when the A-1 was actually filed. I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$100. If these recommendations are accepted by the Board, the \$100 civil penalty will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$49,438.52)



Tom Newman – Hearing Officer
April 8, 2015

State of Illinois)
County of: LAKE)

STATE BOARD OF ELECTIONS
15 MAR 30 PM 3:36

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
COMMITTEE TO ELECT WAYNE MOTLEY)
Respondent(s).)

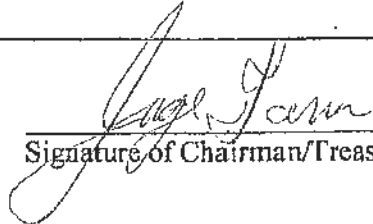
Case No. 15AD024

APPEAL AFFIDAVIT

I, JORGE TORRES, the TREASURER of the
(Name) (Chairman/Treasurer)
COMMITTEE TO ELECT WAYNE MOTLEY
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE ORIGINAL SCHEDULE A-1 WAS FILED ON 11/3/14. HOWEVER
THE FILING DIDN'T PROCESS AND WAS INCLUDED ON THE SCHEDULE A-1
THAT WAS FILED ON 12/19/14. THE ORIGINAL A-1 WAS SHOWN
AS FILED ON 11/2/14, SEE DOCUMENT ATTACHED WE ARE
APPEALING ALL FINES.


Signature of Chairman/Treasurer

Signed and Sworn to by:

Maria M. Lacour
before me this 25th Day of March, 2015

Notary Public

(seal)
OFFICIAL SEAL
MARIA M LACOUR
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES: 06/03/17

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more

FILED

FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 15611

Committee to Elect Wayne Motley
108 S Genesee St
Waukegan, IL 60085

ITEMIZED RECEIPTS	RECEIPT TYPE	DATE RECEIVED	AMOUNT OF EACH RECEIPT
FULL NAME, MAILING ADDRESS, AND ZIP CODE			
Individual Contribution			
Asad, Iyad 3272 Victoria Lane Waukegan, IL 60087-5343		10/29/2014	\$1,000.00
Individual Contribution			
Panaderia La Azteca 814 10th St Waukegan, IL 60085		10/29/2014	\$1,000.00

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Committee to Elect Wayne Motley
3124 W. Newcastle Ct
Waukegan, IL 60087

ID# 15611

7014 2120 0001 1723 2423

Dear Committee to Elect Wayne Motley:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Iyad Asad	10/29/2014	\$1000	12/19/2014	29	\$500
Panaderia La Azteca	10/29/2014	\$1000	12/19/2014	29	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1000.00 for delinquently filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

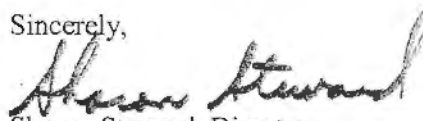
Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 AS 020

Citizens to Elect Tinley Park Village Officials ID# 15724
Respondent

REPORT OF HEARING OFFICER


Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$19,000 contribution on 8/26/14, and reported it on a Schedule A-1 received by the Board on 9/11/14, 6 days late, resulting in a civil penalty assessment of \$9,500.

Rita Walker, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Walker states that the violation was inadvertent and was the result of confusion within the Committee during a reorganization. She says the Committee has since re-formed under a new name and filed all reports in a timely manner.

I recommend the appeal be denied for lack of an adequate defense. Since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I further recommend the penalty be reduced to 10% of the original assessment, or \$950. As a first violation, the penalty is stayed. Additionally, since the Committee has filed a Final Report, I recommend that should the Committee remain dissolved for a period of two years from the date of the Final Board Order imposing the fine, the fine be abated. (As of 10/7/14, this Committee reported a funds available balance of \$0)



Tom Newman – Hearing Officer
April 3, 2015

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS
15 MAR 26 AM 10:16

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens to Elect Tinley Park Officials)
Respondent)

14A5020

APPEAL AFFIDAVIT

I, Rita Walker, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens to Elect Tinley Park Officials
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The violation of filing an A-1 for funds received from Citizens to Elect Ed Zabrocki was inadvertent and occurred
due to several reasons. The committee was being reorganized, the officers were anxious to transfer the account,
and the decision making and communication as such was moving slowly. The account was opened and the funds
transferred, but everything got set aside, due to decisions that had yet to be made. Taking over and not realizing
in a timely manner that the funds should have been reported within a five-day window was not an attempt to de-

- Continued on Page Two -

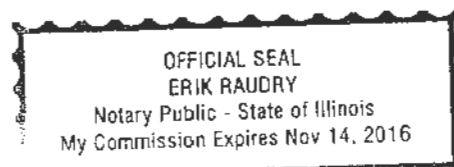
Signed and Sworn to by:

before me this 28 Day of March, 2015

Notary Public
(Seal)

Rita Walker

Signature of Chairman/Treasurer



APPEAL AFFIDAVIT

Page Two

ceive nor disrupt the reporting process. Upon realizing the error, a phone call was immediately placed to the Election Board Chicago office and discussed with a gentleman named Mike, who explained how the situation would be handled. Eventually the campaign committee was reorgaznized under a new committee name, another indication that things were very disorganized from the start. Since the organization of the new committee, Team Tinley 2015, all reports have been filed in a timely manner. Should you require any further information, I can be reached at 708-532-8676, or 708-712-8676.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Citizens to Elect Tinley Park Village Officials ID# 15724
PO Box 1226
Tinley Park, IL 60477

7014 2120 0001 1723 2973

Dear Citizens to Elect Tinley Park Village Officials:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Citizens to Elect Ed Zabrocki	8/26/2014	\$19000	9/11/2014	6	\$9500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$9500.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$950.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 AJ 021

Friends of Paul Palazzolo ID# 15925
Respondent

REPORT OF HEARING OFFICER

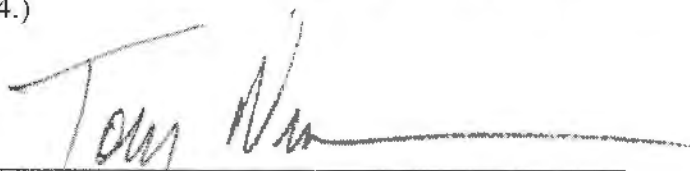
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$1,147.93 contribution on 5/5/14 and reported it on a Schedule A-1 received by the Board on 5/19/14, 5 days late, resulting in a civil penalty assessment of \$574. The Committee also received a \$1,336.55 contribution on 5/30/14 and reported it on a Schedule A-1 received by the Board on 6/9/14, 1 day late, resulting in a civil penalty assessment of \$668. The total assessment is \$1,242.

Michael Noonan, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Noonan states both contributions were in-kind contributions resulting from a committee fundraiser, and in both cases he reported using the date of the fundraiser event rather than the date the committee received notice of the contributions.

The date of receipt for an in-kind contribution is considered to be the date the committee receives notice from the contributor. I contacted Mr. Noonan to see if he could provide documentation to support his claim regarding the dates the notifications were received, but unfortunately, he was unable to provide such evidence. While using an incorrect date of receipt for in-kind contributions is a fairly common mistake, without any hard evidence to refute the dates originally reported I am reluctant to accept the Committee's defense in this case. I therefore recommend the appeal in regards to both contributions be denied. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$124. If these recommendations are accepted by the Board, the \$124 civil penalty will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$2,516.74.)



Tom Newman – Hearing Officer
March 2, 2015

14 DEC 11 AM 10:03

State of Illinois)
)
County of Sangamon)

BEFORE THE STATE BOARD OF ELECTION
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

VS.

FRIENDS OF PAUL PALAZZOLO,

Respondent:

Case Number:

14A9021

APPEAL AFFIDAVIT

I, Michael T. Noonan, the Treasurer of the FRIENDS OF PAUL PALAZZOLO Committee, first being duly sworn, deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

That in both cases cited by the State Board of Elections letter dated November 14, 2014, that, I Michael T. Noonan, acting as Treasurer did not know that the A-1 reporting period for in-kind donations is the same as if the contribution was a monetary contribution. Rather than reporting the date of the A-1 as the date the Committee was notified of the amount of the in-kind contribution, I reported the in-kind as of the date of the fundraiser and not the date we were finally notified of the total in-kind contribution. It was an error that I now understand and have corrected.

For this reason, I ask the Board to dismiss this complaint and remove the assessed civil penalty.

By: Michael T Noon
MICHAEL T. NOONAN, Treasurer
Friends of Paul Palazzolo

Subscribed and sworn to before me
this 11TH day of DECEMBER, 2014.

Joseph A. Brockamp
Notary Public



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
November 14, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Friends of Paul Palazzolo
PO Box 2373
Springfield, IL 62705

ID# 15925

7013 1710 0001 8744 6936

Dear Friends of Paul Palazzolo:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
R.W. Troxell & Co.	5/5/2014	\$1147.93	5/19/2014	5	\$574
Ace Hardware DBA Bishop Hardware and Supply Inc.	5/30/2014	\$1336.55	6/9/2014	1	\$668

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1242.00 for delinquent filing Schedule A-I reports. This total **does not** reflect any previously assessed fines.

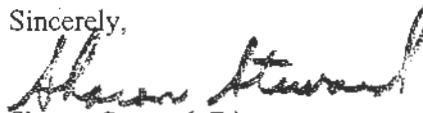
Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$124.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 15, 2014 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AD 025

Friends of Paul Palazzolo ID# 15925
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$1,040 contribution on 10/1/14 and reported it on a Schedule A-1 received by the Board on 10/15/14, 4 days late, resulting in a civil penalty assessment of \$520. Additionally, the Committee was previously assessed a \$124 civil penalty (appeal pending) for delinquent filing of two A-1 contributions in the 2nd Quarter of 2014. The total assessment is \$644.

David Kelm, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Kelm states that the Committee was in the process of correcting the same issue for a previous report when the violation at issue took place. He says the Committee Treasurer was in the process of determining the correct way to file A-1s at the time.

Regardless of when it was notified of previous violations, it is the Committee's responsibility to be aware of and to meet all report filing criteria and deadlines. I therefore recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the second A-1 violation for this Committee (having occurred in a later quarter than the first A-1 violations) I recommend the penalty be reduced to 50% of the original assessment, or \$260 (this is a change from the assessment letter sent to the Committee, which incorrectly reduced the amount to \$52). If these recommendations are accepted by the Board, the total assessment of \$384 will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$2,516.74)



Tom Newman – Hearing Officer
April 7, 2015

State of Illinois)
County of SANGAMON)

STATE BOARD OF ELECTIONS
15 MAR 27 PM 3:57

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 13A0023

FRIENDS OF PALAZZOLO)

Respondent(s).)

APPEAL AFFIDAVIT

I, DAVID A KOEN, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

FRIENDS OF PALAZZOLO
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The committee was in the process of correcting the same issue from a previous report when ~~the~~ the alleged violation at issue took place. The committee's Treasurer was in the process of determining the correct way/process to file such A-1s when the alleged violation at issue took place.

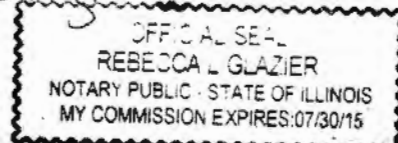
Signed and Sworn to by:

Rebecca Glazier
before me this 27th Day of
March, 2011

Rebecca L. Glazier
Notary Public

[Signature]

(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

February 27, 2015

Friends of Paul Palazzolo
PO Box 2373
Springfield, IL 62705

ID# 15925

7014 2120 0001 1716 4724

Dear Friends of Paul Palazzolo:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Paul John Staab II & Mark Stabb	10/1/2014	\$1040	10/15/2014	4	\$520

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$520.00 for delinquent filing schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$52.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

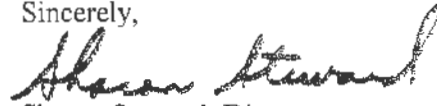
If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2014	A1 (ON APPEAL)	\$57
June 2014	A1 (ON APPEAL)	\$67
TOTAL AMOUNT NOW DUE		\$176

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 AS 021

Holland & Knight LLP IL Committee for Effective Government ID# 16109
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received an \$8,000 contribution on 7/23/14 and reported it on a Schedule A-1 received by the Board on 10/15/14, 53-days late, resulting in a civil penalty assessment of \$4,000.

Peter Friedman, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Friedman states that the Committee is well aware of the five day reporting requirement for A-1 contributions, and the late filing was just an oversight. He says the Committee believed the contribution had been reported on time, but when preparing its Quarterly Report discovered that it had not. Mr. Friedman says the Committee has implemented new procedures to keep this from happening again, and asks that the penalty be suspended or reduced to allow the Committee to demonstrate the effectiveness of these procedures.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$400. (This is a change from what was listed on the Committee's assessment notice, which incorrectly described this as the second A-1 violation.) If these recommendations are accepted by the Board, the \$400 civil penalty will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$18,791.65)



Tom Newman – Hearing Officer
April 2, 2015

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS

15 MAR 26 AM 8:57

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

s.)

Holland & Knight LLP IL)

Respondent(s))

Comm for Effective Government)

Case No. 14AS021

ID#16109

APPEAL AFFIDAVIT

Peter Friedman the Treasurer of the
(Name) (Chairman/Treasurer)

Holland & Knight LLP IL Committee for Effective Government
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

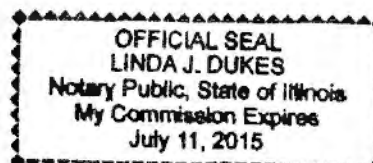
Please see attached

Peter Friedman
Signature of Chairman/Treasurer

igned and Sworn to by:
Peter Friedman

fore me this 25th Day of March, 2015

otary Public
eal) Linda J. Dukes



Statement in Support of Appeal

Holland & Knight LLP IL Committee for Effective Government (ID # 16109)

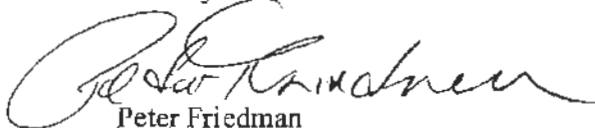
The Holland & Knight LLP IL Committee for Effective Government ("**Committee**") has received the Board's February 27, 2015 notice regarding the Committee's October 15, 2014 A-1 Report. The A-1 Report reflects a July 23, 2014 contribution from Holland & Knight LLP in the amount of \$8,000. We know that under P.A. 96-832, this contribution should have been reported on the A-1 and filed within 5 days of the contribution.

The Committee is well aware of the 5-day filing rule for contributions over \$1,000 and believed that this particular contribution had been properly filed on time. It was not until preparing the Committee's October 15, 2014 filing that this oversight was discovered. As part of this appeal we want to assure the Board that this late filing was entirely inadvertent and in no way an attempt to avoid the full and complete disclosure of the Committee's contributions. The contribution at issue is by a contributor that has provided the Committee with periodic contributions in the past. Thus, the late filing did not prevent disclosure of a previously unknown contributor to the Committee.

The Committee also wants to emphasize that it takes the filing and other disclosure requirements of the Illinois Campaign Disclosure Act seriously. I and the other members of the Committee are committed to assuring that this particular late filing is an exception to the rule regarding compliance with the Act. Toward that end, we have implemented new procedures internally to assure that filings are completed and filed on time.

The Committee respectfully requests that the civil penalty be suspended or materially reduced to allow the Committee to demonstrate the effectiveness of these new procedures. A \$2,000 civil penalty is obviously a significant percentage of the Committee's funds. In light of our commitment to compliance and the many years in which the Committee has operated responsibly under the Act, we would appreciate your consideration of this appeal.

Thank you,



Peter Friedman

Treasurer

Holland & Knight LLP IL Committee for Effective Government

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

February 27, 2015

Holland & Knight LLP IL Comm for Effective Government ID# 16109

Peter M Friedman
131 S Dearborn St, 30th Fl
Chicago, IL 60603-5506

7014 2120 0001 1723 3536

Dear Holland & Knight LLP IL Comm for Effective Government:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Holland & Knight LLP	7/23/2014	\$8000	10/15/2014	53	\$4000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$4000.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2000.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

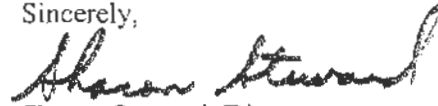
Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

vs.

14 SQ 038

McAvoy for Alderman Committee

Cmte ID: 17306

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the September 2014 Quarterly Report

The Report was received October 16, 2014, one day late, resulting in a fine of \$25. This is the Committee's first assessment.

Erin Crouse, the Treasurer of the Committee, filed a waiver of appearance and appeal affidavit in this matter.

In the Affidavit, Ms. Crouse indicated a "temporary computer malfunction" caused the delinquent filing.

I recommend the appeal be denied for lack of an adequate defense. Section 100.150 (5) of the Rules accompanying the Illinois Campaign Finance Act reads, in part, "If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." However, Committees are allowed to use such a defense only once, and this Committee did so with respect to a fine assessed for delinquently filing the September 2011 Quarterly Report. If the Board adopts this recommendation, the \$25 fine would be stayed as the product of a first offense. As of December 31, 2014, the Committee reported a cash balance of \$664.90.



John Levin – Hearing Officer

March 24, 2015

State of Illinois)

County of: COOK)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

15 MAR 16 PM 1:55

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 145Q038

MAYOR FOR ALDERMAN COMMITTEE
Respondent(s).)

APPEAL AFFIDAVIT

I, ERIN M. BRIDLE, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

MAYOR FOR ALDERMAN COMMITTEE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

TEMPORARY COMPUTER MALFUNCTION.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]

before me this 13th Day of March, 2015

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

McAvoy for Alderman Committee
Erin M Crouse
9130 W 89th St
Hickory Hills, IL 60457-1208

ID# 17306

7013 1710 0001 8744 5823

Dear McAvoy for Alderman Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contributions and Expenditures
Report Period: July 1, 2014 through September 30, 2014
Filing Period: October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 10/16/2014, 1 days late. As such, this committee has been assessed a fine of \$25.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward", is written over a horizontal line.

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AD 027

Republican State Senate Campaign Committee ID# 17589
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File Schedule A-1 Reports

~~The Committee received a \$125,000 contribution on 10/24/14 and a \$6,200 contribution on 10/24/14 and failed to report either on a Schedule A-1, resulting in a civil penalty assessment of \$65,600.~~

Patrick Phalen, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Phalen states that the failure to file was the result of an inadvertent oversight by the Committee's bookkeeper during a time when the Committee was experiencing a high volume of financial transactions. He notes that this was the first such violation since the creation of the Committee, and asks that the fine be waived or stayed. Failing that, Mr. Phalen asks that the Committee's executive director be allowed to discuss a settlement offer.

I recommend the appeal be denied due to lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$6,560. If these recommendations are accepted by the Board, the \$6,560 penalty will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$2,624.50)



Tom Newman – Hearing Officer
April 1, 2015

State of Illinois)
County of Sangamon)

STATE BOARD OF ELECTIONS

15 MAR -9 AM 10:10

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Republican State Senate Campaign Committee)
Respondent(s).)

Case No. 15A0027

APPEAL AFFIDAVIT

I, Patrick T. Phalen, the treasurer of the
(Name) (Chairman/Treasurer)

Republican State Senate Campaign Committee,
(Name of the Committee)

first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The failure to file these A-1 reports was an inadvertent oversight by our bookkeeper who was promptly filing all other A-1 reports during the 2014 general election cycle where there was a high volume of financial transactions happening. This is the first violation since the creation of this committee. Due to the inadvertent nature of this violation, I respectfully ask that the Board waive the fine. In lieu of waiving the fine, I request that the Board stay the fine for a probationary period of time pending no further violations during that time period. If a waiver of fine or stay of fine is not possible, I request that the Board contact our Executive Director, Brian Burian, to discuss a lesser fine in settlement.

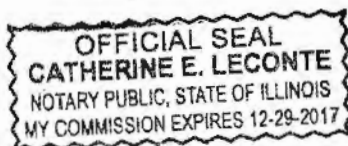
Signed and Sworn to by:

Patrick Phalen
before me this 5 Day of
March, 2015

Catherine E. LeConte
Notary Public

Patrick Phalen

(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Republican State Senate Campaign Committee ID# 17589
Brian Burian/Roxanne Owens
PO Box 3422
Springfield, IL 62708

7014 2120 0001 1716 4861

Dear Republican State Senate Campaign Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Citizens for Christine Radogno	10/24/2014	\$125000	*	52	\$62500
Friends of Shaun Murphy	10/24/2014	\$6200	*	52	\$3100

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$65600.00 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$6560.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward". The signature is fluid and cursive, with a long, sweeping tail on the final letter.

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 SQ 040

Batavia Township Republican Org ID# 17662
Respondent

REPORT OF HEARING OFFICER

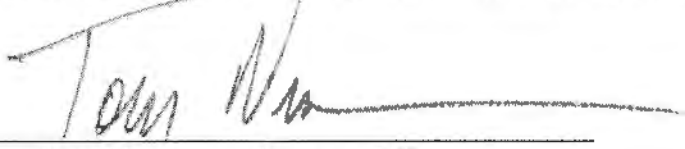
Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2014 Quarterly Report

The Report was received by the Board on 10/21/14, 4 days late, resulting in a civil penalty assessment of \$200. Additionally, the Committee was previously assessed a \$100 civil penalty (appealed, denied, stayed) for delinquent filing of the June 2014 Quarterly Report. The total assessment is \$300.

Leigh Tracy, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Tracy states that the Committee has had no contributions or expenditures for over a year. She says the late filing was the result of changes in the Committee that resulted in a new Treasurer who was inadequately prepared and did not have sufficient time to make the required reports. She adds that imposition of the fine will adversely affect the Committee's ability to operate at a time when it is trying to remain viable.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay will be lifted from the previous penalty, and the total assessment of \$300 will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$363.24)



Tom Newman – Hearing Officer
April 3, 2015

State of Illinois)
County of: _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

15 MAR 26 AM 10:16

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Batavia Township Republican Org.)
Respondent(s).)

Case No. 1450040

APPEAL AFFIDAVIT

I, Leigh Tracy, the Treasurer of the
(Name) (Chairman/Treasurer)
Batavia Township Republican Organization
(Name of the Committee)

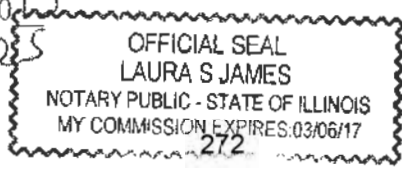
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

SEE ATTACHED

Leigh Tracy
Signature of Chairman/Treasurer

Signed and Sworn to by:
Leigh Tracy
before me this 23rd Day of March, 2013

Notary Public Laura S James
(Seal)



Batavia Township Republican Organization
State Board of Elections
Appeal
March 23, 2015

This is written in regard to both the June 2014 and September 2014 Quarterly Reports of Campaign Contributions and Expenditures for this committee.

We are currently being assessed a fine of \$100 related to the June 2014 Report, and a fine of \$200 related to the September 2014 Report. Payment of these fines would significantly deplete our available funds and adversely affect our ability to operate at a time when we are making every effort to remain viable.

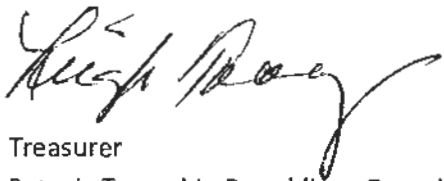
Our current Bank Balance is \$363.24. (See attached statement) We have had no contributions or expenditures for over a year.

At the time I filed the appeal on December 5, 2014 I was attempting to address the late filing of both the June and September 2014 reports. I believe that appeal was not adequately understood.

The late filling of both Quarterly Reports was the result of changes in those offices charged with filing the reports. In both cases, the persons serving as Treasurer were inadequately prepared and did not have sufficient time to make the required reports. I have made every effort since that time to do the filing as promptly as possible.

On the basis of our current financial status and the precarious nature of our past organizational situation, I request that both fines be waived.

Leigh Tracy



Treasurer
Batavia Township Republican Organization
1356 Clybourne St.
Batavia, IL 60510
630-853-3359
leightracy@sbcglobal.net

Old Second National Bank
37 South River Street
Aurora, IL 60506-4172
(630)892-0202

Date 2/27/15 Page 1
Account Number @XXXXXXXXXX@5028

Batavia Township Republican Organization
1356 Clybourne St
Batavia IL 60510-0742

----- CHECKING ACCOUNT -----

Account Title: Batavia Township Republican Organization

Business Free Checking		Number of Images	0
Account Number	@XXXXXXXXXX@5028	Statement Dates	2/02/15 thru 3/01/15
Previous Balance	363.24	Days in Statement Period	28
Deposits/Credits	.00	Average Ledger	363.24
Checks/Debits	.00	Average Collected	363.24
Service Charge	.00		
Interest Paid	.00		
Ending Balance	363.24		

----- Daily Balance Information -----

Date	Balance
2/02	363.24

To check or redeem your debit card Debit Rewards points please go to
www.oldsecond.com and click on Debit Rewards or call 1-866-250-3686.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowan
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

ID# 17662

Batavia Township Republican Org
Leigh Tracy
1356 Clybourne St
Batavia, IL 60510

7014 2120 0001 1722 6880

Dear Batavia Township Republican Org:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2014 through September 30, 2014
Filing Period:	October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/21/2014, 4 day(s) late. As such, this committee has been assessed a fine of \$200.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2014	Quarterly (ON APPEAL)	\$100
TOTAL AMOUNT NOW DUE		\$300.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AD 028

Statewide Medical Alliance for the Survival of Healthcare ID# 17787
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$1,500 contribution on 10/26/14 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$750. Additionally, the Committee was previously assessed a \$50 civil penalty (not appealed, paid) for delinquent filing of a Schedule A-1 in the fourth quarter of 2012. The total assessment is \$750.

Kathy Swafford, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Swafford states that the contribution in question was a loan advanced to the Committee to cover the cost of last-minute advertisements and posters in support of a judicial retention effort. She adds that when entering the loan into the committee's electronic filing database, there was no description for "loan" in the dropdown box for "contributions".

In the IDIS electronic filing system, "loans received" is one of the choices when selecting the type of contribution. But regardless of the nature of the contribution, since it totaled \$1,000 or more, a Schedule A-1 was required. I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the second A-1 violation for this Committee, I recommend the penalty be reduced to 50% of the original assessment, or \$375. If these recommendations are accepted by the Board, the \$375 civil penalty will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$744.19)



Tom Newman – Hearing Officer
April 3, 2015

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS
15 MAR 26 AM 10:16

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
)
)
Respondent(s).)
Statewide Medical Alliance)
for the Survival of Healthcare)

Case No. 15AD028

APPEAL AFFIDAVIT

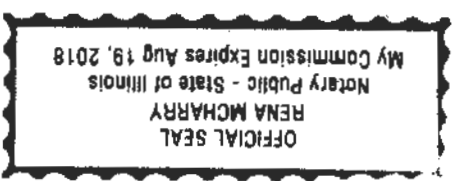
~~SMASH~~ Kathy Swafford the Kathy Swafford, Treasurer of the
(Name) (Chairman/Treasurer)
Statewide Medical Alliance for the Survival of Healthcare SMASH
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

SMASH made a \$1000 donation to the Manar campaign, which depleted our account. A last minute effort to block Judge Karmour's retention mounted by law firms prompted last minute ads + posters in support of Judge Karmour. Dr Kusler advanced/loaned SMASH \$1500.00 to cover the cost of ads (see enclosed) "Loan" is not an option in the dropdown box for "contributions" Perhaps I should not have reported this "loan"? Dr Kusler will be repaid as our treasury allows.

Kathy Swafford
Signature of Chairman/Treasurer

Subscribed and Sworn to by:
Renata McHARRY
I certify to me this 23 Day of March, 2015
Notary Public
)



No. 1028 P. 2
3/9/15

Dear Kathy,

Enclosed copy of check designating
1500⁰⁰ loan for Harrier Campaign. I hope
this will help reduce the penalty and
confusion with Election Board, it was not
a gift. Please reimburse me if and when
SMASH has enough funding.

My best writing
Loan

MORRIS & KNIGLER MO 1-12
218-973-3390
2018 N. HARVEY ST
SPARKY, IL 62206

5634
10/23 2014

PAY TO THE ORDER OF SMASH
Fifteen hundred 00/100

FIRST NATIONAL BANK
OF CHICAGO
CHICAGO, ILL. 60601

10/28 5634 1500.00

M. R. Knight



Wayne County Press

213 East Main / Fairfield, Illinois / 62837
Telephone 618 / 842-2662

Invoice Number Invoice Date

7909

10/31/2014

Advertiser No.

Amount Due

Due Date

7230

\$0.00

11/30/2014

Statewide Medical Alliance (S*M*A*S*H)
Kathy Swofford, M.D.
220 Lick Creek
Anna, IL 62906

Amount Enclosed

PAID IN FULL

Please detach top portion and return with your payment.

INVOICE

Wayne County Press

Statewide Medical Alliance (S*M*A*S*H)

Invoice No. 7909

10/31/2014

Start Dates	Order No.	Description	No. of Runs	Ad Size	SubTotal	Sales Tax	Amount
10/30/2014 - 10/30/2014	5531	Display: ROP: Vote to Retain Lloyd Karmeier, Supreme Court Justice Wayne County Press	1	4 x 8			\$278.40

Sub Total: \$278.40

Total Transactions: 1 Total: \$278.40

SUMMARY

Advertiser No.

7230

Invoice No.

7909

Invoice Amount

\$278.40

Amount Paid

\$278.40

*Karmeier
campaign
ad*

Nathy-

Here is a copy of
the receipt.

Thanks!

Jamie
Breeze-Courier

ad in Breeze
Courier

BREEZE COURIER
212 S MAIN ST
TAYLORVILLE IL 62568
217-824-2283

Merchant ID: 00004621558
Term ID: 00517183 Ref #: 0004

Phone Order

XXXXXXXXXX2295

VISA Entry Method: Manual

Total: \$ 594.00

10/29/14 00:58:58

Inv #: 000004 Appr Code: 057725

Apprvd: Online Batch#: 000498

AVS Code: EXAC MATCH Y

I agree to pay above total
amount according to card issuer
agreement (Merchant agreement is
credit voucher)

X

Merchant Copy

at mkt
Journal

JOURNAL PUBLICATIONS
431 S MAIN ST
HILLSBORO, IL 62049
(217)532-3933

10/28/2014 12:33:44
Sale:

Transaction #

Card Type:

3
USIA

Acc:

XXXXXXXXXXXX2295

Entry:

Manual

Total:

312.00

Reference No.: 00000003

Auth. Code:

000543

Reason:

APPROVED

AUS Code:

Y
Exact match on address

and ZIP code.

CU2 Code:

H
CV code matches with

system data.

I AGREE TO PAY ABOVE
TOTAL AMOUNT ACCORDING
TO CARD ISSUER AGREEMENT
(MERCHANT AGREEMENT IF
CREDIT VOUCHER)

X.....
SIGNATURE

MERCHANT COPY

Morris Kueler

SN

Two class action law firms from St. Louis and Chicago have no shame nor restraint in pursuing their agenda for personal gain.

Voters in our communities need to VOTE to retain an honorable and fair judge who represents the values of southern Illinois on the State Supreme Court.

Every vote helps. Talk with your neighbors and friends.

**VOTE TO RETAIN
Supreme Court
Justice
Lloyd Karmeier**

S*M*A*S*H

**Statewide Medical Alliance for the
Survival of Healthcare**

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Email Address

1170 Effingham Daily News

201 North Banker Street
Effingham, IL 62401
2173477151

10/27/2014 2:46:19 PM

Reference Number:	67208146
Total:	\$450.00
Transaction Type:	Sale
Transaction Status:	GB909
Card Type:	Visa
Card Number:	xxxxxxxxxx2295
Entry Method:	Keyed
Approval Code:	060960
Approval Message:	Exact Match
AVS Result:	Full Exact Match
Customer Name:	
Invoice:	1111

X

Please sign here to agree to payment.

ad in Effingham
Daily News
"Karmen carpenter"

Attn: Kathy

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
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Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

February 27, 2015

State of Il Medical Alliance for the Survival of Healthcare (SMASH) ID# 17787

Kathy Swafford
1680 Lick Creek Rd
Anna, IL 62906-3247

7014 2120 0001 1716 4977

Dear State of Il Medical Alliance for the Survival of Healthcare (SMASH):

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Morris Kugler MD	10/26/2014	\$1500	*	45	\$750

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$750.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$375.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 AS 025

Naperville Twp Democratic Org ID# 18389
Respondent

REPORT OF HEARING OFFICER

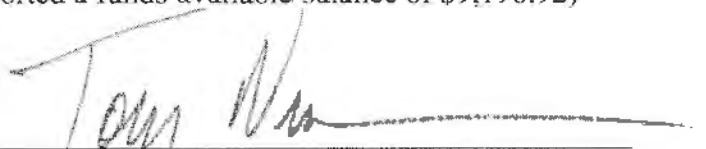
Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$1,000 contribution on 9/21/14 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500.

Dianne McGuire, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. McGuire states that in April of 2014 the Committee underwent a complete turnover of executive board members, including the appointment of a new Treasurer for the Committee. She says in addition to facing a steep learning curve, the new Treasurer underwent complicated shoulder surgery in July, and indicates the A-1 filing was accidentally missed as a result of these circumstances.

While the Committee's problems were unfortunate, they do not excuse the Committee from the disclosure reporting requirements. I therefore recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$50. As a first violation, the penalty is stayed. (As of 12/31/14, this Committee reported a funds available balance of \$9,198.92)



Tom Newman – Hearing Officer

April 8, 2015

ate of Illinois)
)
ounty of : _____)

STATE BOARD OF ELECTIONS

15 MAR 20 PM 2: 18

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF:)
)
ILINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
s.)
Naperville Township Democratic
Respondent(s). Organization

Case No. 14A3025
#18389

APPEAL AFFIDAVIT

Dianne McGuire the Chairman of the
(Name) (Chairman/Treasurer)
Naperville Township Democratic Organization
(Name of the Committee)

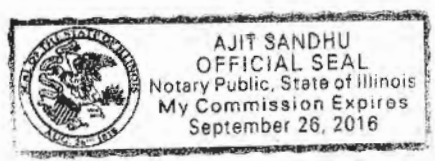
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

In April of 2014, the NTBO experienced an almost complete turnover of
Exec Bd. members. The sitting Treasurer resigned shortly thereafter and I
appointed Deanne Sereno. There was very little in the way of mentoring offered;
she faced a steep learning curve! In the midst of all this, she also had complicated
shoulder surgery in 7-1-14 and follow-up surgery this past Feb. on that same arm!
We are very sorry for this mistake and I am sure it will not
happen again. We ask for your understanding.
and hope to have this fine removed.
Thank you for your consideration!

Dianne McGuire
Signature of Chairman/Treasurer

ed and Sworn to by:

we me this 18th Day of MARCH, 2015
ary Public Ajit Sandhu
I)





NAPERVILLE TOWNSHIP
DEMOCRATIC
ORGANIZATION

18389
Dianne McGuire - Chairperson
Steve Osterstead - Vice Chairperson
Jay Mitchell - Secretary
Lisa Bosco - Treasurer
Bill Habel - Precinct Director
STATE BOARD OF ELECTIONS
15 MAR 10 AM 10:19

1235 Tennyson Lane | Naperville, IL 60540 | 630.420.8502 | dianne@napervilledemocrats.org

Dear Esteemed Members of the Illinois State Board of Elections,

On behalf of the Naperville Township Democratic Organization, I would like to appeal a recent \$50 stayed penalty that was imposed upon us. On September 21, 2014 we received a \$1000 donation from the Construction and General Laborers' District Council for our annual fundraiser. Unfortunately, we failed to file an A1 in a timely way for this donation. If I may, I would like to offer an explanation to the Board for this error.

In April of 2014, the NTDO experienced an almost complete turnover of Executive Board members. A new secretary and vice chair were elected. At that same meeting, the sitting treasurer resigned and I appointed Deanne Sereno as her replacement. As a former candidate for office (3x), I know how important the role of treasurer is and also how stressful the position can be. Deanne was willing to take this on at a time when the organization needed her assistance. There was very little in the way of mentoring offered by the previous treasurer and Deanne was faced with a steep learning curve.

In the midst of all this, our new treasurer also had complicated shoulder surgery on July 1, 2014 which necessitated that her arm actually be held immobile for 8 weeks! She has since had a follow-up surgery this past February on that same arm. I am sharing this to help you understand why this requirement just might have gotten by her notice.

We are very sorry for this mistake and I am sure it will not occur again. We ask for your understanding and we are hoping for this fine to be removed.

With appreciation for your consideration,

Dianne McGuire, Chair

Putting People First

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss
CORRECTED ASSESSMENT
March 4, 2015

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Naperville Twp Democratic Org
Dianne McGuire
1235 Tennyson Ln
Naperville, IL 60540-0333

ID# 18389

Dear Naperville Twp Democratic Org:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Construction & General Laborers' District Council	9/21/2014	\$1000	*	11	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

15 AD 030

Friends of Mike Jacobs ID# 18410
Respondent

REPORT OF HEARING OFFICER

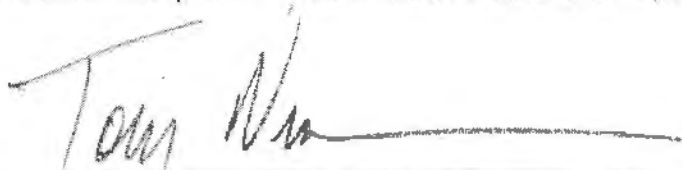
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received the following 24 contributions: \$17,000, \$15,000, \$5,000, \$2,500, \$1,000, \$1,000, and \$1,000 on 11/3/14; \$5,000, \$5,000, \$4,000, \$3,000, \$2,500, \$1,000, \$1,000, \$1,000, \$1,000, and \$1,000 on 11/6/14; \$1,233.35, \$1,118.22, \$1,100.73 and \$1,079.73 on 11/11/14; \$17,300, \$1,000, \$1,000 on 11/14/14; and reported all these on a Schedule A-1 received by the Board on 12/1/14, between 15 and 4 days late, resulting in a total civil penalty assessment of \$45,416.

Dawn Shelton, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Shelton states that the Committee filed an amended Quarterly Report that would remove one of the contributions at issue -- \$17,300 from AMS Communications on 11/14/14 -- from the list of late A-1s. In regards to the remaining contributions, she says the Committee recognized the A-1 filings were late, and sent a letter to the Board in early February as a preemptive response she believes constitutes reasonable cause for abatement of the penalties. That letter, from Committee accountant Richard VanTiegham explains that in late October he left for Florida for five weeks to facilitate his wife's recovery from alcoholism. He says in his absence his son handled the Committee's reporting, and being unfamiliar with the filing requirements he entered contributions into the Committee's electronic filing database as they arrived, thinking he was completing reports as expected, but without actually filing the required A-1s. When Chairman VanTiegham returned and filed an A-1 on December 1, all the previous contributions were reported.

The amended Quarterly Report filed by the Committee on 3/24/15 still shows the \$17,300 contribution from AMS Communications as being received on 11/14/14, and therefore reported late on the A-1 filed on 12/1/14. In regards to this and the other contributions in question, I recommend the appeal be denied for lack of an adequate defense. While the medical situation experienced by Mr. VanTiegham helps explain the reason for the late filings, it does not excuse the Committee from its responsibility to timely report the contributions. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$4,541. (As of 12/31/14, this Committee reported a funds available balance of \$143,921.27)



Tom Newman – Hearing Officer
April 2, 2015

State of Illinois)
County of: Rock Island)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

15 MAR 25 PM 1:20

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Mike Jacobs)
Respondent(s).)

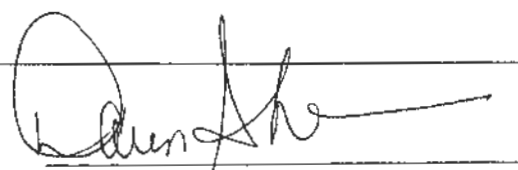
Case No. 15A D030

APPEAL AFFIDAVIT

I, Dawn Shelton, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Mike Jacobs
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

SEE Attached letters dated MARCH 24, 2015 along with attachments
date February 2, 2015


Signature of Chairman/Treasurer

igned and Sworn to by:
Debra L. Nordholm
efore me this 24 Day of March, 2015

otary Public
eal)



VANTIEGHEM & VANTIEGHEM LTD.

-ACCOUNTANTS-

605 17TH Avenue
P.O. Box 787
East Moline, Illinois 61244-0787
Phone (309) 755-4543
Fax (309) 755-5635

Illinois State Board of Elections
Campaign Disclosures
2329 S MacArthur Blvd
Springfield, IL 62704

Re: Friends of Mike Jacobs
409 25TH Ave Ct
East Moline, IL 61244

Dear Sirs:

On December 1st, 2014 our office filed an A-1 report that reported several campaign contributions ranging in date from November 3, 2014 through November 28, 2014. Some of these were filed late due to an over site in our office.

On October 27 I left for Florida in order to facilitate my wife's recovery from alcoholism. It was necessary to remove her from her current environment and restrict her availability to the substance. I asked my son, who was not familiar with the filing requirements except for a training session prior to my departure, to assist me in filing the campaign reports that may need to be filed in my absents. He filed the report due on October 30, 2014 and he entered the contributions as they arrived in our office in a timely manner, and I believe he thought he was completing the reports as expected.

Upon my return, which was largely successful, I received another A-1 report to file. When I filed it, all of the prior contributions cumpeed into the current A-1 and all were reported on the same form.

I am writing this letter to ask that and penalties that may be assessed on these late A-1's be abated for reasonable cause. A medical emergency caused me to leave my office and care for my wife for 5 weeks. In my stead an error was made, but not knowingly or willfully. Therefore we ask for your consideration, and again request abatement of any penalties for reasonable cause.

Sincerely

A Richard VanTieghem

State Board of Elections
Attn: Laura Marbold
2329 S MacArthur Blvd
Springfield, IL 62704

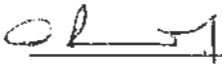
March 24, 2015

Dear Laura:

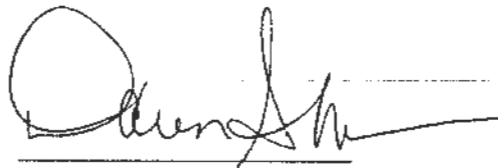
Attached is a proposed civil penalty for the campaign reports for Friends of Mike Jacobs. First we recently filed an amended report that would remove the 11/14/14 contribution from AMS Communications Inc. from the list of late filed A-1's and will reduce the proposed penalty by \$865.00. That leaves a proposed penalty of \$3,676.00

Now to address the issues surrounding the late filed A-1's. Attached is a letter sent in February of 2015, and noted as duly received by your office on the campaign website on February 2, 2015. The letter was sent prior to any notification by the State Board of Elections as a preemptive response knowing that there was an issue that we believe constitutes reasonable cause for abatement of the penalty in question. Attached is prior letter for you review, along with a request for hearing, and other appropriate forms.

Sincerely,



A Richard VanTieghem



Dawn Shelton Treasurer

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Friends of Mike Jacobs
PO Box 31
Silvis, IL 61282

ID# 18410

7014 2120 0001 1716 4915

Dear Friends of Mike Jacobs:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Senate Democratic Victory Fund Corp	11/11/2014	\$1079.73	12/1/2014	7	\$540
Senate Democratic Victory Fund Corp	11/11/2014	\$1100.73	12/1/2014	7	\$550
Senate Democratic Victory Fund Corp	11/11/2014	\$1233.35	12/1/2014	7	\$617
Senate Democratic Victory Fund Corp	11/11/2014	\$1118.22	12/1/2014	7	\$559
Noble Americas Energy Solutions	11/14/2014	\$1000	12/1/2014	4	\$500
Norfolk Southern Corporation	11/3/2014	\$1000	12/1/2014	15	\$500
Plumbers & Pipefitters Local 25 PAC	11/6/2014	\$5000	12/1/2014	9	\$2500
Charles Stolzenbach	11/3/2014	\$1000	12/1/2014	15	\$500
Tenet	11/14/2014	\$1000	12/1/2014	4	\$500
United Food and Commercial Workers	11/6/2014	\$1000	12/1/2014	9	\$500
AMS Communications Inc	11/14/2014	\$17300	12/1/2014	4	\$8650
AT & T Illinois Employee PAC	11/6/2014	\$1000	12/1/2014	9	\$500
Citizens for Antonio Munoz	11/6/2014	\$5000	12/1/2014	9	\$2500
COMEDPAC	11/3/2014	\$1000	12/1/2014	15	\$500
Commonwelth Edison Company	11/6/2014	\$4000	12/1/2014	9	\$2000

DENT-IL-PAC	11/6/2014	\$1000	12/1/2014	9	\$500 -
Engineers Political Education Com. Sep	11/3/2014	\$15000	12/1/2014	15	\$7500 -
Equality Illinois PAC	11/3/2014	\$2500	12/1/2014	15	\$1250 -
Friends of Kwame Raoul	11/6/2014	\$3000	12/1/2014	9	\$1500 -
Heath Care Council of Illinois PAC	11/3/2014	\$17000	12/1/2014	15	\$8500 -
ICHP PAC	11/6/2014	\$1000	12/1/2014	9	\$500 -
Illinois Firearms Manufacturers Assoc.	11/3/2014	\$5000	12/1/2014	15	\$2500 -
Illinois Rental Purchase Dealer Assoc.	11/6/2014	\$1000	12/1/2014	9	\$500 -
International Brotherhood of Electrical Workers Local 15	11/6/2014	\$2500	12/1/2014	9	\$1250 -

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$45416.00 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

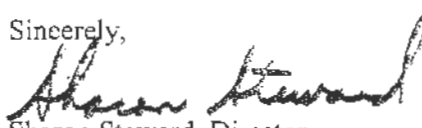
Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$4541.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,


Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 MA 014

Naperville Area Republican Women's Organization
Respondent

ID# 19114

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2014 Quarterly Report, December 2014 Quarterly Report and a Schedule A-1

The September 2014 Quarterly Report was received by the Board on 10/31/14, 12 days late, resulting in a civil penalty assessment of \$300. The December 2014 Quarterly Report was received by the Board on 1/19/15, 1 day late, resulting in a civil penalty assessment of \$50. The Committee also received a \$1,000 contribution on 5/1/14 and reported it on a Schedule A-1 received by the Board on 6/3/14, 17 days late, resulting in a civil penalty assessment of \$500. The total assessment is \$850.

Vasavi Chakka, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Chakka states that during the reporting time, she had to unexpectedly go to India as a result of her father's death. She says she was therefore unable to file the A-1 in time, and she was unable to delegate the filing responsibilities to any other Committee member.

I recommend the appeal be denied for lack of an adequate defense. However, in the case of the late A-1, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$50. If these recommendations are accepted by the Board, the total assessment of \$400 will be due and owing. (As of 3/31/15, this Committee reported a funds available balance of \$3,351.62)



Tom Newman – Hearing Officer
April 7, 2015

RECEIVED

MAR 27 2015

State of Illinois)
County of: DuPage)

State Board of Elections

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. Naperville area republican)
women's organization)
Respondent(s).)

Case No. 19114
15MA014

APPEAL AFFIDAVIT

I, Vasavi Chakka, the President / Treasurer (inform) of the
(Name) (Chairman/Treasurer)
Naperville area republican women's organization
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

During the reporting time I (Vasavi Chakka) had to leave for India
unexpectedly, due to my father's death, and I had to be there to take
place in the rituals to pay my final respects to my father. Due to the
family situation I was not able to file the relevant A-1 Report (which
had been pinned) in time and at the same time was not able to delegate
this responsibility to anybody in the organization.
I apologize for this inconvenience and request you
to waive the same since it's the 1st time to fail
to file the report on time.

Ch. Chakka
Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 27th Day of March, 2015

Notary Public
(seal)

OFFICIAL SEAL
JUSTIN DRAPER
Notary Public - State of Illinois
My Commission Expires Apr 27, 2016

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Naperville Area Republican Women's Organization ID# 19114
Cynthia Schneider
912 Williamsburg Drive
Naperville, IL 60540

7014 2120 0001 1716 2478

Dear Naperville Area Republican Women's Organization:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September 2014 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2014 through September 30, 2014
Filing Period:	October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 10/31/2014, 12 day(s) late. As such, this committee has been assessed a fine of \$300.00.

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December 2014 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2014 through December 31, 2014
Filing Period:	January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 1/19/2015, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Bruce Rauner	5/1/2014	\$1000	6/3/2014	17	\$500

The committee is fined a **total** of \$500.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-I filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$400.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed by March 27, 2015. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

* This contribution was reported on the Quarterly Report but should also have been reported on a Schedule A-I Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 DQ 052

Friends of Miriam Shabo
Respondent

ID #21302

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the December 2014 Quarterly Report

The Report was received by the Board on February 2, 2015, 11 days late, resulting in a civil penalty assessment of \$825. Additionally, the Committee had previously been assessed a \$675 civil penalty (not appealed, unpaid) for delinquently filing the September 2013 Quarterly report and \$150 civil penalty for delinquently filing the December 2011 Quarterly report (not appealed, paid). The total assessment is \$1,500.

Miriam Shabo, the Treasurer/Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Shabo states her husband needed at home care before he passed away on January 22, 2015. She adds that in the course of that care she missed the due date for the filing but assures the Board that late filings will not be an issue going forward.

While I am very sympathetic to Ms. Shabo for the loss of her husband, I must recommend the appeal be denied. If this recommendation is accepted by the Board, the \$1,500 civil penalty will be due and owing.

(As of 12/31/14, this Committee reported a funds available balance of \$20.44.)



Kim Patrick – Hearing Officer
April 2, 2015

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS

15 MAR 23 PM 3:32

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. Friends of Miriam Shabo)
Respondent(s).)

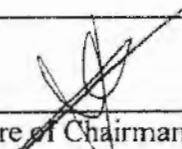
Case No. 15DQ052

APPEAL AFFIDAVIT

I, Miriam Shabo, the Chairman/Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Miriam Shabo
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

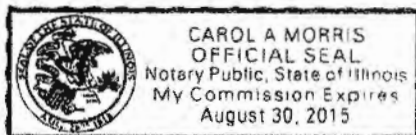
Please see attached.


Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 23rd Day of March, 2015

Notary Public
(seal)



FRIENDS OF MIRIAM SHABO



STATE BOARD OF ELECTIONS

15 MAR 23 PM 3:32

A NEW DIRECTION FOR ILLINOIS

For the People by the People - the American Way

March 23, 2015

Sharon Steward,
Director
Campaign Disclosure Division
State Board of Elections
2329 McArthur Blvd.
Springfield, IL 62704

Dear Ms. Steward,

In reference to your letter of February 27, 2014, please find attached duly executed Appeal Affidavit and the Waiver of Appearance.

As I related to you and the Board, my husband had been seriously ill since early 2011. In the last couple of years his condition deteriorated to the extent that he had to have 24/7 care. Unfortunately, we could only afford day caregiving while I was at work and I would take over after that. He passed away on January 22, 2015.

In the course of that care I missed the due dates for filing. I would respectfully ask the Board to take the circumstance into account and waive the penalties. I can assure the Board that late filings will not be an issue going forward.

Thank you for your consideration.

Sincerely,

Miriam Shabo, MPM
708-359-5188 - cell

P.O. Box 1697
Homewood, IL 60430-0697
708-798-3258 phone/fax mshabo@sbcglobal.net
www.miriamshabo.com

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

ID# 21302

Friends of Miriam Shabo
Miriam Shabo, Charles Shabo
PO Box 1697
Homewood, IL 60430-0697

7014 2120 0001 1723 4908

Dear Friends of Miriam Shabo:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2014 through December 31, 2014
Filing Period:	January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 2/2/2015, 11 day(s) late. As such, this committee has been assessed a fine of \$825.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2013	Quarterly	\$675
June 2014	Quarterly	\$975
TOTAL AMOUNT NOW DUE		\$2475.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 SQ 064

Citizens to Re-Elect Kathy Svoboda
Respondent

ID# 21438

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the September 2014 Quarterly Report

The Report was received by the Board on October 16, 2014, 1 day late, resulting in a civil penalty assessment of \$50.

Kathleen Svoboda, the Candidate of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Svoboda states the report was mailed on October 12, 2014 and should have reached the Board on time.

Our records show no postmark on the envelope from this committee. Section 100.125(c) states "When the committee raises the defense described in subsection (b) as part of its appeal for any subsequent civil penalty assessments, the appeal affidavit shall be accompanied by a certificate issued by the United States Postal Service showing the date on which the envelope was deposited with the United States Postal Service. The Board shall not consider this defense as valid in the absence of the certificate." Since the Committee has previously utilized this defense in June, 2011 and has not included the certificate from the United States Postal Service, I must recommend the appeal be denied. As a first violation the penalty is stayed.

(As of 12/31/14, this Committee reported a funds available balance of \$433.41.)



Kim Patrick – Hearing Officer
March 31, 2015

State of Illinois)

County of: COOK)

STATE BOARD OF ELECTIONS
15 MAR 19 AM 8:39

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 1450064

CITIZENS TO RE-ELECT KATHY)
Respondent(s). SYOBODA)

APPEAL AFFIDAVIT

I, KATHLEEN M. SYOBODA, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

CITIZENS TO RE-ELECT KATHY SYOBODA
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE QUARTERLY REPORT WAS MAILED ON
10/12/2014 AND SHOULD HAVE REACHED
THE STATE BOARD OF ELECTIONS ON TIME.

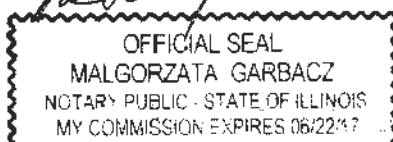
Kathleen M. Syoboda
Signature of Chairman/Treasurer

Signed and Sworn to by:

Kathleen M. SYOBODA

before me this 16th Day of March, 2015

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Citizens to Re-elect Kathy Svoboda
Judith Field
7227 Arbor Ln
Justice, IL 60458

ID# 21438

7013 1710 0001 8744 5953

Dear Citizens to Re-elect Kathy Svoboda:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contributions and Expenditures
Report Period: July 1, 2014 through September 30, 2014
Filing Period: October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 10/16/2014, 1 days late. As such, this committee has been assessed a fine of \$25.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 DQ 060

Concerned Citizens for Judy Ogalla
Respondent

ID# 22505

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2014 Quarterly Report

The Report was received by the Board on 1/24/15, 5 days late, resulting in a civil penalty assessment of \$125.

Molly Gorman, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Gorman states that in early January she was involved with closing a family seasonal business, then out of town at a conference for several days. After returning, she says she was busy caring for sick grandchildren and then she became sick herself. Ms. Gorman says with this whirlwind of events and personal demands, she missed the report filing deadline. She includes a letter from the candidate, who also cites health related and personal demands that also led her to overlook the deadline as well.

While the Committee's reasons for missing the filing deadline are understandable, they do not excuse the Committee from the filing requirement, especially since the Report showed zero activity and should have required no more than a minute or two to file. I recommend the appeal be denied for lack of an adequate defense. As a first violation, the \$125 civil penalty is stayed. (As of 12/31/14, this Committee reported a funds available balance of \$1,029.77)



Tom Newman – Hearing Officer
April 2, 2015

State of Illinois)
County of: Will)

STATE BOARD OF ELECTIONS

15 MAR 25 AM 8:32

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Concerned Citizens for Judy Ogalla)
Respondent(s).)

Case No. 15DQ060

----- APPEAL AFFIDAVIT

I, MOLLY GORMAN, the TREASURER of the
(Name) (Chairman/Treasurer)
Concerned Citizens for Judy Ogalla
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached documents for
support of our reasons and explanations
for late filing of the committees quarterly
reports to support our request to appeal.
Thank you.

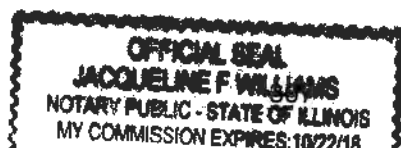
[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]

before me this 16th Day of March, 2015

Notary Public
(seal)

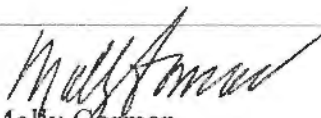


March 16, 2015

To Whom It May Concern,

As treasurer of the Concerned Citizens for Judy Ogalla Committee during the month of December I own, manage and work a family seasonal business seven days a week. After the holidays and early January I was involved in closing the business. January 7-9 I was out of town attending a conference for the vegetable business that I also own and manage on a daily basis. Upon my return I was called by my son to care for his sick children who were overcome by the flu virus and were daycare ineligible. Unfortunately shortly after I was also overcome with the flu virus. With the absolute whirlwind of events and personal demands January 15 passed, upon notification the submission of the quarterly report was filed.

Sincerely,



Molly Gorman
6607 W. Bruns Rd
Monee, IL 60449
(708) 534-2383



**BlueCross BlueShield
of Illinois**

300 East Randolph
Chicago, Illinois 60601-5099



Explanation of Benefits (EOB). This is not a bill.

02-02-15

Customer Service: 1-800-538-8833

MOLLY GORMAN
6607 W BRUNS RD
MONEE IL 60449-9411

****THE IMPORTANT UPDATE SECTION IS NOT
APPLICABLE TO ALL POLICIES OR PLANS****

Claim Information

Member Name: **MOLLY GORMAN**
Group No.: ~~XXXXXXXXXX~~
Identification No.: ~~XXXXXXXXXX~~
Claim No.: **503350H94260X**
Patient Name: **MOLLY GORMAN**

SUMMARY

Total Billed: **\$119.00**
- Total Benefits Approved: **\$36.55**
Amount You May Owe Provider: **\$30.00**

SERVICE INFORMATION

	Service Date	Amount Billed	Not Covered	Covered
RIVERSIDE HEALTH SYSTEMS				
Medical Visits	01-26-15	119.00	52.45 (1)	66.55
Totals		\$119.00	\$52.45	\$66.55

COVERAGE INFORMATION

Totals	\$119.00	\$52.45	\$66.55
Participating Provider Option (PPO Reduction)		-\$52.45	
Deductions			
Your Copayment Amount		\$30.00	
Total Deductions			-\$30.00
Total Benefits Approved			\$36.55
Amount You May Owe Provider			\$30.00
Total covered benefits approved for this claim: \$36.55 to RIVERSIDE HEALTH SYSTEMS on 02-02-15.			

Benefits are being paid at the higher level since you used a contracting provider in the PPO network.

Information About Amounts Not Covered

- (1) Your health care plan covers eligible services up to an allowed amount for services ordered or provided by a participating provider. Since this amount has been paid, no further payment can be made. You are not responsible for the charges over the allowed amount.

Ideas To Help Keep Health Care Affordable





CROWNE PLAZA®
SPRINGFIELD

6

01-09-15

Molly Gorman	Folio No. : 431780	Room No. : 1020
6607 West Bruns	A/R Number :	Arrival : 01-07-15
Monee IL 60449	Group Code : ISG	Departure : 01-09-15
United States	Company : Illinois Specialty Growers Associatio	Conf. No. : 66780876
	Membership No. :	Rate Code :
	Invoice No. :	Page No. : 1 of 1

Date	Description	Charges	Credits
01-07-15	*Accommodation	92.00	
01-07-15	Room Tax	11.04	
01-08-15	*Accommodation	92.00	
01-08-15	Room Tax	11.04	
01-09-15	Cash		206.08
Total		206.08	206.08
Balance		0.00	

Guest Signature: _____

Thank you for staying at the Crowne Plaza in Springfield. The receipt that was provided under your door is offered for an Express Check Out. Room keys may be left in the room at your convenience. Thank you again for staying at the Crowne Plaza in Springfield and we hope you have a safe return home.

I have received the goods and / or services in the amount shown heron. I agree that my liability for this bill is not waived and agree to be held personally liable in the event that the indicated person, company, or associate fails to pay for any part or the full amount of these charges. If a credit card charge, I further agree to perform the obligations set forth in the cardholder's agreement with the issuer.

March 16, 2015

To Whom It May Concern,

As the candidate for the Committee our goal is to always comply with the State Board of Elections procedures and my committee has continuously done so in the past.

Unfortunately this past filing period my Treasurer and I were met with unforeseen and uncontrollable situations that completely consumed our time due to family obligations/illnesses.

We work together as a team to remind each other of the upcoming filing but due to the reasons presented to you with our Appeal Affidavit this year the time frame to file was missed.

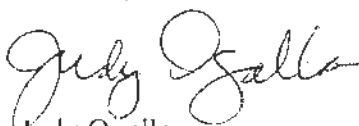
Upon receipt of the notification letter from the State Board of Elections stating our failure to comply my Treasurer filed promptly.

In my defense, my father of which I have Power of Attorney for Health Care entered Iroquois Hospital on December 4, 2014 due to pain, released on December 5, 2014 to Riverside Health Care Center for acute rehab. My father remained there until December 23, 2014 from there he went on to West Suburban Nursing and Rehabilitation in Bloomingdale for sub-acute therapy. On December 29, 2014, my family and I had to move my father out of his assisted living facility due to the fact that he would not be returning any time soon to live there and it was too costly to continue to pay the monthly fee. On January 12, 2015 my father had an MRI which determined the reason for his pain and immobility to be a compression fracture. As his Power of Attorney for Health Care I was thoroughly involved in the day to day decisions and the filing date slipped my mind. (I can provide documentation to support my statements if deemed necessary, but due to HIPPA Laws, have not provided them at this time.)

Fortunately those working for the State Board of Elections are on top of things and sent a letter to notify my campaign committee and once the notification letter was received we were able to file promptly thereafter and only 6 business days after the filing date.

It is our hope that the State Board of Elections will review our reasons, find them acceptable so that our Appeal will be granted.

Sincerely,



Judy Ogalla
28560 S Will Center Rd
Monee, IL 60449
(708) 710-3701

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Concerned Citizens for Judy Ogalla
Molly Gorman
6607 W. Burns Road
Monee, IL 60499

ID# 22505

Dear Concerned Citizens for Judy Ogalla:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contributions and Expenditures
Report Period: October 1, 2014 through December 31, 2014
Filing Period: January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 1/24/2015, 5 days late. As such, this committee has been assessed a fine of \$125.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 DQ 061

Mary Rakers for Clinton County Clerk
Respondent

ID #22630

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the December 2014 Quarterly Report

The Report was received by the Board on January 21, 2015, 3 days late, resulting in a civil penalty assessment of \$150. Additionally, the Committee had previously been assessed a \$200 civil penalty (not appealed, stayed) for delinquently filing the September 2013 Quarterly report. The total assessment is \$350.

Matt Rakers, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Rakers states the Candidate had a surgical procedure during the filing period and because there were complications, the filing slipped her mind.

While I am sympathetic to Ms. Rakers medical issue, I must recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$200 assessment and the \$350 civil penalty will be due and owing.

(As of 3/31/15, this Committee reported a funds available balance of \$131.47.)



Kim Patrick – Hearing Officer

April 8, 2015

State of Illinois)

County of: Clinton)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

15 MAR 12 AM 8:51

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 15BQC61

Mary Bakers for Clinton)
Respondent(s). County Clerk)

APPEAL AFFIDAVIT

I, Matt Bakers, the Treasurer of the
(Name) (Chairman/Treasurer)

Mary Bakers for Clinton County Clerk
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Mary had a surgical procedure during
this filing period. There were complication
with the surgery so filing slipped her
mind.

Matt Bakers
Signature of Chairman/Treasurer

Signed and Sworn to by:

Jami Riley Staser

before me this 9th Day of March, 2015

Notary Public

(seal)

"OFFICIAL SEAL"

JAMI RILEY-STASER

NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 10/20/18

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Schoiz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

ID# 22630

Mary Rakers for Clinton County Clerk
Matt Rakers
14201 Ranz Rd
Aviston, IL 62216

7014 2120 0001 1723 2706

Dear Mary Rakers for Clinton County Clerk:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contribution and Expenditures
Report Period: October 1, 2014 through December 31, 2014
Filing Period: January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 1/21/2015, 3 day(s) late. As such, this committee has been assessed a fine of \$150.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2013	Quarterly	\$200
TOTAL AMOUNT NOW DUE		\$350.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 DQ 063

Friends of Jackie Traynere
Respondent

ID# 22669

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the December 2014 Quarterly Report

The Report was received by the Board on January 16, 2015, 1 day late, resulting in a civil penalty assessment of \$25.

Joseph Traynere, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Traynere states he made an error in filing the Quarterly report due to being new and unfamiliar with the software. He thought he had completed the filing but did not. He adds when he did not receive confirmation the morning after, he resubmitted the report.

I must recommend the appeal be denied for lack of an adequate defense. As a first violation the penalty is stayed.

(As of 12/31/14, this Committee reported a funds available balance of \$1,698.15.)



Kim Patrick – Hearing Officer

April 7, 2015

State of Illinois)
County of: Will)

STATE BOARD OF ELECTIONS
15 MAR 26 AM 8:57

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
's.)
FRIENDS OF JACKIE TRAYNERE)
Respondent(s).)

Case No. 13 DQ 063

APPEAL AFFIDAVIT

JOSEPH M TRAYNERE the TREASURER of the
(Name) (Chairman/Treasurer)
FRIENDS OF JACKIE TRAYNERE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

DUE TO BEING NEW AND STILL UNFAMILIAR WITH THE
SOFTWARE I ERRORED IN FILING. I THOUGHT I
HAD COMPLETED THE FILE, BUT IN REALITY I HAD
NOT. WHEN I DID NOT RECEIVE CONFIRMATION THE
MORNING AFTER, I RE SUBMITTED.



Joseph M Trayner
Signature of Chairman/Treasurer

Subscribed and Sworn to by: Karen Gonzalez
Before me this 23 Day of March, 2015
Notary Public
(Signature)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Friends of Jackie Traynere
Joseph M Traynere
1109 Jennifer Lane
Bolingbrook, IL 60440

ID# 22669

7014 2120 0001 1723 3734

Dear Friends of Jackie Traynere:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contributions and Expenditures
Report Period: October 1, 2014 through December 31, 2014
Filing Period: January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 1/16/2015, 1 days late. As such, this committee has been assessed a fine of \$25.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 MA 019

Chicago Latino Public Affairs Committee ID# 22755
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$5,000 contribution and a \$1,600 contribution on 7/16/14, and reported both on a Schedule A-1 received by the Board on 7/25/14, 2 days late, resulting in a civil penalty assessment of \$3,300. The Committee also received a \$1,000 contribution on 10/30/14 and reported it on a Schedule A-1 received by the Board on 11/4/14, 1 day late, resulting in a civil penalty assessment of \$500. Additionally, the Committee was previously assessed a \$275 civil penalty (not appealed, unpaid) for delinquent filing of a Schedule A-1 in the 3rd quarter of 2012, a \$675 civil penalty (not appealed, unpaid) for delinquent filing of a Schedule A-1 in the 3rd quarter of 2011, a \$5,000 civil penalty (not appealed, unpaid) for delinquent filing of the December 2010 Semi-Annual Report, a \$2,200 civil penalty (appealed, denied, unpaid) for delinquent filing of the December 2008 Semi-Annual Report, a \$4,200 civil penalty (appealed, denied, unpaid) for delinquent filing of the June 2008 Semi-Annual Report, a \$3,200 civil penalty (appealed, denied, unpaid) for delinquent filing of the June 2007 Semi-Annual Report, and a \$5,000 civil penalty (not appealed, unpaid) for delinquent filing of the June 2006 Semi-Annual Report. The total assessment is \$24,350.

Homero Tristan, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Tristan states that the late filing of the first two A-1 contributions occurred during a period when the Committee staffer who handled the filings was out of the country due to a family emergency. He says the Committee made a good faith effort to file the required A-1 in a timely fashion. Another staffer entered the contributions into the IDIS electronic filing system, but apparently failed to actually file the A-1. He suggests the staffer may have mistakenly thought the word "filed" on the top of the Report meant it had been properly submitted. In regards to the third contribution, Mr. Tristan says the Committee miscalculated the number of days in which it had to file the Report.

The word "filed" on the top of a report is essentially a place-holder, where the date and time filed will appear once the report is actually submitted. While I can understand the possibility that this might confuse someone new to report filing, I can find no indication that the Committee actually attempted to file the Schedule A-1s within the 5 business days required by law. There are multiple ways to confirm that a Schedule A-1 has been properly filed and received by the Board, including checking the Board's website, viewing the previously filed reports within the Committee's IDIS database, or even checking the reports due now page within IDIS, which continues to show a report as being due until it is actually filed. Given this Committee's past history of delinquent filings, availing itself of at least one of these confirmation options should have been standard procedure. I recommend the appeal be denied for all 3

A-1 violations. Although there is no indication the violations were anything other than inadvertent and unintentional, since these represent the third set of A-1 violations for this Committee, I recommend the penalty remain at the originally assessed amount of \$3,300. If these recommendations are accepted by the Board, the total assessment of \$24,350 will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$748.21)

A handwritten signature in dark ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman – Hearing Officer
April 8, 2015

STATE BOARD OF ELECTIONS

15 MAR 30 PM 3:35

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CHICAGO LATINO PUBLIC)
Respondent(s).)

Case No. 15MA019

APPEAL AFFIDAVIT

I, HOMERO TRISTAN, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
CHICAGO LATINO PUBLIC AFFAIRS COMMITTEE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

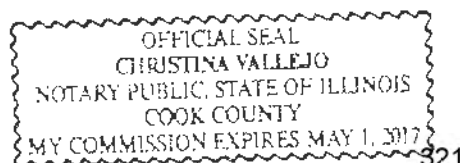
PLEASE SEE ATTACHED STATEMENT.

Signed and Sworn to by:

before me this 26th Day of
March, 2015

Christina Vallejo
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



**APPEAL AFFIDAVIT STATEMENT: CHICAGO LATINO PUBLIC AFFAIRS
COMMITTEE**

1. This appeal is regarding the Civil Penalty Assessment for Failing to File a Schedule A-1 Report in the third quarter of 2014. The Committee received one \$1,600 and one \$5,000 contribution on 7/16/2014 and the A-1 Reports were received on 7/25/2014, 2 days late. The total assessment is \$3,300.

Staffer, Alba Guerrero has handled and continues to handle all of the Committee's reporting. Unfortunately, Alba was out of the country from July 8, 2014 to July 25, 2014 due to a family emergency. The two contributions at issue were completed during this time, on July 16, 2014. However, the Committee did indeed make a good faith effort to file the required Schedule A-1 in a timely fashion.

The Committee Chairman appointed another staffer, Jonathan Stitt, to take care of the subject filings. Given it was his first time, Jonathan reached out to the Board of Elections for assistance on the filing process. Jonathan proceeded with the timely filing attempt with the Board's guidance, but incorrectly believed that the reporting was complete. Jonathan inadvertently only recorded the contribution but did not properly file the A-1 report. *Please see the attached email.* It seems Mr. Stitt may have mistakenly thought the word "filed" appearing on the top of the report meant it had been filed. Upon Alba Guerrero's return to the office on July 25, she realized the mistake and properly filed the reports.

The Respondent respectfully requests that the Board waive or reduce the subject fines.

2. This appeal is regarding the Civil Penalty Assessment for Failing to File a Schedule A-1 Report in the fourth quarter of 2014. The Committee received one \$1,000 contribution on 10/30/2014 and the A-1 Report was received on 11/4/2014, 1 day late. The total assessment is \$500.

The Committee miscalculated the number of days it had in which to file the A-1 after receiving the contribution at issue. This error was inadvertent and unintentional, and will not be repeated again.

The Respondent respectfully requests that the Board waive or reduce the subject fine.

Alba Guerrero

From: Jonathan Stitt
Sent: Friday, July 25, 2014 10:50 AM
To: Alba Guerrero
Subject: RE: cl pac

~~I did not print an A1, but I did speak with an individual at the Board of Elections to assist me. I explained the situation about how you were out due to an emergency and he was very understanding. If there is something else that needs to be filed, I'm sure they would understand. Based on my understanding, it was reported correctly. Please let me know if I can assist in any way.~~

Thanks,

Jonathan K. Stitt, Esq.
TRISTAN & CERVANTES
30 W. Monroe Street, Suite 630
Chicago, IL 60603
(t) 312.345.9200
(f) 312.345.1533
jstitt@tristancervantes.com

CONFIDENTIALITY NOTICE

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From: Alba Guerrero
Sent: Friday, July 25, 2014 10:48 AM
To: Jonathan Stitt
Subject: RE: cl pac

Jonathan,
Thank you for taking care.

I see the listing reported amounts, but by any chance did you print a report called A1 or did you contact the department board of Elections?

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Chicago Latino Public Affairs Committee
Homero Tristan, Pedro Cervantes
11 E Adams, Ste 1100
Chicago, IL 60603

ID# 22755

7014 2120 0001 1716 1914

Dear Chicago Latino Public Affairs Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the third quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Michael Brown	7/16/2014	\$5000	7/25/2014	2	\$2500
Tristan & Cervantes	7/16/2014	\$1600	7/25/2014	2	\$800

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$3300.00 for delinquently filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3300.00, (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the fourth quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Christopher Shaffer	10/30/2014	\$1000	11/4/2014	1	\$500

Your committee is subject to a fine of \$500.00 for delinquently filing Schedule A-1 reports.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500.00 (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$3800.00

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
June 2006	Semi-Annual	\$5000
June 2007	Semi-Annual	\$3200
December 2008	Semi-Annual	\$2200
June 2008	Semi-Annual	\$4200
December 2010	Semi-Annual	\$5000
September 2011	A1	\$675
September 2012	A1	\$275
<i>TOTAL AMOUNT NOW DUE</i>		\$24350

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

14 AM 084

The Chicago Committee (ID 23526)
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports
For the 1st Quarter of 2014

The Committee received \$21,100 contribution on 1/22/2014 yet failed to file a Schedule A-1 with the Board, making this contribution 51 days late, resulting in a \$10,550 civil penalty. In addition the committee was assessed a \$500 civil penalty (reduced, stayed) for delinquently filing a Schedule A-1 Report for the 2013 March Quarterly. The total assessment is \$11,050.

Michael J. Kasper, Attorney of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on January 28, 2015.

The Chicago Committee did not appear for the hearing. The appeal affidavit stated the penalty was wrongfully assessed.

I recommend the appeal be denied for the \$10,550 civil penalty assessment for the failure to file a Schedule A-1 for the \$21,100 contribution from Grosvenor Holdings LLC for lack of an adequate defense. The committee failed to appear for two separately scheduled hearing dates for this matter. Since this is the Committee's second Schedule A-1 violation, I recommend the fine be reduced by 50%. The previous stayed fine amount of \$500 with also be added. If this recommendation is accepted by the Board, a \$5775 civil penalty will be due. (As of 12/31/14, this Committee reported a funds available balance of \$6,329.88).



Clinton J. Jenkins – Hearing Officer
February 23, 2015

State of Illinois)
 County of: Cook)

STATE BOARD OF ELECTIONS

14 DEC 11 PM 4:10

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 14A4084

The Chicago Committee
 Respondent(s).

APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the
 (Name) (Chairman/Treasurer)

The Chicago Committee

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

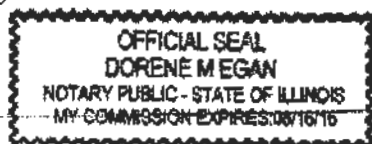
Penalty was wrongfully assessed. Reasons to be presented at the hearing.

Signature of Chairman/Treasurer
 Attorney

Signed and Sworn to by:

Dorene Meganbefore me this 11th Day of December, 20 14

Notary Public
 (seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

November 14, 2014

The Chicago Committee
c/o Paul Levy
2242 N Cleveland Ave
Chicago, IL 60614-3749

ID# 23526

7013 1710 0001 8744 7216

Dear The Chicago Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Grosvenor Holdings LLC	1/22/2014	\$21100	*	51	\$10550

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$10550.00 for delinquent filing schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$5275.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 15, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2013 March	A 1	\$500
TOTAL AMOUNT NOW DUE		\$5775

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 SQ 086

Citizens to Elect Reginald C Mathews State's Attorney ID# 23795
Respondent

REPORT OF HEARING OFFICER

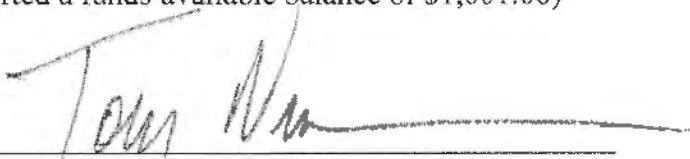
Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2014 Quarterly Report

The Report was received by the Board on 11/5/14, 14 days late, resulting in a civil penalty assessment of \$1,050. Additionally, the Committee was previously assessed a \$400 civil penalty (not appealed, paid) for delinquent filing of the September 2013 Quarterly Report, and a \$100 civil penalty (not appealed, paid) for delinquent filing of the March 2012 Quarterly Report. The total assessment is \$1,050.

Reginald Mathews, the Candidate of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Mathews states that the late filing was a result of problems he encountered converting from the IDIS 2.0 software to the IDIS version 3.0 that was required for this Report. He says unfamiliarity with the conversion process left him needing assistance, but the help line was unavailable when he attempted to file at approximately 7pm on October 15.

While difficulty in changing to a new version of the electronic filing system may be an understandable cause for a late filing, the fact remains that the Committee did not in fact file the Report until November 5 – three weeks after the filing deadline. Because no explanation is given for this long delay in completing the filing, I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$1,050 civil penalty will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$1,001.08)



Tom Newman – Hearing Officer
April 6, 2015

State of Illinois)
County of: Lake)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 15 MAR 26 AM 10:16
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens to Elect Reginald)
C. Mathews State's Attorney)
Respondent(s).)

Case No. 1450086

APPEAL AFFIDAVIT

I, Reginald C. Mathews, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens to Elect Reginald C. Mathews State's Attorney
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Committee Consides that the filing of the September 2014 Quarterly
Report of Campaign Contribution and Expenditures was delayed. However, this regrettable
Situation was a result of my unfamiliarity with converting the IOIS V 2.0 system
I had been using since the beginning of my Committee to the IOIS V 3.0 system
that was in place for the September 2014 Quarterly report. I attempted to file
on October 15, 2014 at approximately 7pm. however I ran into the above-mentioned
difficulty. I attempted to call the help line at the
State Board of Elections but those hours are only between
8am to 5pm. Our prayer is for the reduction of the
fine assessed if not, a full retraction of the fine.

Reginald C. Mathews
Signature of Chairman/Treasurer

Signed and Sworn to by: Reginald C. Mathews Thank you.

Before me this 23rd Day of March, 2015
Notary Public Tiffany Cress
seal: "OFFICIAL SEAL"
TIFFANY CRESS
Notary Public, State of Illinois
My Commission Expires 11/06/2018

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

Citizens to Elect Reginald C Mathews State's Attorney ID# 23795
PO Box 565
Waukegan, IL 60079-0565

7014 2120 0001 1723 3581

Dear Citizens to Elect Reginald C Mathews State's Attorney:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2014 through September 30, 2014
Filing Period:	October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 11/5/2014, 14 day(s) late. As such, this committee has been assessed a fine of \$1050.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2012	Quarterly	\$100
September 2013	Quarterly	\$400
TOTAL AMOUNT NOW DUE		\$1550.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, reading "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 MA 024

Friends of Christian Mitchell ID# 23849
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$5,000 contribution on 9/16/14 and reported it on a Schedule A-1 received by the Board on 10/13/14, 14 days late, resulting in a civil penalty assessment of \$2,500. The Committee received a \$1,000 contribution and a \$2,500 contribution on 10/6/14 and reported both on a Schedule A-1 received by the Board on 10/15/14, 4 days late, resulting in a civil penalty assessment of \$1,750. The Committee also received a \$1,500 contribution on 10/31/14 and reported it on a Schedule A-1 received by the Board on 11/19/14, resulting in a civil penalty assessment of \$750. Finally, the Committee received a \$1,000 contribution, a \$2,000 contribution, a \$2,500 contribution and a \$4,000 contribution on 11/20/14 and reported all 4 contributions on a Schedule A-1 received by the Board on 12/29/14, 19 days late, resulting in a civil penalty assessment of \$4,750. The total assessment is \$9,750.

Charis Tripplet, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Tripplet states that she has not been the Committee Treasurer for very long, and as a result she improperly entered some dates of contributions. She says that rather than using the date of deposit for checks, she used the date on the check. Additionally, Ms. Tripplet says some contributions were made via credit card through an online system managed by an off-site fundraising team. She says there is often a delay in when she receives an email notification of such contributions. Ms. Tripplet adds that the Committee has changed its procedures to keep this from happening again.

I contacted Ms. Tripplet to see if she could provide documentation of when the contributions were actually deposited into the Committee's bank account. Based on the deposit information provided, it appears the dates originally reported by the Committee for the contributions in question were correct, with corresponding deposits recorded on 9/16, 10/6, 10/31 and 10/20. These contributions were therefore reported late, so I recommend the appeal be denied for all 8 contributions. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since the \$5,000 contribution on 9/16/14 represents the first A-1 violation for this Committee, I recommend the penalty for that violation be reduced to 10% of the original assessment, or \$250. Since the other violations occurred in a later quarter, I recommend that they be considered the second set of A-1 violations for the Committee, and that the penalty therefore be reduced to 50% of the original assessment, or \$3,625. If these recommendations are accepted by the Board, the total assessment of \$3,875 will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$91,361.76.)



Tom Newman - Hearing Officer
March 31, 2015

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 15 MAR -5 PM 1:42
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Christian Mitchell)
Respondent(s).)

15MA024
Case No. ID# 23849

APPEAL AFFIDAVIT

I, Charis D. Tripplet, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Christian Mitchell
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are: ^{system}
I very recently became the Treasurer and am still learning the process
I made the mistake of entering the wrong dates into the system
I entered the check date and/or the date of contribution made
via credit card through an on-line system managed by the off site
fundraising team. In many cases there is a time delay on receiving
contributions especially checks mailed to the p.o. box or to them directly
then are sent to me. I now understand the error made and how to
correct it moving forward. Thank you for
your consideration.

Charis D. Tripplet
Signature of Chairman/Treasurer

Signed and Sworn to by:
Charis D. Tripplet
before me this 3rd Day of March, 2015

Notary Public Thomas
(seal) BEVERLY THOMAS
Notary Public, State of Illinois
My Commission Expires Oct. 17, 2015

March 2, 2015

Sharon Steward
Director, Campaign Disclosure Division
State Board of Elections – State of Illinois
2329 S. MacArthur Blvd.
Springfield, IL 62704

RE: Friends of Christian Mitchell -- ID# 23849

Dear Ms. Steward:

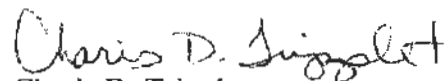
I am writing to support my appeal for the various late filings associated with the above committee. I very recently became Campaign Treasurer (April/May 2014) and take the position very seriously.

With regards to the third and fourth quarter 2014 late A-1 filings listed, those have been researched and I have discovered the following:

I have been making the mistake of entering the wrong dates into the system. I incorrectly entered the check date and/or the date of the contribution made via credit card through an on-line system managed by the off-site fundraising team. In many cases there is a time delay in when I receive email notification of credit card contributions and more specifically receive actual checks that are mailed directly to either the post office box or the off-site fundraising team and are then sent to me. I now understand the errors made and moving forward I will correct these date entry errors to avoid these time related issues. I also plan to attend the training session offered through the State Board of Elections. It appears the training I received from the former Treasurer wasn't as thorough as it should have been.

Your consideration in these matters is greatly appreciated. I can be reached at (773) 415-8599 should you have any additional questions or concerns.

Respectfully submitted,



Charis D. Triplet
Campaign Treasurer
Friends of Christian Mitchell
7811 S. Wood
Chicago, IL 60620

March 27, 2015

Mr. T. Newman
State of Illinois Board of Elections
Via Email - tnewman@elections.il.gov

RE: Friends of Christian Mitchell -- Late A-1's - ID #23849

Mr. Newman:

As discussed please find attached the bank statements reflecting the deposits made for the contributions in question. The team was called into a meeting to determine what happened with this matter as I was being held completely responsible for the errors (and am at risk of losing my job).

As it turns out the errors occurred due to a few different reasons that have since been discussed and corrected. Friends of Christian Mitchell has several different entities involved, most pertinent myself, Charis Triplet (New Campaign Treasurer) and the fundraising team. Apparently after large fundraising events, a few things were happening incorrectly:

- 1) The fundraising team would take the checks from the event and process them for their purposes and then bundle them to mail to the Treasurer. I would then deposit checks so they clear quickly and reflect their target numbers on internal reports as instructed. I sometimes incorrectly entered the date of the event or the date on the check versus when I actually received the check in hand.
- 2) If checks came in directly to the fundraising team after the event. In most cases, the fundraising team would go ahead and deposit the checks and the Treasurer would then receive copies of checks that were mailed to the post office box.
- 3) The fundraising team had the on-line contribution system changed during that time from a paper check system being mailed to the post office box to credit card contributions being automatically placed into the campaign checking account. The problems initially varied from the notifications still being sent to the former Treasurer and/or I had to wait for the fundraising team to send me the reports or worse wait for the bank statements to come in the mail to account for those on-line contributions.

Mr. Newman, while I had no formal training other than from the former Campaign Treasurer, she did the best she could to help me while transitioning into her new role. I now fully understand the proper way that everything should be handled. I also understand that the fundraising team has a completely different set of interest and goals that certainly do not always align with what is required of me by the State Board of Elections (naturally, all they care about is raising the dollars and accounting for them towards their target goals). I am willing to take responsibility for the errors and attribute it to not fully understanding the direct correlation between the date the contribution is entered and the timeliness of the A-1 filing/reporting (hence possibly making it appear

late). The most important thing I wish to communicate is that none of these errors/transactions were completed with the intent to do anything improper as I value my position as Treasurer and appreciate the amount of trust placed in me to do this job correctly by the Candidate.

We have ironed out the kinks and I have included the new process being implemented myself and the fundraising team. I am praying this matter ends favorably so I can keep my little job and again your time and consideration is greatly appreciated.

Respectfully Submitted,

A handwritten signature in cursive script that reads "Charis D. Tripplet".

Charis D. Tripplet
Campaign Treasurer
Friends of Christian Mitchell
(773) 415-8599



Seaway
BANK AND TRUST COMPANY

Member FDIC

SEAWAY BANK AND TRUST COMPANY

FRIENDS OF CHRISTIAN MITCHELL
September 30, 2014

Page 2 of 2
0080989601

CREDITS

Date	Description	Additions
09-16	Deposit	5,100.00
09-26	Deposit	1,250.00

Chicago Land Operator ?
(5,000)

DAILY BALANCES

Date	Amount	Date	Amount	Date	Amount
08-31	98,757.50	09-12	97,332.73	09-23	101,161.46
09-04	98,693.57	09-15	96,695.42	09-26	102,411.46
09-09	98,548.57	09-16	101,721.42	09-29	90,407.47
09-10	97,661.48	09-17	101,471.42		
09-11	97,512.73	09-22	101,171.42		

Thank you for banking with Seaway Bank And Trust Company



Seaway
BANK AND TRUST COMPANY

Member FDIC

SEAWAY BANK AND TRUST COMPANY

FRIENDS OF CHRISTIAN MITCHELL
October 31, 2014

Page 2 of 2
0080989601

Date	Description	Subtractions
10-24	POS Purchase MERCHANT PURCHASE TERMINAL 469051 CHANT CHICAGO IL 10-23-14 4601270000008163	400.03 ✓
10-27	POS Purchase MERCHANT PURCHASE TERMINAL 475076 GRANGE HALL BURGER BAR CHICAGO IL 10-24-14 4601270000008163	136.07 ✓
10-28	POS Purchase MERCHANT PURCHASE TERMINAL 449215 SUN TIMES CIRC 800 680 2 IL 10-27-14 4601270000008163	3.99 ✓

CREDITS

Date	Description	Additions
10-06	Deposit	3,500.00
10-10	Deposit	7,198.02
10-31	Deposit	3,470.36

1000
Enterprise
SETU 2500

DAILY BALANCES

Date	Amount	Date	Amount	Date	Amount
09-30	90,407.47	10-15	97,140.18	10-24	93,079.67
10-01	89,657.47	10-16	95,390.18	10-27	92,943.60
10-02	88,332.47	10-17	95,030.18	10-28	85,414.35
10-06	91,832.47	10-21	95,020.22	10-30	84,864.35
10-10	99,030.49	10-23	93,479.70	10-31	87,584.71

Thank you for banking with Seaway Bank And Trust Company



Seaway
BANK AND TRUST COMPANY

Member FDIC

SEAWAY BANK AND TRUST COMPANY

FRIENDS OF CHRISTIAN MITCHELL
November 30, 2014

Page 2 of 2
0080989601

Date	Description	Additions
11-20	Deposit	12,400.00 ✓

DAILY BALANCES

Date	Amount	Date	Amount	Date	Amount
10-31	87,584.71	11-18	85,741.82	11-24	99,365.63
11-12	86,484.71	11-19	85,229.51	11-26	98,515.63
11-14	85,984.71	11-20	100,480.12	11-28	97,511.64
11-17	85,894.40	11-21	100,477.15		

Thank you for banking with Seaway Bank And Trust Company

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Friends of Christian Mitchell
PO Box 805167
Chicago, IL 60680

ID# 23849

7014 2120 0001 1716 1938

Dear Friends of Christian Mitchell:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the third quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Chicago Land Operators Joint Labor Management	9/16/2014	\$5000	10/13/2014	14	\$2500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2500.00 for delinquent filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the fourth quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Enterprise Holdings Inc.	10/6/2014	\$1000	10/15/2014	4	\$500 -
SEIU Healthcare IL IN PAC	10/6/2014	\$2500	10/15/2014	4	\$1250 -
Illinois Trial Lawyers Assoc. PAC	10/31/2014	\$1500	11/19/2014	10	\$750 -
David Weinberg	11/20/2014	\$2500	12/29/2014	19	\$1250 -
INCS Action PAC	11/20/2014	\$4000	12/29/2014	19	\$2000 -
State Farm Agents & Assoc. PAC	11/20/2014	\$2000	12/29/2014	19	\$1000 -
UFCW Local 881 PAC	11/20/2014	\$1000	12/29/2014	19	\$500 -

Your committee is subject to a fine of \$7250.00 for delinquently filing Schedule A-1 reports.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3625.00 (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$3875.00

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 SQ 094

Collinsville Township Republicans
Respondent

ID# 24482

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the September 2014 Quarterly Report

The Report was received by the Board on October 16, 2014, 1 day late, resulting in a civil penalty assessment of \$50. Additionally, the Committee had previously been assessed a \$100 civil penalty (appealed, denied, stayed) for delinquently filing the December 2013 Quarterly report. The total assessment is \$150.

Ronald Jedda, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Jedda states the Report was mailed on October 11, 2014. He adds the report should have been delivered by regular mail by the due date.

Our records show the postmark on the envelope from this committee was dated October 14, 2014. Section 100.125(a) states in part... "if the envelope containing the reports bears a postmark showing that the envelope was mailed at least 72 hours prior to the due date, the reports shall be considered timely filed, regardless of when received in the office of the State Board of Elections." Since the envelope was postmarked only 24 hours prior to the due date of October 15, 2014, I recommend the appeal be denied. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$100 assessment and the \$150 civil penalty will be due and owing.

(As of 12/31/14, this Committee reported a funds available balance of \$2,978.98.)



Kim Patrick – Hearing Officer
March 26, 2015

tate of Illinois)
)
ounty of: Madison)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **15 MAR 10 AM 8:38**
OF THE STATE OF ILLINOIS

THE MATTER OF;)
)
ILINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
s.)
)
Collinsville Township)
Respondent(s). Republicans)

Case No. 1456094
24482

APPEAL AFFIDAVIT

Ronald Tedda, the Chairman of the
(Name) (Chairman/Treasurer)
Collinsville Township Republicans
(Name of the Committee)

ommittee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
ason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Quarterly report was mailed on October 11, 2014 and
received on October 16, 2014. Report should have
been delivered by regular mail by due date

Ronald Tedda, Chairman
Signature of Chairman/Treasurer

igned and Sworn to by:
Alana E. Weber
for me this 4 Day of March, 2015

otary Public
(Signature)
"OFFICIAL SEAL"
ALANA E. WEBER
NOTARY PUBLIC — STATE OF ILLINOIS
MY COMMISSION EXPIRES NOV. 17, 2018

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholtz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

ID# 24482

Collinsville Township Republicans
Joseph Ramatowski
1611 Frederick Dr
Collinsville, IL 62234

7014 2120 0001 1722 7009

Dear Collinsville Township Republicans:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2014 through September 30, 2014
Filing Period: October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/16/2014, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2013	Quarterly	\$100
TOTAL AMOUNT NOW DUE		\$150.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

14 SQ 101

Citizens for Derek Krauss
Respondent

ID #24921

REPORT OF HEARING OFFICER

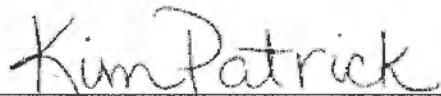
Appeal of Civil Penalty Assessment for Delinquently Filing the September 2014 Quarterly Report

The Report was received by the Board on October 21, 2014, 4 days late, resulting in a civil penalty assessment of \$200. Additionally, the Committee had previously been assessed a \$550 civil penalty (not appealed, stayed) for delinquently filing the March 2013 Quarterly report. The total assessment is \$750.

Derek Krauss, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Krauss states the Committee is no longer in operation and has since closed. He adds the Committee has not received any receipts and has not had any expenditures for over 12 months. The Final report was filed in February, 2015.

I must recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$750 civil penalty will be due and owing. Additionally, since the Committee filed a Final Report on 3/11/15, I recommend that should the Committee remain dissolved for a period of two years following the Final Order imposing the fine, the fine be abated.



Kim Patrick – Hearing Officer

April 7, 2015

State of Illinois)
County of: DUPAGE)

STATE BOARD OF ELECTIONS

15 MAR 30 PM 3:35

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS FOR DEREK KRAUSS)
Respondent(s).)

Case No. 1450101

APPEAL AFFIDAVIT

I, DEREK KRAUSS, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
CITIZENS FOR DEREK KRAUSS
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE COMMITTEE IS NO LONGER IN OPERATION AND HAS SINCE BEEN CLOSED. IN ADDITION,
THE COMMITTEE HAS NOT RECEIVED ANY RECEIPTS AND HAS HAD NO EXPENDITURES FOR
OVER 12 MONTHS. A FINAL REPORT WAS COMPLETED IN FEBRUARY 2015 THAT
STATED NO ADDITIONAL ACTIVITY IS PLANNED. FINALLY, WITH THE COMPLETION OF THE
FINAL REPORT, IT IS THE INTENT TO KEEP THIS COMMITTEE CLOSED FOR AT LEAST THE FORSEEABLE
FUTURE, IN EXCESS OF 2 YEARS, SUCH THAT ANY PENALTIES WOULD BE WAIVED PER SECTION J.2.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

Nancy L. Hooks
before me this 25th Day of March, 2015

Notary Public
(seal)

OFFICIAL SEAL
NANCY L. HOOKS
Notary Public - State of Illinois
My Commission Expires Feb 18, 2019

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



BOARD MEMBERS
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Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

ID# 24921

Citizens for Derek Krauss
Derek Krauss/Lynda Krauss
1305 Briergate Dr
Naperville, IL 60563-2209

7014 2120 0001 1722 7030

Dear Citizens for Derek Krauss:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2014 through September 30, 2014
Filing Period: October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/21/2014, 4 day(s) late. As such, this committee has been assessed a fine of \$200.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2013	Quarterly	\$550
TOTAL AMOUNT NOW DUE		\$750.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

15 MA 031

Black for City Council ID# 25052

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
October 2014 and December 2014 Quarterly Reports

The October 2014 Quarterly Report was received by the Board on 11/24/14, 26 days late, resulting in a civil penalty assessment of \$650. The December 2014 Quarterly Report was received on 1/20/15, 2 days late, resulting in a civil penalty assessment of \$100. The total assessment is \$750.

Scott Black, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Black states that the 3rd quarter filing was late because he was not currently running for office and had been out of town for the first part of October, so the deadline slipped his mind. He adds that he did not receive notice of the missed filing until mid-November, although the letter sent to inform him was dated 10/22/14. In regards to the 4th quarter filing, Mr. Black says he mailed it prior to the 15th of January and did not submit it within the 3 day mailing widow before the deadline.

I recommend the appeal be denied for lack of an adequate defense for both Reports. Mr. Black admits the October Report was late because he forgot to file it. The December Report showed a postmark of 1/15/14, but in order to be considered a timely filing a paper report received late must be postmarked at least 72 hours before the deadline, which this filing was not. If these recommendations are accepted by the Board, the \$750 civil penalty will be due and owing. However, since the Committee has filed a Final Report, I also recommend that should the Committee remain dissolved for a period of two years from the date of the Final Board Order imposing the fine, the fine be abated. (As of 3/10/15, this Committee reported a funds available balance of \$0)



Tom Newman – Hearing Officer

April 2, 2015

State of Illinois)
County of: McLean)

STATE BOARD OF ELECTIONS

15 MAR 25 PM 1:20

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Respondent(s): Black for City Council)

Case No. 15MA031
ID # 25052

APPEAL AFFIDAVIT

Scott the Chairman of the
(Name) (Chairman/Treasurer)
Black for City Council
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See Attached Letter

Scott Be
Signature of Chairman/Treasurer

Subscribed and Sworn to by:
Michele M. KGB
Notary Public
I certify this 23 Day of March, 2015



March 23, 2015

To whom it may concern:

I am formally appealing the fine levied against Black for City Council due to late D-2 filings.

While I understand the consequences of late filing, I believe \$750 is excessive.

I had 2 filings which were delinquent. My 3rd Quarter filing was delinquent due to the fact that I am not currently running for office, I hadn't used the account in months and I was out of town for the first part of the October. It honestly slipped my mind. Although the notification letter is dated 10/22/14, I did not receive this letter until mid-November. I immediately called the State Board of Elections office and submitted my D-2.

My 4th quarter filing was mailed prior to the 15th of January. I did not submit it within the 3 day mailing window.

As such, I worked with Kim Patrick to resolve this issue and I have closed the account.

At any rate, I have no money in the campaign account so any fine I will pay will come out of my own pocket. Again, I understand that I made a mistake, but \$750 is a lot of money. In fact, it's nearly a quarter of my salary as a City Council member. I ask that you reduce the fine.

I appreciate your time. I will await your final decision prior to submitting any payment.

Sincerely,

A handwritten signature in black ink, appearing to read 'Scott Black', with a long horizontal flourish extending to the right.

Scott Black

309-242-7410

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
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Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Black for City Council
Scott Black
909 N. Main Apt 33
Bloomington, IL 61701

ID# 25052

7014 2120 0001 1716 2393

Dear Black for City Council:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2014 through September 30, 2014
Filing Period:	October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on November 24, 2014 26 day(s) late. As such, this committee has been assessed a fine of \$650.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2014 through December 30, 2014
Filing Period:	January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 20, 2015 2 day(s) late. As such, this committee has been assessed a fine of \$100.00.

The total for all new assessments is \$750.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward". The signature is fluid and cursive, with the first name "Sharon" written in a larger, more prominent script than the last name "Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

14 MA 029

Citizens to Elect Caroline Kennedy Elkins 25592

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for
Delinquently Filing the June 2014 Quarterly Report and
Failing to file a Schedule A-1 in the 4th Quarter of 2013

The June 2014 Quarterly Report was filed on September 16, 2014, 44 days late, resulting in a civil penalty assessment of \$2,200. This committee also received a \$1,600 contribution on December 2, 2013 and failed to report the contribution to the Board on a Schedule A-1 resulting in a civil penalty assessment of \$800. Additionally, the Committee had previously been assessed a \$725 civil penalty (not appealed, stayed) for delinquently filing the December 2013 Quarterly Report. The total assessment is \$3,725.

The Respondent was represented by attorney Thomas Jaconetty at the March 3rd appeal hearing. Also in attendance was Perran Wetzel, the accountant and the person who prepared the reports, and Mark Elkins, the chairman and the candidate's husband.


Mr. Jaconetty submitted to the hearing officer a written summary containing Exhibits A-G (attached).

Mr. Wetzel, the treasurer of the Committee, stated that he has been a CPA for many years however this campaign was his first and most likely his last experience in dealing with the financial disclosures of a committee. He explained that he filed the Committee's three Quarterly Reports however he encountered electronic filing difficulties along the way which required contacting the Board staff on multiple occasions and at no point in time was he ever informed that he had to file additional forms or schedules. Mr. Wetzel stated that the program was unlike any he has used in his accounting practice and that he was use to programs warning him if something was wrong or simply preventing him from continuing if something was wrong. He stated that it was his intent to file the reports correctly and accurately however he was unaware that he had to file a special report when contributions of \$1,000 or more were received and as an accountant he did not consider a loan to be a contribution because the expectation is that the source would be paid back. Mr. Jaconetty stated that the campaign was very short lived (45 days) because they were unable to defend a petition objection (details of the objection are in Exhibit G) and Mr. Wetzel stated that he assumed since the candidate was out of the race no further reports were required. Mr. Wetzel further explained that he thought he filed a Final Report rather than a Quarterly report for both the 1st and 2nd quarters of 2014 and it was not until the hearing that he realized the Committee had not been closed or Finalized. Mr. Elkins also stated that he contacted the State Board of Elections about filing a Final Report and he was informed that the Committee could not electronically file a Final Report for a reporting period that had already been filed as a quarterly report because the Committee was still reporting that they had funds on hand. Mr. Wetzel stated that the Committee's bank statements were used in preparing the quarterly reports and he was confident all of the Committee's financial activity was

reported on the three reports that he filed. Mr. Jaconetty stated that the Committee took in a little over \$4,000 of which more than \$3,600 came from the candidate's husband, Mark Elkins which were mostly loans. Mr. Elkins stated that he called the State Board of Elections in relation to the prior civil penalty of \$725 and it was his understanding from the conversation that he had with staff that the \$725 civil penalty was waived rather than stayed. Mr. Jaconetty requests that the Board use the discretion that is allowed to them and they waive the Schedule A-1 violation and stay both civil penalties in relation to the quarterly reports. Mr. Elkins stated that his families' finances have been crushed. He has had some problems with his business partner and the fallout has caused him to lose an expected \$100,000 in revenue in the upcoming year. He has recently had to file for bankruptcy and he is dealing with a Federal tax lean. Furthermore, he has two teenage daughters that will be applying for college in the not so far future. The Respondent ask for leave to file a Final Report and that all civil penalties be either stayed or waived.

The Respondent stated that they have an electronic filing defense however the difficulties appear to have been primarily based on an unfamiliarity with the IDIS system and a lack of knowledge of the filing requirements rather than an actual computer issue. Furthermore, it appears the system issues they were having were on prior reports rather than the two reports in this appeal. Additionally, the Committee is not required to file reports electronically. Mr. Wetzel stated that he thought he had closed the Committee and didn't realize that he had to file the June 2014 Quarterly Report until after the filing deadline had passed.

Furthermore, he stated that he prepared the report from the bank statements which he acquired after the quarterly report was already due. Additionally he stated that he was unaware of the filing requirement in relation to contributions of \$1,000 or more and that he would not have entered the \$1,600 contribution into the IDIS system until he prepared the quarterly report. It is unfortunate that Mr. Wetzel was unfamiliar with the filing requirements however as the treasurer of the Committee he is responsible for understanding the campaign finance laws and in this matter responsible for filing the reports for the Committee. Lack of knowledge in campaign finance matters and unfamiliarity with the electronic filing system is not an adequate defense, I recommend the appeal be denied. However, since there is no indication that Schedule A-1 violation was anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment as a first violation, or \$80. If this recommendation is accepted by the Board, the stay will be lifted from the previously assessed \$725 civil penalty and the total civil penalty of \$3,725 (\$2,200 June 2014 Quarterly + \$80 Schedule A-1 + \$725 December 2013 stay removed) will be due and owing. (As of 6/30/14, this Committee reported a funds available balance of \$831.97 however the Respondent stated during the hearing that those funds were depleted by bank fees and a partial loan repayment back to Mr. Elkins.)


Andy Nauman – Hearing Officer
March 4, 2015

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:

ISBE
Complainant(s)

v.

No. 14 MA 029

Citizens to Elect Caroline
Respondent(s) Kennedy - ELLIOTT

APPEARANCE

The undersigned enters the appearance of (check one):

☐ COMPLAINANT(S) or ☒ RESPONDENT(S)

Citizens to Elect Caroline Kennedy - ELLIOTT
(insert name)

Check one: ☒ Attorney ☐ Pro Se

Name: Thomas A. Jaconetti

Address: 33 N. LaSalle St. - 3300

City/State/Zip: Chicago IL 60602

Telephone: 773-318-7201

Fax No.: 712-332-3179

Email address: thomas.jaconetti@yahoo.com

Will you accept service of documents via FAX transmission? Check one: ☐ Yes ☐ No

Thomas A. Jaconetti
Signature

BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

In the Matter of:

Illinois State Board of Elections)

Complainant)

v.) Board File No. 14 MA 029

Citizens to Elect Caroline)

Kennedy-Elkins)

Respondent-Appellant)

NOW COMES, "Citizens to Elect Caroline Kennedy-Elkins" Campaign Committee ("Committee") Respondent-Appellant, by and through its attorney Thomas A. Jaconetty ("Counsel") in response to the complaint for failure to timely file one (4) A-1 Report of Campaign contributions of \$1,000 (for a loan to the Committee), and for one late-filed report (44 days) as required by the Illinois Campaign Disclosure Act:

- (1) Upon receipt of the State Board of Elections ("SBE") assessment letter (ID # 25592) dated November 14, 2014 (Exhibit A), the Committee requested a hearing (Exhibit B) which was granted (Exhibit C), thereafter retained counsel at a late date which turned out to be a few days after the initial hearing. However, the Committee did inform the Hearing Examiner by telephone that the hearing notice had been misplaced and that counsel had been contacted. At Counsel's request the initial hearing of January 27 date was continued to March 3, 2014.
- (2) Mr. Perran Wetzel, the accountant for the Committee, and friend and business, accountant for the candidate's husband, had been the responsible party charged with filing the relevant campaign finance disclosures. He apparently made two errors: failing to file an one A-1 of record for a loan to the Committee made by the Candidate's husband in the amount of \$1,600.00 (although that reported on the December 2013 quarterly report), and filing one report 44 days late.
- (3) This campaign was a family-driven effort. Merely \$4,037.03 was raised, of which \$3,553.00 (88%) were loans from the candidate's husband (Exhibits D, E, and F).

- Funds available for the January 1 to March 31, 2014 report were only \$831.97 (Exhibit E). The April 1 to June 30, 2014 report closed with \$0.00 in the Committee (Exhibit F). The Committee chairperson is Mark Elkins, the husband of the candidate, who had never previously been an officer in an Illinois political campaign committee.
- (4) The campaign was unfortunately short-lived, lasting only 45 days (six weeks). The candidate, Caroline Kennedy-Elkins, submitted her petitions on December 9, 2013. An objection was filed and sustained. She was removed from the ballot on January 22, 2014 (Group Exhibit G). The single largest expenditure of the Committee was for payment of legal fees in connection with the preparation and filing of her petitions and the unsuccessful defense against the objections (Exhibit D).
- (5) SBE regulations (35 Ill Reg. 2351, effective February 4, 2011 in Section 125.425, "Civil Penalty Assessments") empower it to take into consideration "all relevant factors" when determining an appropriate penalty. These include: "whether, in the Board's opinion, the violation was committed inadvertently, negligently, knowingly or intentionally" (Section 125.425 (d) (4) (A)) and whether "past violations" had occurred by "any committee composed of one or more of the same officers, or for the same purpose or for the support of the candidacy of the same person" (Section 125.425 (d) (4) (B); 125.425 (d) (5) (B) (ii); 125.425 (d) (5) (D) (vi)). The SBE is also empowered to determine if delinquencies in reporting were "willful and wanton" (Section 125.425 (d) (5) (A)) or "negligent or inadvertent" (Section 125.425 (d) (5) (C)). It "shall consider": the "number of days" late (Section 125.425 (d) (5) (B) (i); 125.425 (d) (5) (D) (v)); "attempts to correct the violation" (Section 125.425 (d) (5) (D) (i)); "whether the violation was attributed to a clerical or computer error" (Section 125.425 (d), (5), (D) (ii)), and the "amount of the contribution" (Section 125.425 (d) (5) (D) (iii)). With regard to Schedule A-1 filing deficiencies in particular, the SBE "may waive the fine" (Section 125.425 (d) (5) (C) (ii)).
- (6) The testimony and documentary evidence presented at the hearing will establish that:
- (a) Although Mr. Weitzel (the responsible party) has a BA in accounting from Luther College, Iowa (1979). He has widespread subsequent experience in filing both federal and state individual and corporate income tax returns, but has had no previous experience with Illinois campaign finance reporting.

Further, he had never previously served in any capacity (as a principal, agent, accountant, fund-raiser, bookkeeper, or member) of any prior campaign committee. Finally, he was unfamiliar with the SBE website and campaign financing reporting procedures but, at all times, he believed that he was making, and intended to make, the required disclosures in the proper manner.

- (b) Mr. Wetzel did not "knowingly", "intentionally" or "negligently" violate the law. His actions were not "willful", "wanton" or "negligent" but "inadvertent". His errors may be attributed to "clerical or computer error" and unfamiliarity with the website and the reporting procedures. He intended to comply by fully disclosing the \$1,600.00 loan and in filing the other report, albeit 44 days late. There was no intent to deceive only disclose and comply.
- (c) There have been no "past violations" by members of this Committee.
- (d) The contribution at issue of \$1,600.00 (Exhibit A) was actually a loan which was reported in a quarterly report (Exhibits A and D), but not on an A-1.
- (e) The contributor/lender to the campaign was the candidate's husband.
- (f) Only one report was filed late by 44 days
- (g) The campaign existed for six weeks (12/09/13—01/22/14)(Group Exhibit G)
- (h) The Committee has obtained legal counsel.
- (i) There is no remaining fund balance in the Committee (Exhibit F).
- (j) The amount of the proposed fine is 92.6% of the entire amount of total funds passing through the campaign. No funds remaining in the Committee.
- (k) Payment of any fine would require expenditure of funds by the accountant and/or the candidate and her husband from personal funds.

Respectfully submitted,

Thomas A. Jaconetty

Thomas A. Jaconetty

Attorney for Committee to Elect Caroline Kennedy-Elkins

Thomas A. Jaconetty, Esq.
33 North LaSalle Street
Suite 3300
Chicago, Illinois 60602
773-318-7201
312-332-3179 (fax)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
November 14, 2014

BOARD MEMBERS
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Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuinagle
Bryan A. Schneider
Casandra B. Watson

Citizens to Elect Caroline Kennedy-Elkins ID# 25592
Mark Elkins
2511 W. Schaumburg Rd, Ste 203
Schaumburg, IL 60194

7013 1710 0001 8744 7407

Dear Citizens to Elect Caroline Kennedy-Elkins:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June 2014 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2014 through June 30, 2014
Filing Period:	July 1, 2014 through July 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 9/16/2014, 41 day(s) late. As such, this committee has been assessed a fine of \$2200.00.

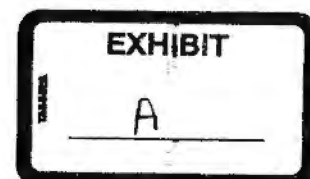
In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Mark Elkins	12/2/2013	\$1600	*	54	\$800

The committee is fined a **total** of \$800.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$80.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.



If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2013 December	Quarterly	\$725
TOTAL AMOUNT NOW DUE		\$3725

The total for all assessments in this letter is \$3725 00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed by December 15, 2014. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbo d at 217-782-1545.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: Im

Enclosure(s): appeal packet

* This contribution was reported on the December 2013 Quarterly Report but should also have been reported on a Schedule A-I Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of COOK)

STATE BOARD OF ELECTIONS

14 DEC 15 AM 11:40

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS.)

Complainant)

Vs.)

CITIZENS TO ELECT CAROLINE KENNEDY-ELKINS)

Respondent(s).)

Case No. 14 MA 029

REQUEST FOR HEARING

I, MARK ELKINS, the CHAIRMAN
(Name) (Chairman/Treasurer)

OF CITIZENS TO ELECT CAROLINE KENNEDY-ELKINS
(Name of Committee)

Committee, appeals on behalf of the said committee the assessment of civil penalty proposed in this matter and submits in support of that appeal the accompanying affidavit. The said committee requests a hearing at which it will appear to offer reasons and defenses why the proposed assessment should not be imposed.



(Signature of Chairman/Treasurer)

Does the committee plan to obtain legal representation?
Attorney's contact information, if known:

☒ Yes

Name

Phone

Address

EXHIBIT

B

State of Illinois)
County of: CLACK)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

14 DEC 15 AM 11:40

IN THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS TO ELECT CAROLINE FENNEDY-ELKINS)
Respondent(s).)

Case No. 14 MA 029
35592

APPEAL AFFIDAVIT

I, MARK ELKINS, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
CITIZENS TO ELECT CAROLINE FENNEDY-ELKINS
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- ① THIS WAS A SMALL FRIENDS AND FAMILY CAMPAIGN AND WE COLLECTED & SPENT A VERY MINIMAL AMOUNT OF MONEY. ② THE TREASURER IS A PERSONAL FRIEND HE IS AN EXCELLENT ACCOUNTANT BUT HE HAS NEVER DONE ANY CAMPAIGN FINANCE BEFORE SOME DETAILS CONFUSED HIM & HE MISUNDERSTOOD. ③ THE OFFICERS OF THE COMMITTEE HAVE NO PRIOR EXPERIENCE WITH THE ONLINE SYSTEMS AT THE BOARD OF ELECTIONS AND WE USED IT BUT MADE A FEW GROSS AND COSTLY MISTAKES. ④ THE OFFICERS OF THE COMMITTEE HAVE NEVER BEEN ASKED BY ANY OFFICERS OF A CAMPAIGN COMMITTEE AND WERE UNAWARE OF THE RULES AND REGULATIONS ASSOCIATED WITH THAT POSITION. THE COMMITTEE COLLECTED A SMALL AMOUNT OF MONEY LESS THAN THE FINE IT CURRENTLY HAS NO MONEY IT IS UNFAIR AND INJUSTICE TO Levy SUCH BURDENSOME FINES BECAUSE ANY PAYMENTS WOULD REQUIRE THE OFFICERS TO PAY OUT OF THEIR PERSONAL FUNDS.

Signature of Chairman/Treasurer

Signed and Sworn to by:
MARK ELKINS

before me this 24th Day of December, 2014

Notary Public

(seal)



STATE OF ILLINOIS)
)
COUNTY OF COOK)

SS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

In the Matter of:

Illinois State Board of Elections

Complainant(s)

Vs.

Board File No. 14MA029

Citizens to Elect Caroline
Kennedy-Elkins

Respondent(s)

NOTICE OF APPEAL HEARING

TO: Citizens to Elect Caroline Kennedy-Elkins ID# 25592
Perran Wetzel
3030 Finley Rd. Ste 110
Downers Grove, IL 60519

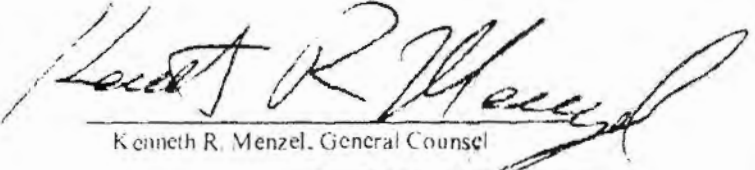
Please take notice that in accordance with Rule 125.425 (f), and by the power vested in me, the Appeal Hearing to be conducted in the above captioned matter has been scheduled for January 27, 2015, at 11:30 AM at the State Board of Elections, 100 W Randolph, Suite 14-100, Chicago, IL.

You are hereby notified that pursuant to the provisions of "An Act to Regulate Campaign Financing" (10 ILCS 5/9-1 et. seq.) and Rules and Regulations adopted pursuant thereto, the following person is hereby appointed Hearing Examiner to conduct the Appeal Hearing concerning the above-captioned matter

NAME: Andy Nauman
ADDRESS: 100 W Randolph, Suite 14-100
CITY/STATE: Chicago, Illinois
TELEPHONE: 312/814-6440

The parties have the right to be represented by legal counsel in any proceeding conducted by the State Board of Elections. Any party involved in any proceeding has the right to the presence and participation of additional persons, in addition to, or instead of an attorney, in order to provide technical assistance and consultation. Please contact the Hearing Examiner at the number listed above prior to the date of your hearing to confirm the date and time.

DATED: January 7, 2015


Kenneth R. Menzel, General Counsel

EXHIBIT

C

FORM D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES Quarterly Report

FOR OFFICE USE ONLY
IDENTIFICATION NO
Candidate 25592

Citizens to elect Caroline Kennedy-Elkins
2511 W. Schauburg Rd
Schaumburg, IL 60194

REPORTING PERIOD
10/1/2013 thru 12/31/2013

CASH AVAILABLE AT
THE BEGINNING OF THE
REPORTING PERIOD
\$50.00

SECTION A - RECEIPTS

1 Individual Contributions:
a. Itemized (from Schedule A) \$ 100.00
b. Not-Itemized \$ 0.00

2 Transfers in:
a. Itemized (from Schedule A) \$ 0.00
b. Not-Itemized \$ 0.00

3 Loans Received:
a. Itemized (from Schedule A) \$ 3,553.00
b. Not-Itemized \$ 0.00

4 Other Receipts:
a. Itemized (from Schedule A) \$ 384.03
b. Not-Itemized \$ 0.00
TOTAL RECEIPTS (1-4) \$ 4,037.03

5 In-Kind Contributions:
a. Itemized (from Schedule I) \$ 0.00
b. Not-Itemized \$ 0.00
TOTAL IN-KIND \$ 0.00

Name and address of person submitting this report if other
than the committee's candidate or treasurer

SECTION B - EXPENDITURES

6 Transfers Out:
a. Itemized (from Schedule B) \$ 0.00
b. Not-Itemized \$ 0.00

7 Loans made:
a. Itemized (from Schedule B) \$ 0.00
b. Not-Itemized \$ 0.00

8 Expenditures:
a. Itemized (from Schedule B) \$ 2,865.00
b. Not-Itemized \$ 345.06

9 Independent Expenditures:
a. Itemized (from Schedule B) \$ 0.00
b. Not-Itemized \$ 0.00
TOTAL EXPENDITURES (6-9) \$ 3,210.06

SECTION C - DEBTS AND OBLIGATIONS

10 a. Itemized (from Schedule C) \$ 3,553.00
b. Not-Itemized \$ 0.00
TOTAL DEBTS AND OBLIGATIONS \$ 3,553.00

SECTION D - CASH BALANCE

Funds available at the beginning
of the reporting period \$ 50.00
Total Receipts (Section A) \$ 4,037.03
Subtotal \$ 4,087.03
Total Expenditures (Section B) \$ 3,210.06
Funds available at the close of
the reporting period \$ 876.97

Investment Total \$ 0.00

VERIFICATION

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE REPORT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

SIGNATURE OF TREASURER OR CANDIDATE

DATE

EXHIBIT

D

NAME OF POLITICAL COMMITTEE
Citizens to elect Caroline Kennedy-Elkins

REPORTING PERIOD
10/1/2013 thru 12/31/2013

FILED

FOR OFFICE USE ONLY
IDENTIFICATION NO
Candidate 25592

SCHEDULE A

PART 1. INDIVIDUAL CONTRIBUTIONS

ITEMIZED RECEIPTS		DATE	AGGREGATE AMOUNT	
FULL NAME, MAILING ADDRESS, AND ZIP CODE		RECEIVED	AMOUNT OF EACH RECEIPT	FOR THIS REPORTING PERIOD
Elkins, Mark 1127 Brentwood Ct Hanover Park, IL 60133		10/23/2013	\$100.00	\$100.00
TOTAL FOR THIS PART:				\$100.00

NAME OF POLITICAL COMMITTEE
Citizens to elect Caroline Kennedy-Elkins

REPORTING PERIOD
10/1/2013 thru 12/31/2013

FILED

FOR OFFICE USE ONLY
IDENTIFICATION NO
Candidate 25592

SCHEDULE A

PART 4: OTHER RECEIPTS

ITEMIZED RECEIPTS		DATE	AGGREGATE AMOUNT	
FULL NAME, MAILING ADDRESS, AND ZIP CODE		RECEIVED	AMOUNT OF EACH RECEIPT	FOR THIS REPORTING PERIOD
Democracy engine				
. IL				
Description: Refund		12/5/2013	\$288.17	\$288.17
Description: Refund		12/19/2013	\$95.86	\$384.03
TOTAL FOR THIS PART				\$384.03

NAME OF POLITICAL COMMITTEE
Citizens to elect Caroline Kennedy-Elkins

REPORTING PERIOD
10/1/2013 thru 12/31/2013

FILED

FOR OFFICE USE ONLY
IDENTIFICATION NO
Candidate 25592

SCHEDULE A

PART 3: LOANS RECEIVED

ITEMIZED RECEIPTS FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE RECEIVED	ENDORSED AMOUNT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
Ref - ID: 255923/2/2014 8:23:58 PM Elkins, Mark 1127 Brentwood Ct Hanover Park, IL 60133 Loan Amount: \$800.00 10/29/2013			
Elkins, Mark 1127 Brentwood Ct Hanover Park, IL 60133		\$800.00	
Ref - ID: 255923/2/2014 8:37:30 PM Elkins, Mark 1127 Brentwood Ct Hanover Park, IL 60133 Loan Amount: \$750.00 11/12/2013			
Elkins, Mark 1127 Brentwood Ct Hanover Park, IL 60133		\$750.00	
Ref - ID: 255923/2/2014 8:38:10 PM Elkins, Mark 1127 Brentwood Ct Hanover Park, IL 60133 Loan Amount: \$100.00 11/18/2013			
Elkins, Mark 1127 Brentwood Ct Hanover Park, IL 60133		\$100.00	
Ref - ID: 255923/2/2014 8:44:49 PM Elkins, Mark 1127 Brentwood Ct Hanover Park, IL 60133 Loan Amount: \$1,600.00 12/2/2013			
Elkins, Mark 1127 Brentwood Ct Hanover Park, IL 60133		\$1,600.00	

NAME OF POLITICAL COMMITTEE
Citizens to elect Caroline Kennedy-Elkins

REPORTING PERIOD
10/1/2013 thru 12/31/2013

FILED

FOR OFFICE USE ONLY
IDENTIFICATION NO
Candidate 25592

Ref - ID: 255923/2/2014 8:45:35 PM
Elkins, Mark
1127 Brentwood Ct
Hanover Park, IL 60133

Loan Amount: \$303.00
12/2/2013

Elkins, Mark

\$303.00

1127 Brentwood Ct
Hanover Park, IL 60133

TOTAL FOR THIS PART \$3,553.00

NAME OF POLITICAL COMMITTEE
Citizens to elect Caroline Kennedy-Elkins

REPORTING PERIOD
10/1/2013 thru 12/31/2013

FILED

FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 25592

SCHEDULE B

PART 8 - EXPENDITURES

ITEMIZED EXPENDITURES FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE OF EXPENDITURE	AMOUNT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
Direct Democracy unlimited			
IL			
Purpose: Contractual	10/29/2013	\$625.00	\$625.00
	Beneficiary: Citizens to elect Caroline Kennedy-Elkins		
Elkins, Mark 1127 Brentwood Ct Hanover Park, IL 60133			
Purpose: Consulting	10/31/2013	\$140.00	\$140.00
	Beneficiary: Citizens to elect Caroline Kennedy-Elkins		
Purpose: Contractual	11/18/2013	\$500.00	\$640.00
	Beneficiary: Citizens to elect Caroline Kennedy-Elkins		
Jaconetty Thomas			
IL			
Purpose: Legal fees	11/30/2013	\$1,600.00	\$1,600.00
	Beneficiary: Citizens to elect Caroline Kennedy-Elkins		
TOTAL FOR THIS PART:			\$2,865.00

NAME OF POLITICAL COMMITTEE
Citizens to elect Caroline Kennedy-Elkins

REPORTING PERIOD
10/1/2013 thru 12/31/2013

FILED

FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 25592

SCHEDULE C DEBTS AND OBLIGATIONS

FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE	ORIGINAL	CUMULATIVE PAYMENT	OUTSTANDING BALANCE
	INCURRED	AMOUNT	TO DATE ON DEBT	AT THE CLOSE OF THIS PERIOD
Elkins, Mark 1127 Brentwood Ct Hanover Park, IL 60133				
	10/29/2013	\$800.00	\$0.00	\$800.00
	11/12/2013	\$750.00	\$0.00	\$750.00
	11/18/2013	\$100.00	\$0.00	\$100.00
	12/2/2013	\$1,600.00	\$0.00	\$1,600.00
	12/2/2013	\$303.00	\$0.00	\$303.00
TOTAL FOR THIS PART				\$3,553.00

FORM D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES Quarterly Report

4/15/2014 9:21 10 PM
FOR OFFICE USE ONLY
IDENTIFICATION NO
Cand date 25592

Citizens to elect Caroline Kennedy-Elkins
2511 W. Schaumburg Rd
Schaumburg, IL 60194

REPORTING PERIOD
1/1/2014 thru 3/31/2014

CASH AVAILABLE AT
THE BEGINNING OF THE
REPORTING PERIOD
\$876.97

SECTION A - RECEIPTS

1. Individual Contributions:
a. Itemized (from Schedule A) \$ 0.00
b. Not-Itemized \$ 0.00

2. Transfers In
a. Itemized (from Schedule A) \$ 0.00
b. Not-Itemized \$ 0.00

3. Loans Received
a. Itemized (from Schedule A) \$ 0.00
b. Not-Itemized \$ 0.00

4. Other Receipts
a. Itemized (from Schedule A) \$ 0.00
b. Not-Itemized \$ 0.00
TOTAL RECEIPTS (1-4) \$ 0.00

5. In-Kind Contributions
a. Itemized (from Schedule I) \$ 0.00
b. Not-Itemized \$ 0.00
TOTAL IN-KIND \$ 0.00

Name and address of person submitting this report: other
than the committee's candidate or treasurer

Perran Wetzel
3030 Finley Rd ste 110
Downers Grove, IL 60519

SECTION B - EXPENDITURES

6. Transfers Out:
a. Itemized (from Schedule B) \$ 0.00
b. Not-Itemized \$ 0.00

7. Loans made
a. Itemized (from Schedule B) \$ 0.00
b. Not-Itemized \$ 0.00

8. Expenditures:
a. Itemized (from Schedule B) \$ 0.00
b. Not-Itemized \$ 45.00

9. Independent Expenditures
a. Itemized (from Schedule B) \$ 0.00
b. Not-Itemized \$ 0.00
TOTAL EXPENDITURES (\$ 9) \$ 45.00

SECTION C - DEBTS AND OBLIGATIONS

10. a. Itemized (from Schedule C) \$ 3,553.00
b. Not-Itemized \$ 0.00
TOTAL DEBTS AND OBLIGATIONS \$ 3,553.00

SECTION D - CASH BALANCE

Funds available at the beginning
of the reporting period \$ 876.97
Total Receipts (Section A) \$ 0.00
Subtotal \$ 876.97
Total Expenditures (Section B) \$ 45.00
Funds available at the close of
the reporting period \$ 831.97

Investment Total \$ 0.00

VERIFICATION

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE REPORT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

Perran Wetzel

SIGNATURE OF TREASURER OR CANDIDATE

4/15/2014 9:21 10PM

DATE

EXHIBIT

E

NAME OF POLITICAL COMMITTEE
Citizens to elect Caroline Kennedy-Elkins

REPORTING PERIOD
1/1/2014 thru 3/31/2014

FILED
4/15/2014
9:21:10 PM

FOR OFFICE USE ONLY
IDENTIFICATION NO
Candidate 25592

SCHEDULE C DEBTS AND OBLIGATIONS

FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE	ORIGINAL	CUMULATIVE PAYMENT	OUTSTANDING BALANCE
	INCURRED	AMOUNT	TO DATE ON DEBT	AT THE CLOSE OF THIS PERIOD
Elkins, Mark 1127 Brentwood Ct Hanover Park, IL 60133	10/29/2013	\$800.00	\$0.00	\$800.00
	11/12/2013	\$750.00	\$0.00	\$750.00
	11/18/2013	\$100.00	\$0.00	\$100.00
	12/2/2013	\$1,600.00	\$0.00	\$1,600.00
	12/2/2013	\$303.00	\$0.00	\$303.00
TOTAL FOR THIS PART:				\$3,553.00

FORM D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES Quarterly Report

9/16/2014 9:25:08 PM
FOR OFFICE USE ONLY
IDENTIFICATION NO
Candidate 25592

Citizens to elect Caroline Kennedy-Elkins
2511 W. Schaumburg Rd
Schaumburg, IL 60194

REPORTING PERIOD
4/1/2014 thru 6/30/2014

CASH AVAILABLE AT
THE BEGINNING OF THE
REPORTING PERIOD
\$831.97

SECTION A - RECEIPTS

1. Individual Contributions	
a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized	\$ 0.00
2. Transfers In	
a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized	\$ 0.00
3. Loans Received:	
a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized	\$ 0.00
4. Other Receipts	
a. Itemized (from Schedule A)	\$ 0.00
b. Not-Itemized	\$ 0.00
TOTAL RECEIPTS (1-4)	\$ 0.00
5. In-Kind Contributions:	
a. Itemized (from Schedule I)	\$ 0.00
b. Not-Itemized	\$ 0.00
TOTAL IN KIND	\$ 0.00

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

SECTION B - EXPENDITURES

6. Transfers Out:	
a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized	\$ 0.00
7. Loans made:	
a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized	\$ 0.00
8. Expenditures:	
a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized	\$ 0.00
9. Independent Expenditures:	
a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized	\$ 0.00
TOTAL EXPENDITURES (6-9)	\$ 0.00

SECTION C - DEBTS AND OBLIGATIONS

10. a. Itemized (from Schedule C)	\$ 3,553.00
b. Not-Itemized	\$ 0.00
TOTAL DEBTS AND OBLIGATIONS	\$ 3,553.00

SECTION D - CASH BALANCE

Funds available at the beginning of the reporting period	\$ 831.97
Total Receipts (Section A)	\$ 0.00
Subtotal	\$ 831.97
Total Expenditures (Section B)	\$ 0.00
Funds available at the close of the reporting period	\$ 831.97
Investment Total	\$ 0.00

VERIFICATION

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE REPORT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000

Perran Weizel

SIGNATURE OF TREASURER OR CANDIDATE

9/16/2014 9:25:08PM

DATE

EXHIBIT

F

NAME OF POLITICAL COMMITTEE
Citizens to elect Caroline Kennedy-Elkins

REPORTING PERIOD
4/1/2014 thru 6/30/2014

FILED
9/16/2014
9:25 08 PM

FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 25592

SCHEDULE C DEBTS AND OBLIGATIONS

FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE	ORIGINAL	CUMULATIVE PAYMENT	OUTSTANDING BALANCE
	INCURRED	AMOUNT	TO DATE ON DEBT	AT THE CLOSE OF THIS PERIOD
Elkins, Mark 1127 Brentwood Ct Hanover Park, IL 60133				
	10/29/2013	\$800.00	\$0.00	\$800.00
	11/12/2013	\$750.00	\$0.00	\$750.00
	11/18/2013	\$100.00	\$0.00	\$100.00
	12/2/2013	\$1,600.00	\$0.00	\$1,600.00
	12/2/2013	\$303.00	\$0.00	\$303.00
TOTAL FOR THIS PART:				\$3,553.00

STATE OF ILLINOIS)
) SS
COUNTY OF C O O K)

BEFORE THE COUNTY OFFICERS ELECTORAL BOARD
FOR THE HEARING AND PASSING UPON OF OBJECTIONS
TO THE NOMINATION PAPERS OF CANDIDATES FOR
OFFICES FROM DISTRICTS OR DIVISIONS
WHICH ARE COTERMINOUS WITH OR LESS THAN THE
COUNTY OF COOK AND STATE OF ILLINOIS

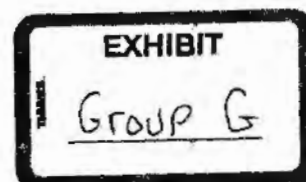
OBJECTION OF:

Mita Jani to the filing for the office of Circuit Court
Judge, for candidate Caroline M. Kennedy Elkins,
to be voted upon at the March 18, 2014 Primary
election.

13 COEB JUD 14

DECISION

The duly constituted COUNTY OFFICERS ELECTORAL BOARD, consisting of the HONORABLE DAVID ORR, by Daniel P. Madden, HONORABLE ANITA ALVAREZ, by Donald J. Pechous, and HONORABLE DOROTHY BROWN, by Gloria A. Legette, organized by law in response to a Call issued by the HONORABLE DAVID ORR, Chairman of said Electoral Board, for the purpose of hearing and passing upon of objections to the nomination papers of candidates to offices which are coterminous with or less than the County of Cook having convened on December 16, 2013, at 69 W. Washington Street, Lower level (Pedway room), in the City of Chicago, County of Cook and State of Illinois, and having heard and determined the objections to the petitions in the above entitled matter, finds that:



1. The said Electoral Board has been legally constituted according to the laws of the State of Illinois;
2. Objections to the nomination papers of the candidate herein were duly filed;
3. A Call to the hearing on said objections was duly issued and was caused to be served upon the members of the Electoral Board, the objector and the candidate, by mail and by personal service as provided by Statute;
4. An initial public hearing was held on these objections on Monday, December 16, 2013;
5. There were present at such hearings the following persons, among others:
 - a) Honorable David Orr, by Daniel P. Madden, Honorable Anita Alvarez, by Donald J. Pechous, and Honorable Dorothy Brown, by Gloria A. Legette;
 - b) ~~Objector, by Counsel~~
 - c) Candidate, by Counsel
6. All evidence, if any, that was tendered by those appearing at the hearing was heard and considered by the Board;
7. All arguments, if any, that were made at the hearing were considered by the Board;
8. The Board having heard oral argument and having considered all evidence, if any,
HEREBY MAKES THE FOLLOWING FINDINGS:

This case concerns the effect of signing a nomination petition for a candidate of one established political party and then seeking to run as a candidate for the nomination of a different established political party. It is undisputed that the Candidate signed the petition of Richard George Karwaczka to be a Republican candidate for Judge. Karwaczka filed his petition with the State Board of Elections, but withdrew his candidacy after filing. Objector maintains that Candidate has run afoul of the provisions of §7-10 of the Election Code that "A 'qualified primary elector' of a party may not sign petitions for or be a candidate in the primary of more than one party." 10 ILCS 5/7-10. The Board authorized the Chairman to assign the matter to a Hearing Officer for determination of this issue.

The Board received the Recommended Decision of the Hearing Officer and heard argument from the parties. The matter resolves itself into the question of whether this case is controlled by *Rosenzweig v. Illinois State Board of Elections*, 409 Ill.App.3d 176 (1st Dist 2011). Here is the case's key sentence: "[W]e hold that the remaining restriction in section 8-8 of the Election Code prohibits signing a nominating petition for a candidate from one political party and then running as a candidate for another political party in the same election cycle." 409 Ill.App.3d at 181. "[T]he remaining restriction" referred to by the *Rosenzweig* court is the sentence cited above, which appears in identical form in both §8-8 and §7-10 of the Election Code: "A 'qualified primary elector' of a party may not sign petitions for or be a candidate in the primary of more than one party." Candidate's attempts to get out from under *Rosenzweig* are futile. The controlling act is the Candidate's signing of a petition that was filed with the State Board of Elections for a candidacy in the "other" party. The later withdrawal of that petition or any alleged infirmities in it do no reach the Candidate's act of signing.

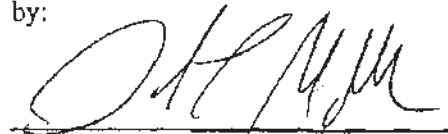
The Board received the Report and Recommendation of the Hearing Officer and voted unanimously to adopt the Hearing Officer's Recommendation (which is made a part of this Decision), and sustain the Objection herein as to the matter set out in paragraph 4.

IT IS THEREFORE ORDERED, ADJUDGED AND DECREED that the objections of Mita Jani, to the filing for office of Circuit Court Judge, located in the County of Cook, State of Illinois for candidate Caroline M. Kennedy Elkins are hereby rendered moot in conformity with the findings in paragraphs 8 hereof. The said nomination papers are hereby declared invalid, the name of Caroline M. Kennedy Elkins, candidate for the Office of Circuit Court Judge in the Cook County Circuit, shall not be printed on the ballot for the Primary Election to be held on March 18, 2014.

DATED, at Chicago, Illinois this 22nd Day of January, 2014.

DAVID ORR, Chairman

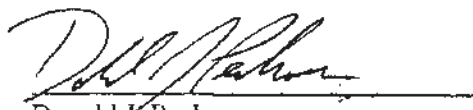
by:



Daniel P. Madden

ANITA ALVAREZ, Member

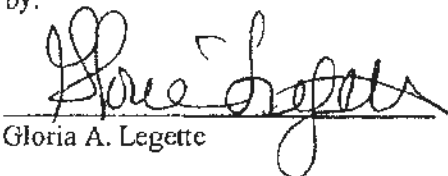
by:



Donald J. Pechous

DOROTHY BROWN, Member

by:



Gloria A. Legette

96122

**BEFORE THE COOK COUNTY OFFICERS ELECTORAL BOARD
COOK COUNTY, ILLINOIS**

MITA JANI,)	
Objector,)	
)	
v.)	No. 13-COER-JUD-14
)	
CAROLINE M. KENNEDY-ELKINS,)	
Candidate.)	

RECOMMENDED DECISION

This matter comes to be heard on the Candidate's Motion to Strike and Dismiss the Objector's Petition ("Motion"). In her Verified Objector's Petition, the Objector, Mita Jani, argues that the Statement of Candidacy of the Candidate, Caroline M. Kennedy-Elkins, contains a false swearing that the Candidate is a qualified primary elector of the Democratic Party for the 2014 primary election for the Office of Judge of the Circuit Court of Cook County, 13th Subcircuit of the Cook County Judicial Circuit, Illinois, vacancy of the Honorable Anthony Iosco. Specifically, the Objector argues that the Candidate signed the nominating petitions for a judicial candidate seeking the 2014 Republican nomination for the 13th Judicial Subcircuit and is prohibited, therefore, from running as a judicial candidate in the Democratic primary.

In her Verified Objector's Petition, the Objector states that 10 ILCS 5/7-10 prohibits a candidate from signing the nominating petitions for a candidate of the other party. The provision (hereinafter referred to as "the provision") relied upon by the Objector is contained near the end of §7-10 and states:

"A 'qualified primary elector' of a party may not sign petitions for or be a candidate in the primary of more than one party."

Sheet 22 of the Republican Primary Petition for Richard George Karwaczka was apparently not attached to the Objector's Petition but has since been submitted to the Candidate and to the

Hearing Officer. Review of Sheet 22 shows that the signature of Caroline Kennedy-Elkins is contained at Line 8. The Candidate admits that this is her signature but raises several grounds as to why this signing is not fatal to her candidacy.

The leading case on this issue is *Rosenzweig v. Illinois State Board of Elections*, 409 Ill.App.3d 176 (1st Dist.2011). In *Rosenzweig*, a candidate for the Republican nomination for Representative in the General Assembly, named Cynthia Hebda, was disqualified because she had signed the nominating petitions for a Democratic candidate in the same race. In affirming the judgment of the trial court holding that Hebda's petitions were invalid, the Appellate Court relied upon a provision contained in 10 ILCS 5/8-8 that is identical to the provision from §7-10 quoted on page 1 of this Recommended Decision.

The Candidate first attempts to distinguish *Rosenzweig* by arguing that Article 8 deals with nominations to the General Assembly and is inapplicable to her Article 7 petitions for nomination to the bench. While the *Rosenzweig* court never mentions Article 7 in its opinion, the Supreme Court, in *Hossfeld v. The Illinois State Board of Elections*, 238 Ill.2d 418 (2010), treats the provisions in §7-10 and §8-8 synonymously. In *Hossfeld*, a Republican candidate for the General Assembly had voted in the Democratic primary in the previous election. The Supreme Court held that this vote was not a disqualifying act. After discussing the history of party-switching case law and legislation, the Supreme Court stated:

"After amendment, sections 7-10 and 8-8 stated simply that '(a) qualified primary elector of a party may not sign petitions for or be a candidate in the primary of more than one party.'" 238 Ill.2d, 427.

The Court further stated:

"As the appellate court here correctly observed, the Election Code no longer contains express time limitations on party-switching, and Rauschenberger (the candidate) did not run afoul of the only remaining restriction, set forth in both sections 7-10 and 8-8, that a 'qualified

primary elector' of a party may not sign petitions for or be a candidate in the primary of more than one party." 238 Ill.2d, 429.

There is no valid reason to distinguish between Article 7 cases and Article 8 cases when interpreting the prohibition against party-switching contained in the identical restrictive provisions.

The Candidate raises several reasons why the decision in *Rosenzweig* was wrongly decided and, therefore, should not control the instant case. For example, she argues that the *Rosenzweig* court relied upon cases that do not support its holding. Even if one were to disagree with an appellate court decision, it is not the province of a hearing officer to disregard it. The Candidate's argument that the *Rosenzweig* court incorrectly relied upon *Watkins v. Burke*, 122 Ill.App.3d 499 (1984) and *Citizens for John W. Moore Party v. Board of Election Commissioners*, 794 F.2d 1254 (7th Cir. 1986) is unavailing.

The Candidate next argues that her signature on the petitions for George Karwaczka has no legal significance because Karwaczka subsequently withdrew his candidacy. The Candidate argues that because of this withdrawal, Karwaczka is not considered a candidate for purposes of §7-10. In response, the Objector cites to the definition of candidate at 10 ILCS 5/9-1.3 and counters that Karwaczka is considered a candidate because he took the actions necessary to "attempt to qualify for nomination for election" by filing his petitions and his statement of candidacy.

The *Rosenzweig* court is silent as to the electoral fate of the candidate whose petitions were signed by candidate Hebda. The discussion in that case, however, shows that the Appellate Court was more concerned with the actions of the petition signer than with the actions of the candidate for whom she signed. For example, the Appellate Court spoke at length about the type of political maneuvering that could be accomplished if the candidate of one party supported the

nomination of her opponent by signing petitions on his behalf. The focus in the instant case should likewise be upon the acts of the petition signer and not upon the candidate for whom she signed. It was fortuitous, vis-a-vis the Candidate, that Karwaczka withdrew his candidacy. The Hearing Officer agrees with the Objector that, for purposes of this case and §7-10, Karwaczka is considered a candidate despite his subsequent withdrawal.

The Candidate also argues that her signature is void because Karwaczka, contrary to his sworn attestation, did not actually circulate the petition which the Candidate signed. Once again, the focus needs to be on the act of the Candidate in signing Karwaczka's petition. At the time that the Candidate signed the petition, it was clearly stated thereon that Karwaczka was seeking the nomination of the Republican Party. Assume that the petitions for Karwaczka had not been challenged and that he had not withdrawn his candidacy. Would the Candidate, in furtherance of her position that her signature was void and/or ineffectual, be allowed to collaterally attack Karwaczka's unchallenged petitions to show that there was a disqualifying pattern of fraud in their procurement? Such an exhaustive review would not change the fact that the Candidate signed Karwaczka's petition to support his nomination from a party other than her own.

Next, the Candidate proffers a grammatical analysis of the provision that results in an interpretation that the legislature intended that a qualified primary elector may not sign petitions for more than one party OR that a qualified primary elector of a party may not be a candidate of more than one party. The Candidate argues that the provision, therefore, only prohibits her from being a candidate for two parties. The *Rosenzweig* court rejected a similar argument stating:

"If the legislature intended such a result, the restrictions would have been explained in two separate sentences, one for restrictions on voters who signed petitions and one for restrictions on candidates. Moreover, it would constitute an absurd result for the legislature to place restrictions on voters that are more stringent than those placed on actual candidates. Under Hebda's interpretation, voters

in general cannot influence the nomination for a candidate from a party other than their own, but a voter who plans to run as a candidate is allowed to do so." 409 Ill.App.3d, 180-181.

This argument of the Candidate must fail as it has already been rejected by the *Rosenzweig* court.

Lastly, the Candidate argues that the Verified Objector's Petition should be stricken because the petition sheet that the Candidate signed was not attached thereto. The Objector counters that attachment of this document was not necessary and that her Verified Objector's Petition clearly explains the nature of her objection as required by 10 ILCS 5/10-8. The Objector states that she was not even required to refer to the petition sheet in her Verified Objector's Petition. The Hearing Officer agrees with the Objector and finds that the failure to attach the subject petition sheet was not necessary and is not fatal to the objection.

SUMMARY

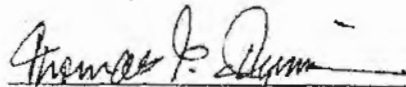
The hearing officer agrees with the Candidate that the right to ballot access should not be lightly denied and that a recommendation that the name of the Candidate be stricken from the ballot may lead to a harsh result. If the *Rosenzweig* decision was not so directly on point, the persuasive advocacy on behalf of the Candidate could have changed the outcome of this case. After hearing from the Candidate, there is little doubt that, in signing the petition, she was trying to help a friend rather than engaging in the political maneuvering criticized by the *Rosenzweig* court. Many voters sign petitions to advocate a political preference and support for their party but others may just want to promote ballot access or, as in the case of the Candidate, help a friend. There is no authority, however, that allows the outcome of a case involving violation of the provision to be determined by the subjective intent of the petition signer.

This matter was heard on the Motion to Strike and Dismiss by the Candidate but there is really no need for further hearings. Testimony was elicited at this hearing which supports the

evidentiary circumstances mentioned in the Candidate's briefs. All parties have been given the opportunity to brief, argue, and present the relevant issues of this case.

For the reasons stated in this Recommended Decision, it is the recommendation of the Hearing Officer that the name of Caroline M. Kennedy-Elkins shall not appear on the ballot for the Democratic Party Nomination for Judge of the Circuit Court of Cook County, 13th Subcircuit.

January 10, 2014


Hearing Officer - Thomas P. Quinn

Printable List

GENERAL PRIMARY - 3/18/2014

Name	Office/Party	Status
CURRY, JOHN 564 S. SUMMIT STREET BARRINGTON, IL 60010	COOK - 13TH SUBCIRCUIT - IOSCO VACANCY REPUBLICAN	Active 11/25/2013 8:00 AM
KARWACZKA, RICHARD GEORGE 1 CHESWICK COURT SCHAUMBURG, IL 60194	COOK - 13TH SUBCIRCUIT - IOSCO VACANCY REPUBLICAN	Withdrawn 12/18/2013 11:06 AM
SEYRING, GARY W. 716 STRATFORD LANE SCHAUMBURG, IL 60193	COOK - 13TH SUBCIRCUIT - IOSCO VACANCY REPUBLICAN	Active 11/25/2013 8:00 AM
KENNEDY-ELKINS, CAROLINE M. 1127 BRENTWOOD CT. HANOVER PARK, IL 60133	COOK - 13TH SUBCIRCUIT - IOSCO VACANCY DEMOCRATIC	Removed 1/22/2014 3:00 PM

Records 1 to 4 of 4

PUBWEB2

GENERAL PRIMARY -
3/18/2014

COOK - 13TH
SUBCIRCUIT - IOSCO
VACANCY

✓ CAROLINE M. KENNEDY
-ELKINS
1127 BRENTWOOD CT.
HANOVER PARK, IL
60133

DEMOCRATIC PARTY

✓ Filed: 12/2/2013 12:24
PM

✓ Status: Removed
1/22/2014 3:00 PM

Campaign Web Site:
CAROLINEFORJUDGE.COM

Objections

Name	Objection Time	Status
JANI V KENNEDY- ELKINS	12/9/2013 3:48 PM	SUSTAINED ✓

Requests To View Or Copy Petitions

Requestor	ViewCopy
CHEATHOM, CHERISE 10217 S. PERRY AVE. CHICAGO, IL 60628	12/3/2013 9:32 AM
BARAJAS, MICHAEL 1732 WEST JULIAN CHICAGO, IL 60622	12/4/2013 11:23 AM
DORR, TIM 1051 PERIMETER DR. SCHAUMBURG, IL 60173	12/3/2013 3:15 PM

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

14 DEC 15 AM 11:40

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS TO ELECT CAROLINE KENNEDY-ELKINS)
Respondent(s).)

Case No. 14 MA 029
25592

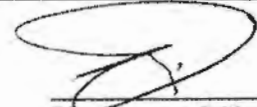
APPEAL AFFIDAVIT

I, MARK ELKINS, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

CITIZENS TO ELECT CAROLINE KENNEDY-ELKINS
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

D THIS WAS A SMALL FRIENDS AND FAMILY CAMPAIGN AND WE COLLECTED + SPENT A VERY MINIMAL AMOUNT OF MONEY. ① THE TREASURER IS A PERSONAL FRIEND. HE IS AN EXCELLENT ACCOUNTANT BUT HE HAS NEVER DONE ANY CAMPAIGN FINANCE BEFORE SOME DETAILS CONFUSED HIM + HE MISUNDERSTOOD. ② THE OFFICERS OF THE COMMITTEE HAVE NO PRIOR EXPERIENCE WITH THE ONLINE SYSTEMS AT THE BOARD OF ELECTIONS AND WE USED IT BUT MADE DATA ENTRY AND COMPUTER ERRORS. ③ THE OFFICERS OF THE COMMITTEE HAVE NEVER BEEN PART OF OR OFFICERS OF A CAMPAIGN COMMITTEE AND WERE IGNORANT OF THE ROLES AND RESPONSIBILITIES ASSOCIATED WITH THAT POSITION. THE COMMITTEE COLLECTED A TOTAL AMOUNT OF MONEY LESS THAN THE FINE. IT CURRENTLY HAS NO MONEY IT IS UNFAIR AND PUNITIVE TO LEVY SUCH BURDENSOME FINES BECAUSE ANY PAYMENTS WOULD REQUIRE THE OFFICERS TO PAY OUT OF THEIR PERSONAL FUNDS.

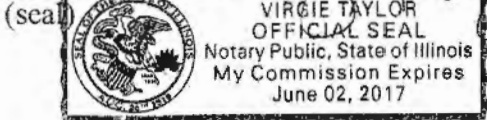


Signature of Chairman/Treasurer

Signed and Sworn to by:
MARK ELKINS

before me this 12th Day of December, 2014

Notary Public Virgie Taylor



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
November 14, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Citizens to Elect Caroline Kennedy-Elkins ID# 25592
Perran Wetzel
3030 Finley Rd, Ste 110
Downers Grove, IL 60519

Dear Citizens to Elect Caroline Kennedy-Elkins:

Dear Citizens to Elect Caroline Kennedy-Elkins:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June 2014 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2014 through June 30, 2014
Filing Period:	July 1, 2014 through July 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 9/16/2014, 44 day(s) late. As such, this committee has been assessed a fine of \$2200.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Mark Elkins	12/2/2013	\$1600	*	54	\$800

The committee is fined a **total** of \$800.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$80.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2013 December	Quarterly	\$725
TOTAL AMOUNT NOW DUE		\$3725

The total for all assessments in this letter is \$3725.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed by December 15, 2014. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

* This contribution was reported on the December 2013 Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 MA 035

Central Illinois for Responsible Government, NFP ID# 25829
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$2,000 contribution on 8/13/14 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$1,000. Additionally, the Committee received a \$1,000 contribution on 10/15/14 and a \$2,000 contribution on 10/24/14, and reported both on a Schedule A-1 received by the Board on 11/8/14, 16 and 9 days late respectively, resulting in a civil penalty assessment of \$1,500. The total assessment is \$2,500.

Karen Janus, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Janus states that in all three cases, she was not informed of the receipt of the contributions until after the A-1 filing deadline had already passed. Donald Tracy, the Chairman of the Committee, includes his own affidavit which confirms that he neglected to inform Ms. Janus of the loans he made to the Committee, resulting in their being reported late. He cites inexperience with committee report filing requirements as the reason for the oversight, and asks that the penalty be waived or in the alternative, that all three instances be considered the first violation by the Committee.

I recommend the appeal for all three contributions be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, I recommend that the penalty for the \$2,000 contribution on 8/13 be reduced to 10% of the original assessment, or \$100, as a first violation. Since the two other violations occurred in a later quarter, I recommend that they be considered the second A-1 violations for the Committee, and that the penalty therefore be reduced to 50% of the original assessment, or \$750. If these recommendations are accepted by the Board, the total assessment of \$850 will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$790.54)



Tom Newman – Hearing Officer
April 2, 2015

State of Illinois)
)
County of : _____)

STATE BOARD OF ELECTIONS

15 MAR 25 AM 8:19

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Central IL for Responsible Government)
Respondent(s).)

Case No. 15MA035

APPEAL AFFIDAVIT

I, Karen Janus, the Treasure of the
(Name) (Chairman/Treasurer)
Central IL for Responsible Government NFP
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

In defense for the first delinquent Schedule A-1 filing of \$2000 on 8/13/2015: I was informed of this deposit on October 13, 2014. This deposit was included on the Quarterly report. At the time of the filing, the computer program did not prompt me to file a Schedule A-1, therefore I did not realize that it should be filed.

In defense for the second delinquent late filings of Schedule A-1 of \$1000 on 10/15/2014 and \$2000 on 10/24/2014: I was not informed of these deposits until I received the INB bank statement ending on 10/31/2014 in the mail. The Schedule A-1 reports were then immediately filed.

I sincerely apologize for any delay in the filings. I did file them as soon as I was informed of the deposits.

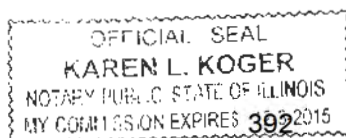
Karen Janus
Signature of Chairman/Treasurer

Signed and Sworn to by:

Karen Janus
before me this 24th Day of March, 2015

Notary Public
(seal)

Karen L Koger



15 MAR 27 PM 3:17

State of Illinois)
) s.s.
County of Sangamon)

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

IN THE MATTER OF;)	
ILLINOIS STATE BOARD OF ELECTIONS,)	
)	
Complainant)	
)	
v.)	Case No. 15-MA-035
)	
CENTRAL ILLINOIS FOR RESPONSIBLE)	
GOVERNMENT, NFP,)	
)	
----- Respondent.)	-----

APPEAL AFFIDAVIT

NOW COMES, DONALD R. TRACY, Chairman of CENTRAL ILLINOIS FOR RESPONSIBLE GOVERNMENT, NFP (the "Committee"), first being duly sworn, deposes and states that he represents that the Committee can offer a good reason or defense to the assessment of the civil penalty in this matter, and that such reasons and defenses are as follows:

1. On August 13, 2014, I loaned \$2,000.00 to the Committee for the purpose of ensuring that the Committee had sufficient funds to pay for a previously scheduled expenditure. The expenditure was scheduled based upon the promises of pledged contributions from individuals that either arrived later than promised, or were never contributed. I inadvertently failed to inform the volunteer Committee Treasurer about this loan for reporting to the Illinois State Board of Elections (the "Board") prior to the time in which the D-2 Quarterly Reports were being prepared because of the last minute nature of the loan to cover the expenditure.

2. The \$1,000.00 loan to the Committee on October 15, 2014, and the \$2,000.00 loan to the Committee on October 24, 2014, were made in circumstances identical to the August

13, 2014 loan, and I inadvertently failed to inform the volunteer Committee Treasurer about these loans prior to the time in which the D-2 Quarterly Reports were being prepared.

3. I had informed the Committee Treasurer of other previous loans (\$9,000.00 on February 28, 2014, \$9,000.00 on March 10, 2014, \$10,000.00 on July 18, 2014, and \$36,000.00 on July 29, 2014), but inadvertently failed to inform her of the three smaller loans.

4. At the time of the three loans the Committee was a relatively new entity, and had not experienced a general election cycle. The unintentional failure to report the three smaller loans was partially due to the lack of recent or regular experience in reporting political loans and contributions to the Board.

5. I was not aware of the failure to timely report the August 13, 2014 loan when the October 15, 2014 and October 24, 2014 loans were made.

6. The first notice of violations regarding the three loans was provided by the Board in the February 27, 2015 letter assessing a civil penalty against the Committee ("Assessment Letter").

7. If an assessment letter or other notice had been provided that informed the Committee that the August 13, 2014 loan should have been reported on a Schedule A-1 prior to the time October loans were made, the October loans would have been timely reported.

8. The failure to file Schedule A-1 reports for the three loans was not knowing or intentional.

9. The Committee did disclose the three loans in its D-2 Quarterly Reports without any prompting by the Board.

10. For the reasons cited in this Affidavit, I request that the Board waive the civil penalty imposed in the Assessment Letter.

15 MAR 27 PM 3:17

IN THE MATTER OF;
ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

v.

CENTRAL ILLINOIS FOR RESPONSIBLE
GOVERNMENT, NFP,

Respondent.

)
)
)
)
)
) Case No. 15-MA-035
)
)
)
)
)

ENTRY OF APPEARANCE

NOW COMES Daniel L. Hamilton, of the law firm of Brown, Hay & Stephens, LLP, and enters his appearance for Respondent, CENTRAL ILLINOIS FOR RESPONSIBLE GOVERNMENT, NFP., in the above referenced cause.

Respondent, CENTRAL ILLINOIS FOR RESPONSIBLE GOVERNMENT,

By:

By: *D. D. Hunter*
One of its Attorneys

BROWN, HAY & STEPHENS, LLP

Daniel L. Hamilton
Registration No. 6312982
205 S. Fifth Street, Suite 700
P.O. Box 2459
Springfield, IL 62705-2459
(217) 544-8491
dhamilton@bhslaw.com

15 MAR 27 PM 3:18

Case No. 15-MA-035

STATE BOARD OF ELECTIONS
15 MAR 27 PM 3:17

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

IN THE MATTER OF;)	
ILLINOIS STATE BOARD OF ELECTIONS,)	
)	
Complainant)	
)	
v.)	Case No. 15-MA-035
)	
CENTRAL ILLINOIS FOR RESPONSIBLE)	
GOVERNMENT, NFP,)	
)	
Respondent.)	

CIVIL PENALTY APPEAL BRIEF

NOW COMES CENTRAL ILLINOIS FOR RESPONSIBLE GOVERNMENT, NFP. ("Committee"), by and through its attorneys, Brown, Hay & Stephens, LLP, and in support of its Civil Assessment Appeal Brief, shows the Illinois State Board of Elections ("Board") as follows:

The February 27, 2015 letter assessing a civil penalty against the Committee ("Assessment Letter") provides that three "contributions" were not reported in accordance with Section 5/9-10(c) of the Illinois Election Code ("Code") because Schedule A-1 Reports of Campaign Contributions were not filed for these three "contributions." Donald R. Tracy, the Committee Chairman ("Chairman"), has submitted an Appeal Affidavit ("Affidavit") in accordance with 26 Ill. Adm. Code 125.425(e)(1) simultaneously with the filing of this Civil Assessment Appeal Brief. A Waiver of Personal Appearance pursuant to 26 Ill. Adm. Code 125.425(e)(1) has also been filed, as well as an Entry of Appearance by the law firm first stated above.

A review of the second and third quarter 2014 D-2 Quarterly Reports for the Committee reveals that the "contributions" were not outright contributions, but rather loans made to the Committee by the Chairman. As stated in the Affidavit, the failure to report the loans was merely a result of the circumstances in which the loans were made, and the lack of the Chairman's recent

and regular experience in reporting political loans and contributions to the Board. The failure to report was inadvertent and not a willful or intentional act. The volunteer Committee Treasurer previously reported all loans she was informed about in Schedule A-1 reports, but the loans cited in the Assessment Letter were not reported to the Board because the Committee Chair inadvertently failed to tell the Committee Treasurer that the loans were made for the reasons cited in the Affidavit.

The loans were made to ensure that the Committee had sufficient funds to pay for previously scheduled expenditures that were planned after individuals had promised to make contributions to the Committee. When these individuals did not make the contributions in the time frame that they had indicated, and the Committee had already scheduled expenditures that included the amounts from those promised contributions, the Chairman made loans to the Committee to cover the expenditure. Thereafter, the Chairman inadvertently failed to tell the Committee Treasurer about the loans because they were made rapidly in order to cover the previously scheduled expenditures.

The circumstances that led the Chairman to inadvertently fail to inform the Committee Treasurer of the August 13, 2014 loan were the same circumstances that led to the inadvertent failure to inform the Committee Treasurer of the October 15, 2014 and October 24, 2014 loans. The first notice of any potential violation of the provisions of 10 ILCS 5/9-10(c) of the Code was provided by the Board in the Assessment Letter. Had the Committee been informed earlier that the August 13, 2014 loan was not properly reported, the Committee would have adjusted its operations and reported the October loans on Schedule A-1 reports.

The inadvertent failures to file Schedule A-1 reports for the loans identified in the Assessment Letter were not knowing or intentional. The loans at issue were reported on D-2

Quarterly Reports without any prompting from the Board. Also, according to the Assessment Letter, the violations referenced therein are the first violations of Article 9 of the Code by the Committee. At the time of the subject loans, the Committee was a relatively new entity, and the inadvertent failure to report the loans was due to the lack of experience in reporting political loans and contributions, and the immediate need for the loans to be made.


In the Assessment Letter, the civil penalty for the unfiled Schedule A-1 report for the August 13, 2014 loan is reduced to \$100.00 as 10% of the \$1,000.00 fine assessed because this was the "first delinquent Schedule A-1 filing" for the Committee. However, the civil penalty in the Assessment Letter for the unfiled Schedule A-1 reports regarding the October 15, 2014 and October 24, 2014 loans is reduced to \$750.00 as 50% of the \$1,500.00 fine assessed because this was "the second delinquent Schedule A-1 filing" for the Committee.

In cases "of negligent or inadvertent violations," the Board may either (1) impose a fine not to exceed 50% of the total amount of the delinquently reported contributions, or (2) waive the fine. 26 Ill. Adm. Code 125.425(d)(5)(c). When considering the amount of a civil penalty to be imposed, the Board is required to "consider all relevant factors." 26 Ill. Adm. Code 125.425(d)(4). The Committee requests that the Board waive the civil penalty in the Assessment Letter, and in the alternative, reduce the amount of the civil penalty.

As a result of the lack of knowledge or notice by the Committee that any potential violation of the provisions of 10 ILCS 5/9-10(c) of the Code had occurred prior to receipt of the Assessment Letter, the inexperience with reporting political contributions and loans to the Board, and the circumstances in which the loans were made, the Committee requests that the Board waive the civil assessment imposed in the Assessment Letter pursuant to 26 Ill. Adm. Code 125.425(d)(5)(c). In the alternative, if the Board declines to waive the civil penalty in the Assessment Letter pursuant

to 26 Ill. Adm. Code 125.425(d)(5)(c), the Committee requests that the Board reduce the amount of the civil assessment by classifying all three loans cited as violations in the Assessment Letter as the first violation by the Committee, and reduce the total civil assessment of \$2,500.00 to \$250.00 as 10% of the total fine amount.

**Respondent, CENTRAL ILLINOIS FOR
RESPONSIBLE GOVERNMENT,**

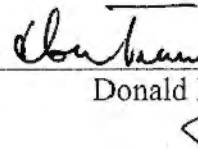
By: 
One of its Attorneys

BROWN, HAY & STEPHENS, LLP

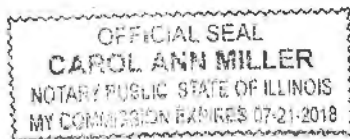
Daniel L. Hamilton
Registration No. 6312982
205 S. Fifth Street, Suite 700
P.O. Box 2459
Springfield, IL 62705-2459
(217) 544-8491
dhamilton@bhslaw.com

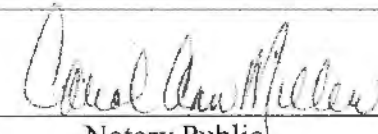
11. In the alternative, if the Board declines to waive the civil penalty, I request that the Board reduce the amount of the civil penalty and classify all three loans cited as the first violation by the Committee for the reasons provided in this Affidavit.

Further, Affiant sayeth not.


Donald R. Tracy

SUBSCRIBED and SWORN TO before me on this 27th Day of March, 2015.




Notary Public

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Central Illinois for Responsible Government, NFP ID# 25829
Donald Tracy
1429 Lake Shore Drive
Springfield, IL 62712

7014 2120 0001 1716 1945

Dear Central Illinois for Responsible Government, NFP:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the third quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Don Tracy	8/13/2014	\$2000	*	41	\$1000

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1000.00 for delinquently filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the fourth quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Don Tracy	10/15/2014	\$1000	11/8/2014	16	\$500
Don Tracy	10/24/2014	\$2000	11/8/2014	9	\$1000

Your committee is subject to a fine of \$1500.00 for delinquently filing Schedule A-1 reports.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$750.00 (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$850.00

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

14 AJ 057

YES for Tognarelli Committee

ID# 25935

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$1,000 contribution on 6/23/14 but failed to report this on a Schedule A-1. The total assessment is \$500.

John Rekowski, the Bookkeeper of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the affidavit, Mr. Rekowski states that he thought contributions in this amount were only reported on the Quarterly report unless received 30 days preceding the election. He adds he was not aware the rule had changed.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional and since this is the first A-1 violation for this Committee, I further recommend the penalty be reduced to 10% of the original assessment or \$50. As a first violation, the penalty is stayed.

(As of 12/31/14, this Committee reported a funds available balance of \$5,296.75.)



Kim Patrick – Hearing Officer

April 7, 2015

State of Illinois)

County of: Madison)

STATE BOARD OF ELECTIONS

14 DEC 15 AM 11:40

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 14A0057

YES For Tognarelli
Respondent(s).

APPEAL AFFIDAVIT

I, John J. Rokowski, the bookkeeper/deputy treasurer of the
(Name) (Chairman/Treasurer)

YES For Tognarelli Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

As the Board is aware, the requirement was, at one time, that
contributions in this amount were only reported in the regular
report filing unless received just before the election. I
mistakenly believed this was still the rule. I failed
to stay abreast of the rule change. I have done
disclosure for numerous committees
dating back through 1997 and have
never been cited.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]
before me this 5th Day of Jan, 2014

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
November 14, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

YES for Tognarelli Committee
PO Box 200
Maryville, IL 62062

ID# 25935

7013 1710 0001 8744 8060

Dear YES for Tognarelli Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
James P Holloran	6/23/2014	\$1000	*	10	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 15, 2014 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

vs.

15 AD 057

Vote Yes to Growing Parks Strong in 2014

Cmte ID: 26091

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

~~For Delinquently Filing Schedule A-1 Reports~~

The A-1s were received on October 29, 2014, 2 days late, with respect to two donations for a total of \$6000, both dated October 23, 2014, resulting in a fine of \$3,000. The Committee has not been previously fined.

Gregory Severson, the Treasurer of the Committee, filed a waiver of appearance and appeal affidavit in this matter.

On the Affidavit, Mr. Severson noted the Committee is staffed entirely by volunteers and stated the individual who received the donations in question did not realize they needed to be reported on A-1s within two business days, since they were received within the 30 day period prior to the general election. Mr. Severson said the delinquent filings were inadvertent offenses.

I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, the assessment would automatically be reduced to \$300 (10% of the above-referenced fine amount) as the product of a first Schedule A-1 offense. On January 13, 2015, the Committee filed a Final Report with an ending balance of \$0.00. Accordingly, under Section 125.425 of the Rules accompanying the Act, the assessment would be abated if the Committee does not reactivate within two years of the final board order in this matter.



John Levin – Hearing Officer
March 24, 2015

Cordano, Severson & Associates, Ltd. Professional Tax Accountants
STATE BOARD OF ELECTIONS

Located on the Historic Lincoln Highway 15 MAR 18 PM 1:56

DONALD L. CORDANO, ABA, ATA, MBA, MST, EA
GREGORY D. SEVERSON, ABA, ATA, BS, CPA, EA
ROBERT A. FOURNIER, ABA, ATA, BS, EA

2321 PLAINFIELD ROAD
CREST HILL, IL. 60403
(815) 744-1900 FAX (815) 744-1330
E-MAIL: taxpro@csatax.com

March 12, 2015

Illinois Board of Elections
2329 S. MacArthur Blvd.
Springfield, IL. 62704-4503

RE: Vote Yes to Growing Parks Strong
ID#26091

13AD057

To whom it may concern,

Our committee received the attached notice assessing us a fine for late filing of an A-1 on two donations. The A-1 report was filed two days late per Board of Election rules. We acknowledge the tardiness of the report but we respectfully request that you consider waiving the fine based on the inadvertent violation.

- 1) This is a volunteer committee promoting the passage of a referendum to allow the Joliet Park District borrow money to do some much overdue park repairs in the Joliet park system. This was not a candidate committee that anyone benefited from. Especially not me, the ironic part is that I do not live or work in the Joliet Park District boundaries. This was a campaign promoting a good cause for our community, not a negative campaign and no benefit was derived from filing two days late.
- 2) A volunteer from the Joliet Chamber paid the bills and deposited the donations from the general public. She asked me to volunteer to file the reports because she was not comfortable doing so. As it got closer to the election, she received a couple donations to pay for another mailing. By the time I became aware of the deposit it was past the couple day mark, and thus it was the couple days late. She did not realize that I had to know sooner (that it was that close to the election) and I did not realize that we received a couple extra checks because they went directly to her. Obviously, I have learned my lesson about doing that in the future again (will need more control).
- 3) All of the money was spent on promoting the campaign and nothing was retained. Thus, I the volunteer treasurer would be paying the fine.

Obviously, this was totally inadvertent and it was not done to help or hurt someone (parks referendum). I have never had this happen on any other campaigns I have assisted with. Thus, I respectfully request your waiver if this fine. Thank you for your consideration. If you have any questions, please do not hesitate to contact me. (815)744-1900

Yours Very Truly,



CORDANO, SEVERSON & ASSOCIATES, LTD
Professional Tax Accountants

. . . ACCOUNTING . FINANCIAL PLANNING . TAX SERVICES . . .

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Vote Yes to Growing Parks Strong
2321 Plainfield Road
Crest Hill, IL 60403

ID# 26091

7014 2120 0001 1716 4885

Dear Vote Yes to Growing Parks Strong:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Joliet Parks Foundation	10/23/2014	\$5000	10/29/2014	2	\$2500
AFSCME Illinois Council No. 31	10/23/2014	\$1000	10/29/2014	2	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$3000.00 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.


Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$300.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.

if you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 MA 046

Friends to Elect Karen Kase ID# 26111
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

~~The Committee received a \$1,000 contribution on 9/24/14 and reported it on a Schedule A-1~~
received by the Board on 10/10/14, 7 days late, resulting in a civil penalty assessment of \$500. Additionally, the Committee received a \$1,649.90 contribution on 11/14/14 and reported it on a Schedule A-1 received by the Board on 1/15/15, 34 days late, resulting in a civil penalty assessment of \$825. The total assessment is \$1,325.

Karen Kase, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Kase states that the late A-1 filings were an oversight, caused by a lack of experience in running in an election for the first time. She adds that the Committee's checking account has been closed and asks that the Committee be removed from the Board's database.

In order to close the Committee, a Final Report will need to be filed. Campaign Disclosure staff can assist with this process if necessary. In regards to the late A-1 filings, I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, I recommend the penalty for the 9/24 contribution be reduced to 10% of the original assessment, or \$50, as a first violation. Since the second contribution occurred in a later quarter, I recommend it be considered the second A-1 violation for this Committee, and the penalty therefore be reduced to 50% of the original assessment, or \$413. If these recommendations are accepted by the Board, the total assessment of \$463 will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$0)



Tom Newman - Hearing Officer
April 2, 2015

State of Illinois)
County of: FRANKLIN)

STATE BOARD OF ELECTIONS

15 MAR 12 PM 1:41

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. ID # 26111)
FRIENDS TO ELECT KAREN KASE)
Respondent(s).)

Case No. 15M19046

APPEAL AFFIDAVIT

I, KAREN KASE, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
FRIENDS TO ELECT KAREN KASE ID # 26111
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE FILING OF THE SCHEDULE A-1 FOR THE CONTRIBUTION OF \$1,649.90 FROM FRIENDS OF JOHN BRADLEY INC WAS SIMPLY AN OVERSIGHT. THIS WAS MY FIRST TIME RUNNING IN AN ELECTION. IT WAS A LEARNING EXPERIENCE. I THOUGHT JOE MOORE REPORTED THIS CONTRIBUTION BUT REALIZED HE DIDN'T WHEN I WAS REPORTING MY QUARTERLY REPORT. AT THAT TIME, I REPORTED THIS CONTRIBUTION ANYWAY, EVEN THOUGH IT WAS PAST THE DUE DATE. MY COMMITTEE'S CHECKING ACCOUNT HAS BEEN CLOSED. I WILL NEED TO SET UP A PAYMENT PLAN WITH THE STATE BOARD OF ELECTION FOR THIS ASSESSMENT OF \$463.00. AND I ASK THAT THIS COMMITTEE BE REMOVED FROM THE STATE BOARD OF ELECTION'S DATA BASE.

Karen Kase
Signature of Chairman/Treasurer

Signed and Sworn to by:

Christine A. Milby
before me this 9TH Day of MARCH, 2015

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Friends to Elect Karen Kase
Karen Kase
115 Maple Street
Zeigler, IL 62999

ID# 26111

7014 2120 0001 1716 1983

Dear Friends to Elect Karen Kase:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the third quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
District 1 Democrat Org.	9/24/2014	\$1000	10/10/2014	7	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquent filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the fourth quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Friends of John Bradley Inc.	11/17/2014	\$1649.90	1/15/2015	34	\$825

Your committee is subject to a fine of \$825.00 for delinquent filing Schedule A-1 reports.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$413.00 (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$463.00

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AD 060

Friends of Kankakee County Schools
Respondent

ID# 26150

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received three \$1,000 contributions and a \$3,000 contribution on 10/25/14 and a ~~\$7,466.48 contribution on 10/29/14 and reported all five on a Schedule A-1 received by the Board on~~ 11/6/14, 7 and 4 days late, respectively, resulting in a civil penalty assessment of \$6,733.

Anthony Sykes, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Sykes states it was a complete misunderstanding & lack of knowledge regarding the A-1 filing process since this was a new Committee with a group of volunteers.

I must recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional and since this is the first set of A-1 violations for this Committee, I further recommend the penalty be reduced to 10% of the original assessment, or \$673. If these recommendations are accepted by the Board, the \$673 civil penalty will be due and owing.

(As of 12/31/14, this Committee reported a funds available balance of \$1,847.93.)



Kim Patrick – Hearing Officer
April 2, 2015

State of Illinois)
County of: Kankakee)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

15 MAR 25 PM 1:20

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Kankakee County Schools)
Respondent(s).)

Case No. 15AD060

APPEAL AFFIDAVIT

I, Anthony Sykes, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Kankakee County School
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

It was a complete misunderstanding and lack of knowledge
Regarding the process. Upon realizing the mistake, I called
the state to explain the situation, and was informed it
would be left to an appeal process as this is a new
group and a group of volunteers



[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

Anthony Sykes
before me this 20th Day of March, 2015

Notary Public Vicki L. Scott
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Friends of Kankakee County Schools
Anthony Sykes
2002 Brookstone Drive
Bourbonnais, IL 60914

ID# 26150

Dear Friends of Kankakee County Schools:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Scott Likins	10/25/2014	\$1000	11/6/2014	7	\$500
Timothy McGrath	10/25/2014	\$1000	11/6/2014	7	\$500
Martin Whalen Office Solutions	10/25/2014	\$1000	11/6/2014	7	\$500
Jh2B Architects Inc	10/25/2014	\$3000	11/6/2014	7	\$1500
Stifel, Nicolaus and Company Inc	10/29/2014	\$7466.48	11/6/2014	4	\$3733

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$6733.00 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$673.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

15 DQ 141

Citizens to Elect Steve Bareis Sheriff

Cmte ID: 26175

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment


For Delinquently Filing the December 2014 Quarterly Report

The Report was received January 27, 2015, 7 days late, resulting in an assessment of \$175. This is the Committee's first fine.

Steve Bareis, the Candidate supported by the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter. He identified himself as the Chairman of the Committee in the affidavit, which does not match what was reported on the Committee's D-1 Statement of Organization. Mr. Bareis has been advised to file an amended D-1 to properly identify current Committee officers, if necessary.

On the Affidavit, Mr. Bareis stated he and his colleagues had difficulty learning the computer software and reconciling internal records with those they were attempting to report. In a follow up phone conversation, Bareis said the filing of the Report was delayed, in part, by the Committee Treasurer's vacation over the holiday season and his efforts to resolve issues standing in the way of filing the Report himself. He said he finally was able to file the Report (and an amended version of the Report later on the same date) after contacting Board staff.

I recommend the appeal be denied for lack of an adequate defense. Section 100.150 (5) of the Rules accompanying the Illinois Campaign Finance Act reads, in part, "If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." While the Report was filed electronically, it did not have to be, as the Committee did not raise, spend, or possess \$10,000 or more at any point prior to the end of 2014. Additionally, based on Mr. Bareis' own statements, the late filing was not specifically the result of computer-related issues. If the Board accepts this recommendation, a stay would be placed on the \$175 fine as the product of a first offense. As of December 31, 2014, the Committee reported a cash balance of \$494.97.



John Levin – Hearing Officer
March 26, 2015

State of Illinois)
County of: Perry)

STATE BOARD OF ELECTIONS
15 MAR 10 AM 8:38

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
vs.)
Citizens to Elect Steve Bareis Sheriff)
Respondent(s).)

Case No. 15DQ141

APPEAL AFFIDAVIT

Steve Bareis, the Chairman of the
(Name) (Chairman/Treasurer)

Citizens to Elect Steve Bareis for Sheriff

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This was our first time filing as a new committee. We had difficulty learning the computer software and getting the totals to reconcile with our check book. The delay was not intentional. To our defense we would like to ask for your forgiveness and understanding as we were dealing with a learning curve. The time for the need to file as a committee came just days before the election. We will make every effort to not have this happen in the future.

Steve Bareis
Signature of Chairman/Treasurer

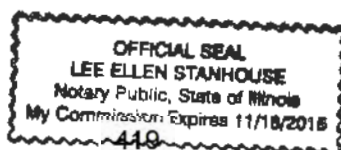
Subscribed and Sworn to by:

Lee Ellen Stanhouse

Subscribed for me this 5th Day of March, 2015

Notary Public

My Commission Expires



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Citizens for Steve Bareis for Sheriff
PO Box 493
DuQuoin, IL 62832

ID# 26175

7014 2120 0001 1723 4540

Dear Citizens for Steve Bareis for Sheriff:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contributions and Expenditures
Report Period: October 1, 2014 through December 31, 2014
Filing Period: January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 1/27/2015, 7 days late. As such, this committee has been assessed a fine of \$175.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

15 DQ 146

Friends for Nina

ID# 26215

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the December 2014 Quarterly Report

The Quarterly Report was received by the Board on 1/16/15, 1 day late, resulting in a civil penalty assessment of \$25.

Laura Calderon, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Calderon states the Treasurer attempted to file the Quarterly report electronically the day before the deadline but did not have a pin and could not get one. By the time the Treasurer got the pin from the Board it was one day after the deadline.

I must recommend the appeal be denied for lack of an adequate defense. The Committee is not required to file electronically and could have mailed the report to the Board 72 hours prior to the deadline. Additionally, the Campaign Disclosure staff is available for usernames and passwords during regular business hours 8-4:30, Monday through Friday so I am unsure why the Committee was unable to obtain one. As a first violation the penalty is stayed.

(As of 12/31/15, this Committee reported a funds available balance of \$0.)



Kim Patrick – Hearing Officer

March 31, 2015

State of Illinois)
)
County of : _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

15 MAR 13 AM 9:14

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Friends For Nina)
Respondent(s).)

Case No. 15DQ146

APPEAL AFFIDAVIT

I, Laura Calderon, the Chairman of the
(Name) (Chairman/Treasurer)
Friends for Nina
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Our treasurer attempted to file our quarterly report electronically
the day before the deadline, but did not have a pin
and could not get one. By the time he got the pin
from an employee at the State Board of Elections,
it was one day after the deadline

Laura Calderon
Signature of Chairman/Treasurer

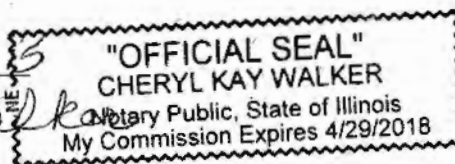
Signed and Sworn to by:

Laura Calderon

before me this 13th Day of March, 2013

Notary Public
(seal)

Cheryl Kay Walker



STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 DQ 152

Horn for Decatur ID# 27243
Respondent

REPORT OF HEARING OFFICER

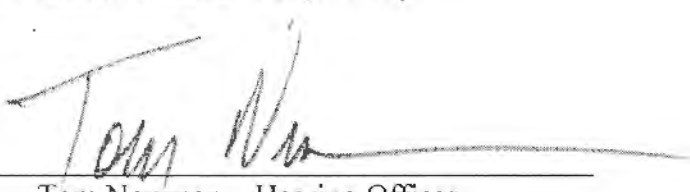
Appeal of Civil Penalty Assessment for Delinquent Filing of the December 2014 Quarterly Report

~~The Report was received by the Board on 1/20/15, 2 days late, resulting in a civil penalty assessment of \$100.~~

Charles Smith, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Smith states that he was not aware that a Report should be filed 72 hours before the due date. He says the Board's website is not clear on this requirement, but that he will be sure to comply with future filings.

Campaign Disclosure law states that a paper report received after the filing deadline will only be considered timely if the report is postmarked at least 72 hours before the deadline. This Committee's report was postmarked 1/15/15, and was therefore delinquent. Additionally, the news item posted on the Board's website on 1/5/15 as a reminder about the filing period stated, "*If filing on paper, Reports must be postmarked by Monday, January 12th to be considered a timely filing if received after the deadline.*" A similar notice was included on the Quarterly Report reminder letter sent to the Committee. I therefore recommend the appeal be denied. As a first violation, the penalty is stayed. (As of 12/31/14, this Committee reported a funds available balance of \$7,031.75)


Tom Newman – Hearing Officer
March 31, 2015

State of Illinois)
)
County of: MACON)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

15 MAR -6 PM 1:35

IN THE MATTER OF;
ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No.

15DQ152
ID #27243

Horn For Decatur
Respondent(s).

APPEAL AFFIDAVIT

I, Charles R. Smith, the Treasurer of the
(Name) (Chairman/Treasurer)

DAVID "Horn for Decatur"

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I was not aware the form should be filed 72 hours before due. This
requirement is not clear on your web-site. Future forms will comply
with this requirement

Charles R. Smith
Signature of Chairman/Treasurer

Signed and Sworn to by:

Charles R. Smith

before me this 5th Day of March, 2015

Notary Public
(seal)

DANIELLE R. FIELDS
OFFICIAL SEAL
Notary Public - State of Illinois
My Commission Expires
April 12, 2018

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Horn for Decatur
205 S. Glencoe Ave
Decatur, IL 62522

ID# 27243

7014 2120 0001 1723 4656

Dear Horn for Decatur:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contributions and Expenditures
Report Period: October 1, 2014 through December 31, 2014
Filing Period: January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 1/20/2015, 2 days late. As such, this committee has been assessed a fine of \$100.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sharon Steward", is written over a horizontal line.

Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

15 DQ 163

Hanover Park United Party

Cmte ID: 27305

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the December 2014 Quarterly Report

The Report was received February 3, 2015, 12 days late, resulting in a fine of \$300. The Committee has not been previously fined.

Jon Kunkel, the Treasurer of the Committee and one of the Candidates supported by the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Kunkel stated the Committee chairman received the statutorily-required packet and advance notice of the due date for the Quarterly Report on January 13, 2015, two days before the deadline. He stated the Committee mailed the Report on January 16, 2015.

I recommend the appeal be denied for lack of an adequate defense. The Committee's D-1 Statement of Organization was received in the Board's Chicago office on January 6, 2015. A review of records associated with the Committee indicate notice of the pending Report was sent by Board staff to the Committee's mailing address – the same address as that listed for the Chairman – on January 8, 2015. The Quarterly Report was received in an envelope postmarked January 28, 2015, and received in the Chicago office on February 3, 2015. There is no possible interpretation of the timeline that argues the Committee filed the Report in a timely manner. If the Board accepts this recommendation, the fine would be stayed as the product of a first offense. As of December 31, 2014, the Committee reported a cash balance of \$0.00.



John Levin – Hearing Officer
March 26, 2015

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS
15 MAR 13 AM 8:56

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
vs.)
Hanover Park United Party)
Respondent(s).)

Case No. 13DQ163

APPEAL AFFIDAVIT

Jon Kunkel, the Treasurer of the
(Name) (Chairman/Treasurer)
Hanover Park United Party ID# 27305
(Name of the Committee)

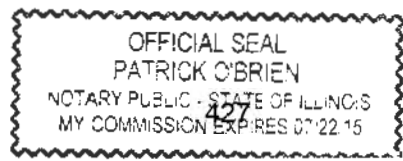
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Our chairman received the packet with the D-2 forms on January 13, 2015 & the December Quarterly Report of Campaign Contributions & Expenditures for the report period of 10/1/14 through 12/31/14 was sent by our Treasurer on January 16, 2015. It was mailed to the Chicago office: 100 W. Randolph St. Chicago, IL.

[Signature]
Signature of Chairman/Treasurer

signed and Sworn to by:
Jon R. Kunkel
before me this 9th Day of March, 2015

Notary Public
(Signature) Patrick O'Brien



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Hanover Park United Party
James Kemper
2120 Newport
Hanover Park, IL 60133

ID# 27305

7014 2120 0001 1723 4762

Dear Hanover Park United Party:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contributions and Expenditures
Report Period: October 1, 2014 through December 31, 2014
Filing Period: January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 2/3/2015, 12 days late. As such, this committee has been assessed a fine of \$300.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script, appearing to read "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 MA 005

Village Economy Party aka Norridge Improvement Party ID# 4274
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent and Failure to File
Schedule A-1 Report

The Committee received a \$1,200 contribution on 10/27/14 and a \$1,200 contribution on 11/18/14, and reported both of these on a Schedule A-1 received by the Board on 12/30/14, 37 and 22 days late respectively, resulting in a civil penalty assessment of \$1,200. Additionally, the Committee received the following contributions: \$1,100 on 9/3/14, \$1,200 on 9/5/14, \$1,000 on 9/17/14 and \$1,200 on 9/17/14, and failed to report any of these on a Schedule A-1, resulting in a civil penalty assessment of \$2,250. The total assessment is \$3,450.

Jacqueline Gregorio, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Gregorio states that the contributions in September occurred while the Committee was attempting to transfer from the old IDIS 2 system to the new IDIS 3 electronic filing system. She says the Committee encountered difficulties in converting to the new system, which resulted in the missed filings. In regards to the two later contributions, Ms. Gregorio says the Committee was unaware of the change in filing requirements to year-round A-1s for contributions of \$1,000 or more. She adds that they will make sure to file A-1s timely in the future.

In regards to the four September contributions, in order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. However, in regards to the 10/27/14 and 11/18/14 contributions, I recommend the appeal be denied for lack of an adequate defense. The year-round A-1 requirement went into effect in 2011, giving all Committees sufficient time to be aware of the requirement. In fact, this Committee has previously filed several A-1 under that requirement, so the professed ignorance of the requirement is not credible. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$120. If these recommendations are accepted by the Board, the \$120 penalty will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$50,614.62)



Tom Newman – Hearing Officer
April 1, 2015

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS
15 MAR 23 PM 2:35

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Village Economy Party aka)
Norridge Improvement Party ID#4274)
Respondent(s).)

Case No. 15MA005

APPEAL AFFIDAVIT

I, Jacqueline Gregorio, the Treasurer of the
(Name) (Chairman/Treasurer)
Village Economy Party aka Norridge Improvement Party
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see typed attachment for reasons and defenses

Jacqueline Gregorio
Signature of Chairman/Treasurer

Signed and Sworn to by:

Katherine M. Gaseor

before me this 16 Day of MARCH, 2015

Notary Public
(seal)

OFFICIAL SEAL
KATHERINE M GASEOR
Notary Public - State of Illinois
My Commission Expires Jan 10, 2017

First, the Village Economy Party aka Norridge Improvement Party (Committee) has always been current in filings of Schedule A and A-1 reports. The committee incurred difficulty in trying to convert from IDIS version 2 to IDIS version 3 online, which was the major reason for failing to file the Schedule A-1s in the third quarter of 2014. The old software IDIS version 2 did not populate the Schedule A-1s for the third quarter, and we did not upgrade to the IDIS version 3 until filing the reports in the 4th Quarter. Therefore, we request waiving the entire fine assessed pertaining to the 3rd Quarter delinquencies. For the 4th quarter fines, the committee was unaware of the change in filing requirements to 5 business day rule for filing Schedule A-1s for Campaign Contributions of \$1,000 received. The committee is now aware of this change, and will make sure to file Schedule A-1s timely during the 5 day window. Therefore, we request waiving the entire fine assessed pertaining to the 4th Quarter delinquencies.

Please contact James Chmura at 708-906-4116 if you have any questions.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Village Economy Party aka Norridge Improvement PartyID# 4274
Judith Dunne Bernardi
4126 N. Oleander Ave
Norridge, IL 60706

7014 2120 0001 1716 1891

Dear Village Economy Party aka Norridge Improvement Party:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the third quarter of 2014:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Forest Harlem Properties LTD	9/5/2014	\$1200	*	21	\$600
Illinois Gambino Landscaping, Inc.	9/17/2014	\$1000	*	13	\$500
Parkway Bank	9/3/2014	\$1100	*	23	\$550
Sam Jantelezio Insurance Consultants	9/17/2014	\$1200	*	13	\$600

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2250.00 for delinquently filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$225.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the fourth quarter of 2014:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Edwin Hancock Engineering Co.	10/27/2014	\$1200	12/30/2014	37	\$600
H & H Electronic Company	11/18/2014	\$1200	12/30/2014	22	\$600

Your committee is subject to a fine of \$1200.00 for delinquent filing Schedule A-1 reports.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$600.00 (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$825.00

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 MA 007

Aurora Township Democratic Central Committee ID# 5301
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2014 and December 2014 Quarterly Reports

The September Quarterly Report was received by the Board on 10/19/14, 2 days late, resulting in a civil penalty assessment of \$150. The December Quarterly Report was received by the Board on 1/23/15, 5 days late, resulting in a civil penalty assessment of \$375. Additionally, the Committee was previously assessed a \$75 civil penalty (not appealed, unpaid) for delinquent filing of the June 2014 Quarterly Report, a \$225 civil penalty (not appealed, paid) for delinquent filing of the December 2013 Quarterly Report, a \$75 civil penalty (not appealed, paid) for delinquent filing of the June 2013 Quarterly Report, a \$50 civil penalty (not appealed, paid) for delinquent filing of the September 2012 Quarterly Report, and a \$25 civil penalty (not appealed, paid) for delinquent filing of the September 2011 Quarterly Report. The total assessment is \$600.

Henry Johnson, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Johnson states that the September Quarterly Report was filed late as a result of problems he had with electronic filing. He says he began attempting to migrate his Committee's data from the old IDIS 2 filing software into the new IDIS 3 system on October 13th, but encountered numerous problems that required several days and assistance from Board staff to solve. In regards to the December 2014 Quarterly Report, Mr. Johnson says he had to go out of town on January 13 to assist his daughter with an illness. He indicates that he brought his computer with him intending to file the Report, but he again had problems that required assistance to be able to file, in addition to contracting the same illness his daughter had, which also delayed his filing attempt.

In regards to the September Quarterly Report, it is clear Mr. Johnson had problems that prevented him from being able to electronically file the Report. In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this is the first time the Committee has used such a defense, I recommend the appeal be granted. However, in regards to the December 2014 Report, it seems to me that whatever problems Mr. Johnson encountered could have been solved before the filing deadline, had he started the process earlier. This would seem to be especially advisable, given the problems encountered in filing the previous Report. Additionally, it should be noted that both Reports had very little activity on them, and should have been relatively easy to complete and file. I recommend the appeal in regards to the December Report be denied. If these recommendations are accepted by the Board the total civil penalty of \$450 will be due and owing. (As of 12/31/14, this Committee reported a funds available balance of \$1,560.21)



Tom Newman – Hearing Officer

State of Illinois)
County of: _____)

CHICAGO

2015 MAR 30 PM 2:41

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

s.

Case No. 15MA007

Aurora Township Democratic

Respondent(s). Central

Committee

APPEAL AFFIDAVIT

Henry G. Johnson, the

(Name)

Treasurer

(Chairman/Treasurer)

of the

Aurora Township Democratic Central Committee

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

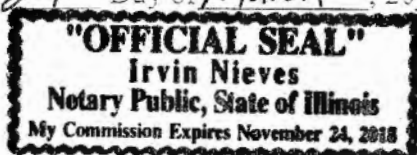
Please refer to the letter included with this affidavit
submission to address the issue which prevented
me from filing our committee's disclosure report on time
for the report periods of 7/1/2014 through 9/30/14 and
10/1/14 through 12/30/14.

Signature of Chairman/Treasurer

Subscribed and Sworn to by:

Witness me this 27 Day of March, 2015

Notary Public



2160 W. Illinois Ave

Aurora, IL 60506

March 25, 2015

Appellate Committee

Illinois State Board of Elections

James R Thompson Center

100 West Randolph, suite 14-100

Chicago, IL 60601

Dear Committee,

My name is Henry G. Johnson and I am the Treasurer of the Aurora Township Democratic Central Committee. I am writing this letter in response to the Appeal Affidavit being submitted, on behalf of our committee, for the late filing of disclosure reports for the periods of 7/1/2014 through 9/30/2014(2014 Third Quarter) and 10/1/2014 through 12/30/2014(2014 Fourth Quarter) respectively. I will address the explanations for the late filings in concurrent order. During the filing period of the third quarter, I began the process of updating the IDIS Version 3.0 into my computer for the purpose of filing all future disclosure reports. As it was explained to me by the I.T. department of the Illinois Board of Elections, the data transfer of previous files and standard disclosure report processing should be straight forward with little to no problem. I found that it was a greater problem that I thought.

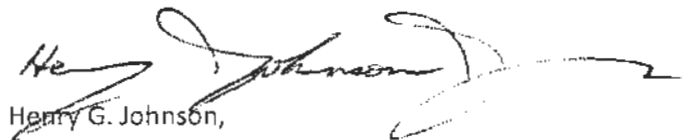
Beginning on October 13th, I updated the IDIS system on my computer in preparation for the disclosure. I found problems in my three attempts to migrate the 3.0 system. On October 14th, I contacted the IT department (via their voice mail) and requested help to fix this issue. I did not receive contact until October 15th. At that date, I was taken through the steps for migrating the new system (I did this at my day employment during my lunch break). I was confident that this issue was solved. That night when I got home (after Board of Elections business hours) I made my first attempt to process the disclosure. I discovered that much of the contact information had not transferred to the new system and I had to input the information manually. Next when I tried to transmit the report, the screen for the IDIS software became opaque and "froze". I shut down my computer and tried again three times but the same results occurred. Having no other option I left a message for the I.T. department again. I received an email the next day with a website link to assist me. However, this link did not correct the issue and I received further error messages that would not recognize my committee ID (please see the attached

emails between me and IT staff member, John Levin). This technology issue continued to prevent me from being able to file our disclosure. IT staff member John Levin called me on Sunday, October 19th when he discovered that I had called his cell number on October 18th. He stayed with me on the phone as we tried to fix the issue by accessing a "patch" that he thought would solve the problem. Eventually, it did and I filed the report on October 19th. (A copy of the email correspondence between Mr. Levin and me is included.)

The Fourth quarter filing error occurred, unfortunately, around an incident that occurred with an illness my daughter contracted around the filing period. This event required me to leave town to go to her on January 13th. While this excuse can only be categorized as human error, I would beg the committee's pardon for this event, due to the fact that when this event occurred, I made arrangements to take my needed computer equipment to complete the needed work. However, I found out too late that again my committee's ID was not being recognized by the IDIS software. In addition to this I contracted the very stomach virus that my daughter was experiencing. This caused me physical issues that prevented me from filing the report initially. When I was well enough to file the disclosure report, I had to work with Kim Watkins, of the IT Dept. on January 19th, to try to fix this issue, I was in regular contact with I.T. department of the Illinois Board of Elections member Kim Watkins. I informed Ms. Watkins that I had this problem before and she gave me options/steps to solve the issue.

Since this last event, I understand more than ever the need to file the disclosure reports much earlier than I have in the past. I apologize for the issues that have caused this incident and I greatly request for leniency in the committee's review of this infraction. Thank you in advance for your time and consideration in this matter.

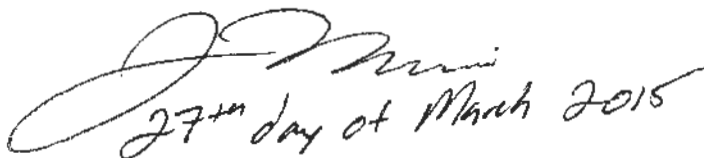
Sincerely,



Henry G. Johnson,

Treasurer

Aurora Township Democratic Central Committee



27th day of March 2015



RE: IDIS migration

From : John Levin <JLevin@elections.il.gov>

Fri, Oct 17, 2014 12:41 PM

Subject : RE: IDIS migration

To : 'kahan777@comcast.net' <kahan777@comcast.net>

<https://idis3.elections.il.gov/login.aspx?ReturnUrl=%2f>

From : John Levin <JLevin@elections.il.gov>

Fri, Oct 17, 2014 07:56 AM

Subject : RE: IDIS migration

To : 'kahan777@comcast.net' <kahan777@comcast.net>

Please call 217-782-4141 during business hours and ask for the Campaign Disclosure division.

Respectfully,

John Levin
State Board of Elections

From: kahan777@comcast.net [mailto:kahan777@comcast.net]

Sent: Thursday, October 16, 2014 11:43 PM

To: Levin, John

Subject: Re: IDIS migration

Mr. Levin,

I'm still having trouble filing my groups tax report. Please help!!!! The error I got this time said this...

Committee ID - 18844 in the import XML file doesn't match the committee ID - 5301 for this account.

This was after the continued attempt to update IDIS . Any help would be greatly appreciated.

Henry Johnson
Treasurer
Aurora Township Democratic Party

From: "John Levin" <JLevin@elections.il.gov>

To: "kahan777@comcast.net" <kahan777@comcast.net>

Sent: Thursday, October 16, 2014 4:20:24 PM

Subject: IDIS migration

<https://idis3.elections.il.gov/login.aspx?ReturnUrl=%2f>

John Levin, SBE
217-782-1561

From : John Levin <JLevin@elections.il.gov>

Thu, Oct 16, 2014 04:20 PM

Subject : IDIS migration

 1 attachment

To : 'kahan777@comcast.net' <kahan777@comcast.net>

<https://idis3.elections.il.gov/login.aspx?ReturnUrl=%2f>

John Levin, SBE
217-782-1561

 **IDISDataMigration.pdf**
144 KB



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

Aurora Township Democratic Central Comm ID# 5301

Henry Johnson

2160 W Illinois Ave

Aurora, IL 60506-1734

7014 2120 0001 1716 0085

Dear Aurora Township Democratic Central Comm:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2014 through September 30, 2014
Filing Period:	October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 10/19/2014, 2 day(s) late. As such, this committee has been assessed a fine of \$150.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2014 through December 30, 2014
Filing Period:	January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 01/23/2015, 5 day(s) late. As such, this committee has been assessed a fine of \$ 375.00.

The total for all new assessments is \$525.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
June 2014	Quarterly	\$75
<i>TOTAL AMOUNT NOW DUE</i>		<i>\$600</i>

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 136
)
votedebby.com,)
 Respondent(s).)

FINAL ORDER

TO: votedebby.com ID# 19006
 840 Rosedale Ln
 Hoffman Estates, IL 60169

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the March & June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

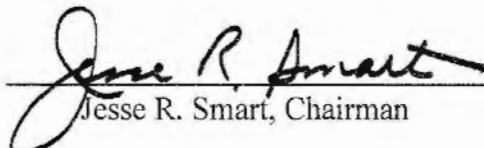
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
Complainant(s),)
Vs.) 14 CD 137
)
Citizens to Elect Alvin Parks Jr,)
Respondent(s).)

FINAL ORDER

TO: Citizens to Elect Alvin Parks Jr ID# 19743
8922 Woestboul St
E St Louis, IL 62203

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The report at issue remains unfiled.

IT IS ORDERED:

1. That the Respondent file the required report within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 145
)
Re-Elect Eric Leys,)
 Respondent(s).)

FINAL ORDER

TO: Re-Elect Eric Leys ID# 22022
 310 Busse Hwy, #323
 Park Ridge, IL 60068

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the March & June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

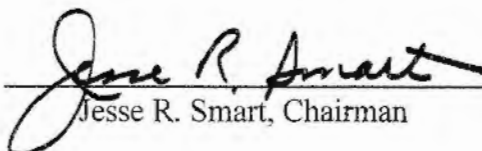
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 149
)
Jackson for Committeeman Ind.)
Democratic Political Org.,)
 Respondent(s).)

FINAL ORDER

TO: Jackson for Committeeman Ind. Democratic Political Org. ID# 22751
PO Box 490286
Chicago, IL 60649

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the March & June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

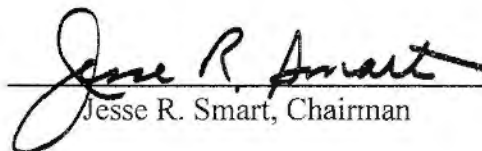
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 153
)
Friends for Jeremy J Ly,)
 Respondent(s).)

FINAL ORDER

TO: Friends for Jeremy J Ly ID# 23466
3048 S Loomis
Chicago, IL 60608

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the March & June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

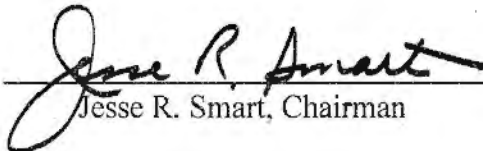
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00. and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 155
)
Tammy Baer for Douglas County)
State's Attorney,)
 Respondent(s).)

FINAL ORDER

TO: Tammy Baer for Douglas County State's Attorney ID# 24201
PO Box 236
Tuscola, IL 61953

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the March & June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

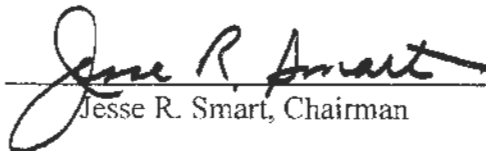
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
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IT IS ORDERED:

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4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 161
)
Citizens for Rudd,)
 Respondent(s).)

FINAL ORDER

TO: Citizens for Rudd ID# 24465
1768 N. Jarvis Ct
Palatine, IL 60074

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the March & June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

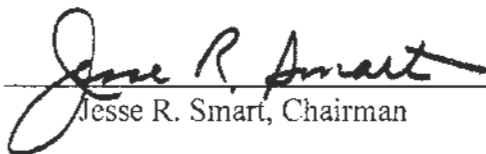
THE BOARD FINDS:

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3. The reports at issue remain unfiled.

IT IS ORDERED:

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2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 162
)
Friends of David Moore,)
 Respondent(s).)

FINAL ORDER

TO: Friends of David Moore ID# 24472
1501 Renoir Ave
Swansea, IL 62226

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

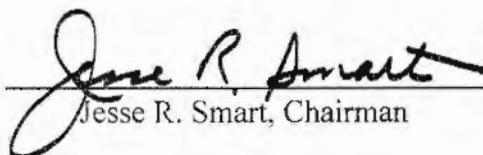
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IT IS ORDERED:

1. That the Respondent file the required report within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 163
)
Citizens to Elect Anthony Coleman)
for Mayor of North Chicago,)
 Respondent(s).)

FINAL ORDER

TO: Citizens to Elect Anthony Coleman for Mayor of North Chicago ID# 24540
1439 Elizabeth Ave
North Chicago, IL 60064

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the March & June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

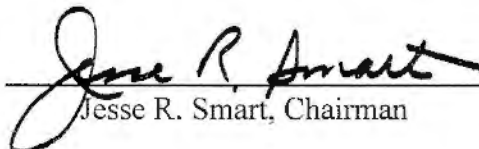
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 165
)
Braiman for Judge,)
 Respondent(s).)

FINAL ORDER

TO: Braiman for Judge ID# 24684
 26 West Canterbury
 Buffalo Grove, IL 60089

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the March & June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

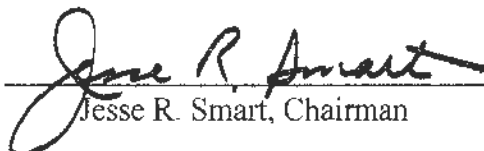
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
Complainant(s),)
Vs.) 14 CD 170
)
Friends of Christina Campos,)
Respondent(s).)

FINAL ORDER

TO: Friends of Christina Campos ID# 25089
708 Simms Street
Aurora, IL 60505

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the March & June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 173
)
Citizens to Elect 169 Write-ins,)
 Respondent(s).)

FINAL ORDER

TO: Citizens to Elect 169 Write-ins ID# 25235
 839 E. 10th Place
 Ford Heights, IL 60411

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the September & December 2013 and the March & June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

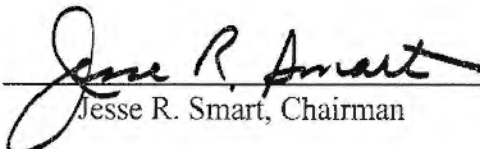
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
Complainant(s),)
Vs.) 14 CD 176
)
Friends for Mary C. Childers,)
Respondent(s).)

FINAL ORDER

TO: Friends for Mary C. Childers
835 Pearson Street, #207
Des Plains, IL 60016

ID# 25317

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the March & June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

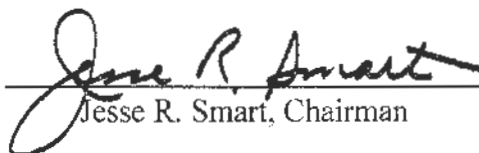
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 179
)
Supporters of Joe Cook,)
 Respondent(s).)

FINAL ORDER

TO: Supporters of Joe Cook ID# 25467
 25213 W. Jessup Street
 Channahon, IL 60410

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the December 2013 and the March & June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 183
)
Citizens to Elect Frazier Garner,)
 Respondent(s).)

FINAL ORDER

TO: Citizens to Elect Frazier Garner ID# 25549
PO Box 940
East St. Louis, IL 62203

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the December 2013 & the March & June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

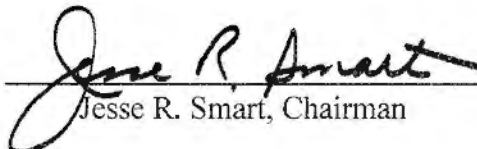
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015



Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 185
)
Fans of Harness Racing,)
 Respondent(s).)

FINAL ORDER

TO: Fans of Harness Racing ID# 25588
1701 Lake Ave, Ste 335
Glenview, IL 60025

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the March & June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

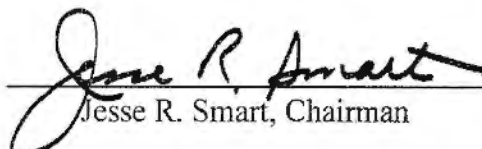
THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015


Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections,)
 Complainant(s),)
 Vs.) 14 CD 189
)
The Committee to Elect Robert R)
McKay,)
 Respondent(s).)

FINAL ORDER

TO: The Committee to Elect Robert R McKay ID# 25746
353 W. 95th Street
Chicago, IL 60628

This matter coming to be heard this 20th day of January, 2015 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the March & June 2014 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the required reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed \$5000.00, and
3. The effective date of this Order is January 22, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 1/22/2015


Jesse R. Smart, Chairman

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Steve Sandvoss, Executive Director, Ken Menzel, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: April 10, 2015

Listed below are committees that have been assessed a civil penalty for the delinquent filing of the September and December 2014 Quarterly reports, D-1 Statement of Organizations, Schedule A-1's and "multi-assessments". These violations were not appealed & should be issued a Final Board Order.

September 2014 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
226	Regular Republican Party of River Forest	375	7 q's
303	Jackson County Democratic Central Committee	200	Q's, A1's (pd)
324	Warren County Republican Central Cmte	450	None
326	Illinois NOW PAC	25	None
566	Norwood Park Twp Democratic Org	900	1 q
903	McLean County Farm Bureau Political Involvement Cmte	50	None
1024	Coles County Republican Central Comm	50	None
1152	Independent Finance PAC	150	None
1172	Illinois Food Distribution PAC	50	None
4253	ICA PAC	250	None
5713	Illinois Evening Republican Club	75	2 q's
6286	ACEPAC (Adult & Continuing Education PAC)	25	None
6866	IL County Assessment Officers Assn PAC	150	None
8796	Citizens for Karen Mills	50	None
9259	Friends of Paul Ruff **	25	None
9435	Citizens for McGuire	50	None
10045	Illinois Athletic Trainers' Assn	1150	None
10371	Citizens for Goleman	150	None
13630	Concerned Voters For Protter **	600	Semi, q's, A-1'
14501	Friends of John Pope for Alderman	50	A-1's (pd)
15101	Schaumburg Area Democrats	25	None
15349	Our Kids 1 st	200	None
16257	Citizens for Lawrence O'Reilly for Circuit Court Judge	150	None
16416	Friends of Martin A Sandoval	50	None
16985	Citizens to Elect Harold "Noonie" Ward	125	None
17675	Citizens for Gessner	150	None
17797	MC PAC	300	1 q
17817	Winfield Township Democratic Org	25	None

18183	Citizens for Spitz	75	None
18295	Decatur Township Democratic Central Committee **	1875	2 q
18524	Citizens for Gary Grasso	75	A1, q's (pd)
18888	Friends of Cindy Roth	75	None
19021	Citizens to Elect Jeffrey A Schaefer	75	None
19109	Advocates for Abbey Fishman Romanek for Judge **	575	None
19352	Citizens to Elect Andre Thapedi	100	A1, q
19612	Friends of Bob Earl	450	None
19700	Friends for Dobrzycki	125	None
20107	Friends to Elect Toni L Foulkes	25	None
20322	Citizens for Larry Hanson **	75	2 q
20425	Friends of Trevor Clatfelter	25	None
20655	Citizens for Doug Sullivan	500	None
20678	Citizens for Robert Larsen	450	None
20747	Charles Eastwood Campaign Committee	175	None
21027	Friends of Linda Fulgenzi	100	1 q
21124	Right PAC	450	None
21482	Friends for Cesar A Santoy	400	2 q's (pd)
21634	Vernon Township Democrats	100	1 q
21659	South Moline Township Republican Committee	25	None
21947	Committee to Elect Michael Skala	100	1 q
22153	Murphy for Illinois **	225	None
22580	Friends of Bob McQuillan	400	None
22673	Vanguard Health Management IL PAC **	50	None
22748	Rice for Recorder **	75	3 q's
22783	For the Good of Illinois PAC	200	None
22910	15th Ward Democratic Club of Chicago	25	None
23239	Tony Blumberg for Highland Park City Council	675	2 q's (pd)
23280	Citizens for Tim Durkee	75	1 q
23364	Committee to Elect Jose Guereca **	325	None
23442	Citizens for Shipman	25	None
23721	Central & Western Lake County Democrats **	200	None
23920	Committee to Elect Kevin Cunningham Circuit Court Judge	75	A1's, q's (pd)
24023	Friends of Dennis Shackelford	225	None
24048	Citizens to Elect Mark V Ferrante	25	None
24080	Citizens for Caleb Briscoe	25	None
24394	Citizens for Edgar Montalvo	1250	None
24406	Friends of Rupam C Dave	200	None
24537	Citizens for Michael Stopka	350	1 q
24610	Good Government **	25	None
24628	Citizens for Steve Sipes	50	None
24680	Friends for Strzelczyk	150	2 q's
24724	The Forty PerCenters	1275	2 q's
24889	Citizens for Tendam	350	1 q
24982	Friends of Junaid M Afeef	100	1 q
25040	START PAC	75	2 q's

25073	The Committee to Elect Keith Giagnorio	25	None
25102	Jennings4Nunda	275	None
25203	Committee to Elect Leda Drain	425	None
25382	Citizens to Elect Joe Drought **	175	None
25393	Friends for Campbell **	1200	A1's, q
25500	Illinois Fair Trade Action Committee **	925	None
25546	Citizens to Elect Robbin Perkins for Judge	450	1 q
25551	McKinley for Illinois	100	1 q
25571	Friends to Elect Darrell Cox **	200	None
25576	Friends of Jim Murphy for Judge	175	None
25584	Friends of Gina Lathan	225	None
25591	Citizens for Laura M Murphy	25	None
25594	Friends to Elect Dawn Underhill **	750	A-1's
25649	Anderson for Illinois	25	None
25677	Committee to Elect Maurice J Robinson	250	1 q
25720	Citizens to Elect Zane Seipler **	150	1 q
25727	Building a Better Berwyn **	75	None
25732	Friends to Elect William "Bill" Alstat **	25	None
25750	Friends of Nyshana K Sumner	225	None
25778	Citizens to Elect Russ Nelson **	50	1 q
25817	PAC 50	75	None
25867	Here We Stand	50	None
25973	Citizens for Collin Johnson	150	None
25980	Roberto "BETO" Montano for Us	275	None
26041	Citizens for Remodeled Recreation Center	100	None
26044	Glenwood Peoples Party	600	None
26045	Save Barrington Hills	150	None
26049	Your Neighbors for Better Roads in VP **	200	None
26067	Committee to Elect Stacey Pfingsten	100	None
26093	Citizens of Sallas **	550	None
26094	Friends to Elect Tiffany Brooks	475	None
26097	Avon Township Democrats	350	None
26105	Friends of Pete DeMay	100	None
26109	Friends of Glenn Ryback	100	None
26110	Concerned Citz for Dist 12 in Support of Ed Fund Tax Ref	250	None
26116	Progress Through Leadership Party	125	None
26117	Illinois Gaming Machine Operators Assn	600	None
26127	Citizens for Pete Sifnotis	25	None
26129	New Village Vision Party	125	None
26133	Citizens for Mark Cuthbert	350	None
December 2014 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
151	Morgan County Republican Central Comm	50	None
334	Saline County Democrat Central Committee	50	None
346	Franklin County Republican Central Cmte	50	None

394	Lisle Twp Republican Org	50	None
4384	Bridgeview Active Party	50	None
4434	York Township Republican Committeemen's Organization	50	None
4552	Citizens to Elect Patrick O'Shea	675	2 q's (pd)
4658	Larson for Mayor	250	None
5559	Warren County Republican Women	300	1 q
5565	White County Democratic Ladies Org	150	None
5778	IPACE of Alton Education Assn	50	None
6691	Illinois Citizens for Life PAC	200	None
7060	Heritage Community Party	100	A-l's
7919	Friends for Robert B Donaldson **	150	None
8377	Citizens Organized for Public Safety	200	Q's, A-l's (pd)
8766	4th Ward Democratic Org	50	None
11825	Citizens for Glen R Weber **	175	A-l
12188	Operators Action Fund	50	None
12482	Citizens for Konicki	600	4 q's
12604	Illinois Pharmacists PAC	50	None
14021	Citizens to Elect LaShawn K Ford	50	None
14915	Friends of Lisa Bierman	25	None
14969	Committee to Elect Joyce Marie Murphy Gorman	50	None
15167	Neighbors to Elect Matt Beaudet Judge	25	None
15394	Citizens for Steven R Burris	75	2 q's (pd)
16393	Friends for Yolanda Campuzano **	175	None
17537	Committee to Re-Elect Ed Young	100	None
18177	ICHP Pharmacy Action Fund	100	None
18378	Maywood United Party	25	None
18496	Citizens for Judy Fiske	25	None
18844	Aurora Township Democratic Team	150	None
19201	Friends for Howland	100	A-l, q (pd)
19935	Friends for Tommy Hayes **	150	None
20194	Citizens for Cathy & Ellen **	25	None
20195	Friends of Dan Irving	75	4 q's (pd)
20221	Friends of Kevin Perkins Committee	50	None
20632	Custodial Maintenance Assn of THSD 214	175	None
20737	Friends of Brendan Appel	525	4 q's
20930	Harper College Adjunct Faculty Assoc	600	None
21267	Hanover Park Progress	750	2 q's (pd)
21440	Friends of Tony Michelassi	75	1 q
21447	Committee to Elect Erik Rankin	375	2 q's
21494	Kendall County Democratic Women (A)	150	1 q
22358	Committee to Elect Steven G Watkins	75	1 q
22484	Citizens for Dave Demler	150	None
22487	Jessica O'Brien for Judge Committee **	75	A-l, q (pd)
22660	Citizens for Bass	25	None
22764	Friends of Sherman C Jones	100	Semi, q, A-l's (pd)

22905	Citizens for Evelyn	25	None
23003	Friends of Evonne Fleming	150	2 q's (pd)
23007	Citizens for Christopher Faber	75	3 q's
23014	Friends of Lloyd Bosecker for Sheriff	200	None
23059	Richards for Champaign **	25	None
23127	Citizens to Elect David Moore	50	None
23170	Citizens for Bill Robertson	225	None
23317	Citizens for Matthew Walsh *8	900	3 q's (pd)
23520	Teamsters Local Union No.731 PAC	250	None
23797	Citizens for Tim Elenz	25	None
23912	Citizens for Laura Pollastrini	25	None
23925	Friends of Ed Erwin	25	None
23946	Friends of Alonso Zaragoza	50	1 q
24051	Friends of Jane Foster Committee	100	None
24052	Committee to Elect Kent Renshaw for IL 2nd Circuit Judge	150	None
24124	Citizens for Suzanne Fahnstock	50	None
24164	The Committee for Municipal Electricity Choice	150	A-1's, q's (pd)
24205	Laurie Nowak for County Board	50	None
24228	Committee to Elect Joseph D Steichen	50	None
24409	City Bloc	75	None
24579	NorWest Townships Republican Central Committee	25	None
24599	Citizens to Elect Pat Liston	550	A-1
24601	Lizveth for Cicero Town President	225	1 q
24708	For the People **	175	None
24808	Committee to Elect McFarland	125	None
24890	Supporters to Elect Anthony J Davis	700	1 q
25139	Citizens for Eli Nicolosi	150	Q's, A-1's (pd)
25172	Citizens for Eastvold	25	None
25198	Friends of Bill Catching	100	1 q
25221	Friends of Brad Paulsen	25	None
25368	Friends of Mike Carberry	150	DI, A-1, q
25397	Citizens to Elect Dorothy D Armstrong	200	1 q
25431	Committee to Elect Michael W Feetterer	300	None
25522	Citizens for Seth Lewis	25	None
25563	Citizens to Elect Earl L Deckard	50	None
25572	Illinois Families First	250	None
25599	Friends of Susan Kennedy Sullivan **	200	None
25624	Committee to Elect Joe Laiacona	25	None
25650	Citizens for Reggie Phillips	150	None
25666	Citizens for Niewiadowski	25	None
25721	Randal L Olson for Sheriff **	125	None
25792	Friends of Brad Maxwell	25	None
25803	Sheet Metal Workers Local 219 PAC Fund	350	None
25814	Citizens for Fatimah Macklin	25	None
25818	Citizens for Woodward	25	None
25824	Friends of Jeff Neigel **	25	None

25825	Citizens for Public Safety in Favor of Sales Tax Referendum **	175	None
25845	Citizens for Katherine Ruocco	50	None
25869	The Friends of Andre Smith	25	None
25884	Friends of Zehra Quadri	50	None
25952	Friends of Ted Williams III	25	None
25955	Cmte to Elect Stephanie Naeger Randolph County Clerk **	275	None
25997	Dill for Liberty	150	None
26000	Friends of Raul Reyes	50	None
26009	Friends for Pam Warford	25	None
26043	Committee to Elect Michael Hoelscher Sheriff **	50	None
26071	Friends of Marcus Winn **	175	None
26076	Friends to Elect Annette Parker	175	None
26086	Friends of Robert Martinez	50	None
26123	Friends of Chad Schmidgall	25	None
26156	Samuel R Kelch	125	None
26166	Citizens to Elect Sheila Raddatz **	150	None
26167	Friends of White County Schools **	550	None
26170	Friends of Champ Schools - Vote Yes to High School Improv	100	None
26179	Nonprofit VOTE IL	150	None
26185	Friends of Victor C Horne	175	None
26187	Chicago 27th Ward Republican Committee	50	None
26203	Concerned Citizens for West Frankfort - Keep Home Rule **	550	None
26232	Friends of Chevette A Valentine for 7th Ward Alderman	200	None
27238	Friends for Tara Baldrige	50	None
27240	Responsible Government PAC **	275	None
27241	Citizens for Jedidiah Brown	25	None
27242	Team 81	300	None
27256	Friends of Steven McClellan	25	None
27263	Millennial Pac- Devon Reid	25	None
27264	People's Choice of Illinois	400	None
27267	Committee to Elect Ed Hershey	150	None
27290	Citizens to Elect Jeff Bloemker	125	None
27293	Riverdale 2015 Village Trustees	75	None
27296	Hazel Crest First Party	225	None
27306	Citizens for Dukes	225	None
27332	Together for Triad	225	None

Schedule A-1 (13 AM)

Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
25067	Citz for Better Education	2000	200

Schedule A-1 (14 AS)

Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
129	Citizens for Donne Trotter	2500	250
393	Illinois Democratic County Chairmen's Assn	12250	1225
4417	Downers Grove Township Republican Organization	2500	250
5242	Citizens for Roger Scott	500	50

13872	Citizens for Lightford	14112	1411
14052	17 th Dist State Cent Cmte Don Johnston Campaign	500	50
14081	Pro-Life Victory Committee	1000	100
16208	Illinois Fire Chief's Assn PAC	2500	250
16711	Friends for John Cavaletto	700	70
18404	Citizens for May	3303	330
19406	Citizens to Elect Margie Mullins	1500	150
19476	Friends for Rick Broch **	500	50
20429	Citizens for Rod Bashir	1500	150
21065	Citizens for Malone	500	50
21214	McDonough County Democratic Coalition	750	75
21572	Citizens for Kyle A Moore	500	50
22253	Carpenters Local 1539 Political Committee	500	50
22429	Citizens for Ray Pendzinski	1000	100
22702	Committee to Elect Chuks Onyezia	500	50
23540	Friends of Cabonargi	750	75
23654	Democratic Women of the South Suburbs	575	58
23729	Committee to Elect Will Guzzardi	500	250
24019	Citizens for Richard Jorgensen	500	50
24533	Sandra Bury for Mayor of Oak Lawn	2300	1150
24838	Riley's Friends	500	500
25413	Citizens for Judge John B Simon **	500	50
25455	Citizens for Schimpf	678	68
25496	Citizens to Elect Steven Reick	2374	237
25499	Citizens for Dave Mahon for Sheriff **	650	65
25518	Friends for Michelle Baert	1250	125
25607	Citizens for Mike Webster	2500	1250
25690	Citizens for Bill Kilquist **	2500	1250
25743	Kevin Wiley for County Board	500	50
25749	Serbin for Illinois	2650	265
25819	Friends of Amara Enyia	1800	900
25871	College Democrats of Illinois	2965	1483
25909	Friends of Omar Aquino	500	250
25914	IL Transportation Trade Assoc Political Action Cmte	6365	637
25934	Joliet for 8 Districts	13505	1351
25935	YES for Tognarelli Committee	1000	500
25961	Friends for Brian Garner	2000	200
25971	Friends of Jerry Quandt	958	96
26013	Citizens for Heather Sattler	2500	250
26039	Committee to Elect Ian Brenson	2650	265
26098	Social Impact PAC	500	50

Schedule A-1 (15 AD)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
62	Macoupin County Democrat Party	2500	250
337	Saline County Republican Central Comm	500	50
341	Christian County Republican Central Cmte	500	50
1009	Vermilion County Democratic Party	1800	180
4945	Citizens for Judge Holdridge	500	50
8980	Madison County Eastern Democratic Org	500	50
11436	Friends of Ken Dunkin	6250	6250
12697	Citizens for Thaddeus Jones for 3rd Ward Alderman	1250	125
18091	Collinsville Township Democrat Precinct Committeemen	698	70
19113	Citizens for a Better Southland	2250	225
23561	Friends of Sam Yingling	2122	212
23695	Chicago Journeymen Plumbers' LU 130	650	65
23713	Jones for State Representative	1375	1375
24284	Custom Coating Innovations Inc	782	78
25658	Citizens for Heidi Holan	6395	640
25754	Friends of Alyx Pattison	1125	113
25763	Friends of Martha Shugart	500	50
25776	Friends of Dan Rippy	750	75
25886	Friends of Barrie Scott **	1070	535
25890	Friends of Martin Arteaga	500	50
25951	Citizens for Taylor	500	50
26017	District 86 Education First - Joanna Williams	500	50
26022	Cmte to Reduce Income Inequality & to Support Human Rts **	5000	500
26077	Friends of Liz Lively **	500	50
26092	Citizens for Vicky Conder	500	50
26148	Committee for Building Quincy's Schools **	500	50
26153	Hays for Clerk **	1224	122
26176	Campaign for 2016 **	25000	2500
26191	Taxpayers Against a Sales Tax Increase	837	84
26195	Citizens for Denis Detzel	5108	511
26197	Friends of Patrick D Thompson	750	75
26208	William R Stalker Campaign Fund	500	50
D-1 Statement of Organization			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
26119	Friends of Glenda Franklin	400	None
26152	Glen Ellyn Aquatics Initiative **	600	None
MA "Multi-assessments" (A-1's from 2 quarters)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
25834	Citz for Don Wilson	500/500	50/250
25847	Roth For County Clerk	2500/3600	250/1800
25851	Friends of Kari Starks **	500/500	50/250

26052	Caroline for 43	1250/500	125/250
22913	Stand for Children II PAC	5250/11000	525/5500
MA "Multi-assessments" (Quarterly & A-1)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
12041	Unit Five Education Assn IPACE	200/2850	10
16434	Cunningham for County Clerk	75/750	100
16776	Citz for Elizabeth Hernandez	100/4750	100
19733	Citz to Re-elect John Pope	200/3750	50
19880	Citz to Elect Willie B Cochran	300/3000	100
24760	Friends of Casey Johnson	250/1000	50
25806	James Jimmy Rankin for Sheriff	50/500	10
25908	Friends of Marc Loveless	1400/10000	50
25942	Yes for EMS	275/500	10
25975	Republicans of Elk Grove Township	25/720	10
26053	Fairness for Working Families	50/125000	10
26088	Midwest Construction Assoc of IL PAC	650/20750	10
MA "Multi-assessments" (2 Quarterly)			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
14486	Citz to Elect Eric Kellogg	13300	Q's, A-1's (pd)
16451	Citz for Shane Cultra 105	750	2 q's (pd)
18551	E St Louis Republican Central Cmte	375	1 Q
21057	1 st Ward Democratic Committeeman Fund	450	D1, semi's, A-1's, q's
22868	Citz for Jonathan Stamps	675	2 q's
23130	Friends of Enrique	300	Semi, A-1, q's
23444	Citz for Bernadette Wiggins **	625	None
24092	Citz for Jim Ginderske	300	2 q's, A-1 (pd)
24102	Friends of Tim Whelan	225	3 q's, A-1
24362	Citz for Marcus Evans Jr	400	Q's, A-1's
24528	Friends for Warren Dixon	2000	D1, 2 q's
25034	Cmte to Elect Jason Vandermeer	525	2 q's (pd)
25285	Hispanic American Community Leadership Network	8375	1 q
MA "Multi-assessments" (D1, 2 Quarterly & A1)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
26225	Friends Supporting Flora Digby	2250/1000/100/1300	10

(** - DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)

STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd
Springfield, Illinois 62708
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Steven S. Sandvoss, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: April 9, 2015

The following committees have made payment of outstanding civil penalties for the period 3/05/2015 - 4/09/2015

- Citizens for Maggie Crotty - \$110.00
- Holland for Mayor - \$200.00
- Action Association of Alsip - \$50.00
- Cass County Republican Central Committee - \$75.00
- Citizens to Re-elect David Snyders - \$900.00
- Richland County Democratic - \$150.00
- West Central IL Labor Council - \$100.00
- St. Clair County Democratic Cent. Comm. (for #5486) - \$625.00
- Committee to Elect Michael Harner - \$125.00
- Sangamon County Republican Central Committee - \$398.00
- Citizens for the Election of Jerry Devore Sheriff of Marion County - \$130.00
- Taxpayers for Quinn - \$7843.00
- Friends of Deborah Morelli - \$100.00
- Northwest Suburban Republican Family Picnic - \$75.00
- Citizens for Ryan Higgins - \$75.00
- Lawrence County Republican Central Committee - \$75.00
- Stste & Local PAC Intl. Alliance of Theatrical Stage Employees - \$550.00
- Citizens for Flamini - \$100.00
- Committee to Elect Jerry Brady State's Attorney - \$105.00
- Friends for Freitag - \$150.00
- Ogle County Democratic Central Committee - \$321.00
- Illinois League of Financial Institutions - \$674.00
- Fulton County Democratic Central Cmte - \$100.00
- Friends of Gilbert Villegas - \$123.00
- Citizens for Lauren Beth Gash - \$350.00
- Committee to Elect Pamela Whisler - \$600.00
- The Village Party - \$50.00
- Elect Judy Wilkinson LaSalle County Auditor - \$350.00
- Friends of Jim Hickey - \$250.00
- Arab American Democratic Club - \$500.00

- United Progressive - \$150.00
- Citizens for Matthew Walsh - \$11.41
- Pulaski County Democratic Central Committee - \$300.00
- Tax Accountability - \$225.00
- 25th Ward Regular Democratic Org. - \$50.00
- Citizens for Danny Solis - \$675.00
- Friends of Jehan Gordon - \$350.00
- Friends of Ameya Pawar - \$2595.00
- Friends of Doug House - \$725.00
- Citizens for Bill Kilquist - \$515.55
- Northern IL Alliance of Fire Protection Districts – \$68.00
- Friends for Arne Waltmire - \$444.00
- Committee to Elect Peter Michael Gonzalez - \$450.00
- Citizens for David Friess - \$50.00
- Citizens to Elect Grant Eckhoff - \$161.00
- The Illinois Chamber PAC - \$1300.00

- Committee to Elect John P. Dalcy - \$1538.00
- Citizens for Steve Reeb - \$150.00
- Committee to Elect Bob Large - \$150.00
- Citizens for Curtis L. McCall - \$100.00
- Citizens for McConnell - \$225.00
- Citizens to Elect Reginald C. Mathews - \$500.00
- Friends of Kevin Sandefur - \$490.35
- Friends of Clayborne - \$1000.00
- Citizens for Vincent Lockett - \$450.00
- Friends of Patricia Van Pelt-Watkins - \$1050.00
- Friends of Kypuros - \$75.00
- Friends of Juan Thomas - \$25.00
- Winger for Rep - \$150.00
- Friends of Tim Egan - \$200.00
- Citizens for Danny J Bradac - \$125.00
- 8th Congressional District Rep. Leadership Club - \$75.00
- Monroe County Republican Central Committee - \$300.00
- Campaign to Elect Dave Bartley - \$150.00

TOTAL : \$30,077.31

Year to Date Totals:

01/09/15 to 2/6/15: \$85,439.85

2/7/15 to 3/4/15: \$1788.00

3/5/15 to 4/9/15: \$30,077.31

Illinois State Board of Elections

Brent M. Davis | Director of Election Information | 217.558.1664 | bdavis@elections.il.gov



To: Steve Sandvoss, Executive Director

Re: 2015 Consolidated Election Report

Date: April 9, 2015

The Springfield Office was staffed from 5:30 a.m. to 10:00 p.m. and the Chicago Office was staffed from 6:00 a.m. to 9:00 p.m. Phone traffic was moderate for a consolidated election with a total of 120 calls combined between both offices. The subject matter of those calls was consistent with the questions and complaints that are typically presented on Election Day.

Common issues included:

- Pollwatcher rights and activity in the polling place. Several people were also calling to obtain credentials on Election Day.
- Campaign Free Zone – electioneering going on within the 100 ft. zone where it is prohibited, as well as several instances where the callers were confused regarding the option for churches and private schools to ban electioneering all the way to the borders of their property
- Registration – questions regarding eligibility to vote if they have moved, or if their status is inactive
- Polling place lookup
- Discrepancies in ballot setup and instructions

Eric Donnewald and Amy Evans were field representatives stationed in East St. Louis at the request of that jurisdiction. This election marked the first time that write-in votes were counted in the precinct pursuant to 10 ILCS 5/24B-10.1, instead of their traditional practice of counting the write-in votes at the central counting center. Eric and Amy reported that some precincts did not properly process and count the write-in votes. It is recommended that more detailed training be provided to election judges on the subject of counting write-in votes before the next election.

Turnout reports received on Election Day ranged from lows of 8% to highs of 40%.

The Board is responsible for canvassing the results for Fox Waterway Director with a deadline of May 8, 2015.

STATE BOARD OF ELECTIONS



From the desk of.... Steven S. Sandvoss, Executive Director

Phone: 217-557-9939

Email: ssandvoss@elections.il.gov

To: Jesse R. Smart - Chairman, Charles W. Scholz – Vice Chairman, Members of the Board

Re: Certification of Fox Waterway Management Agency Directors

Date: April 9, 2015

The last day for the State Board of Elections to certify the winning candidates for the Fox Waterway Agency Directors is May 8, 2015. In previous election cycles the Board has authorized staff members to certify the winning candidates for this office in lieu of a special board meeting. I respectfully request similar authorization to certify the winners for this past election.

STATE BOARD OF ELECTIONS



From the desk of.... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

To: Steven Sandvoss, Executive Director

Re: 2015 Consolidated Pre-Testing Report

Date: April 9, 2015

The VRS Division conducted pre-election tabulation system tests in four jurisdictions for the 2015 Consolidated Election. These included:

Carroll County- March 13th

Logan County- March 13th

Cass County- March 19th

Danville Election Comm. - March 20th

The Carroll County election consisted of 22 precincts. Our test involved all precincts and a total ballots cast of 1,236 on both TSX touch screen tabulators and Accuvote optical scan tabulators. During the test, one Accuvote memory card failed to upload, so the precinct was rerun. All totals were found to be correct.

The Logan County election involved 29 precincts, all of which were tested. Our test consisted of both M100 optical scan tabulators and AutoMark touchscreen marking devices. A total of 2,260 ballots were marked and tabulated. One M100 encountered a miscount for one candidate on one ballot. Upon further testing, it was determined the machine had an issue with the top up head first orientation. Staff recommended the machine not be used for this election and that it receive service from the vendor to ascertain the cause for the missed votes and for the information to be shared with the VRS staff regarding what malfunctioned in the system to cause this error. There were no other issues encountered.

In Cass County, their election was for 21 precincts, of which all were tested by staff. The test had a total ballots cast of 2,013 cast on the Accuvote optical scan tabulators. The election authority chose not to use the TSX touch screen tabulators for this election. No machine, marking, or reporting errors were discovered.

The Danville city election was for all 33 precincts. The pre-test was for all precincts on both M100 optical scan tabulators and AutoMark marking devices with a total ballots cast of 1,750. Upon completion of the tabulation, we were made aware that the election authority had not been trained on uploading results for compilation and reporting and the vendor had not sent a representative for assistance. Therefore, we took a break while the election authority received over the phone training. Once his training was complete, he was able to compile the results and produce the necessary reports. Upon review, all totals were correct.

Judges Schools CP CE 2015

Date	Jurisdiction	No. of Schools	Attendance	Zone
Thursday, February 19, 2015	Saline	3	88	One
Tuesday, February 24, 2015	Montgomery	3	114	Two
Monday, March 09, 2015	Aurora	3	58	Four
Tuesday, March 10, 2015	Aurora	3	44	Four
Tuesday, March 10, 2015	Pope	1	18	One
Wednesday, March 11, 2015	Franklin	2	92	One
Wednesday, March 11, 2015	Vermilion	1	16	Three
Thursday, March 12, 2015	Franklin	1	32	One
Thursday, March 12, 2015	Galesburg	3	95	Two
Thursday, March 12, 2015	Vermilion	1	16	Three
Tuesday, March 17, 2015	Jersey	2	95	Two
Wednesday, March 18, 2015	Fayette	2	87	One
Thursday, March 19, 2015	Cass	2	94	Two
Tuesday, March 24, 2015	Williamson	3	224	One
Wednesday, March 25, 2015	Effingham	3	71	One
Thursday, March 26, 2015	Pike	2	95	Two
Saturday, March 28, 2015	E St Louis	2	74	One
Monday, March 30, 2015	Christian	1	7	Three
Tuesday, March 31, 2015	Greene	2	78	Two
Tuesday, March 31, 2015	Marion	3	114	One
Wednesday, April 01, 2015	Adams	2	230	Two
Wednesday, April 01, 2015	Putnam	2	26	Four
Thursday, April 02, 2015	Morgan	3	105	Two
Thursday, April 02, 2015	Stark	1	19	Four

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St, Ste 14-100
Chicago, Illinois 60601-3232
312/814-6440
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EXECUTIVE DIRECTOR
Steven S. Sandvoss

BOARD MEMBERS
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Charles W. Scholz, Vice Chairman
Harold D. Byers
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William M. McGuffage
Casandra B. Watson

MEMORANDUM

To: Chairman Smart, Vice Chairman Scholz, Members of the Board
Executive Director Steven S. Sandvoss

From: Kenneth R. Menzel, General Counsel

Re: Consent Decree with US Department of Justice Relating to the 18th Congressional
District Special Election Correspondence

Date: April 8, 2015

On March 17, 2015, Aaron Schock, the US Representative for the 18th Congressional District, submitted his resignation effective March 31, 2015. On March 31, 2015, Governor Rauner issued his writ of election pursuant to 10 ILCS 5/25-7,¹ setting a special primary for June 8, 2015 and a special election for July 24, 2015.

In past congressional special elections, it became clear that the combined impact of various federal and state laws relating to elections² do not allow enough time to conduct a fully compliant primary and general election within the 115 day period provided under 10 ILCS 5/25-7. This problem previously led to a federal suit by the US Department of Justice ("DOJ") and a consent decree that established a calendar of events for the conduct of the 2013 special primary and special election for the 2nd Congressional District representative seat.

Immediately after Representative Schock submitted his resignation, we spoke to the DOJ regarding the status of the state's proposed legislation to extend the 115 day time period and the having another federal court filing and consent decree for the upcoming 18th Congressional District special election. A series of discussions ensued among the DOJ, the Attorney General's office, the Governor's office, the General Assembly legislative staffs and the SBE staff, which resulted a consent decree expected to be entered on April 14, 2015, providing for the conduct of the upcoming special election. Most notable in the consent decree is that the special primary was rescheduled to until July 7, 2015 and the special election was rescheduled to September 10, 2015.

Background: Conflicting Interplay of Federal and State Law as to Special Election Scheduling

¹ 10 ILCS 5/25-7 requires the Governor to issue a writ of election within 5 days of the occurrence of a vacancy for a US Representative setting the special election within 115 days of the issuance of the writ of election.

² These include the various timelines relating to candidate nominating petitions, adjudication objections thereto, certifying candidates to the election authorities, preparing ballots, mailing ballots to military and overseas voters, and processing late arriving absentee ballots.

The 115 day deadline from the date of the writ of election for conducting the special election under Section 25-7 of the Election Code is too short to run a fully compliant special primary and special election. The federal Uniformed and Overseas Citizens Absentee Voting Act ("UOCAVA") and the Military and Overseas Empowerment Act ("MOVE") require that ballots for federal elections must be mailed at least 45 days provides prior to federal primaries and elections (52 U.S.C. § 20302(a)(8)). Those 45 day periods prior to both the special primary and special election take up 90 of the 115 days in which to conduct the special election under current law. In addition, the State law providing a 14 day period following the special primary for processing late arriving absentee ballots (10 ILCS 5/19-8(c)) effectively leaves only 11 days for the process of candidate petition circulation, filing, review, objection filings and proceedings, certification of the ballots, and printing of ballots by the election authorities.

That inherent and irreconcilable conflict, and the 2013 consent decree with the DOJ, led the SBE to add a proposed amendment to Section 25-7 to our legislative package following the 2013 special election for the 2nd Congressional District. The current version of that proposed legislation is SB 1529, now being considered in the current General Assembly session, and which provides a 180 period for conducting special congressional elections.

Expanded Election Schedule under the Consent Decree

In the discussions with DOJ and the various state parties, the DOJ was very insistent that the 45 day absentee ballot mailing windows would have to be complied with, and sending blank write-in ballots would not be sufficient. The SBE staff was asked to develop a schedule for conducting the special primary and special election that was generally compliant with applicable statutes. The election schedule ultimately attached to the consent decree is the product of that work. It moves the special primary back to July 7, 2015 and the special election to September 10, 2015. With the exception of a significantly reduced circulation period for established party candidates, and a slightly earlier petition filing period for new parties and independent candidates,³ the schedule is fully compliant with all of the statutory time requirements.

Highlights of the Consent Decree

In addition to the election dates mentioned above, established party candidates will file their nominating petitions between April 15 and April 20, 2015. New party and independent candidates will file between June 22 and June 25, 2015. Because of the shortened circulation periods, and the fact that some candidates commenced circulation almost immediately after the Governor issued the original writ of election, we requested that the consent decree include a provision that candidate petitions drafted and/or circulated in compliance with the original writs of election would not be deemed invalid on the basis of the new election dates under the consent decree.

Military and overseas voters will be able to get their absentee ballots sent the full 45 days prior to each election, and will also benefit from the full 14 day period for processing late arriving absentee ballots following the special primary.

The SBE is required to submit reports to the DOJ as to the election authorities' absentee mailing performance. The SBE will also publicize the new election dates and the absentee ballot deadlines

³ The new party and independent candidate petition filing period was moved 13 days earlier than provided by statute. This leaves them with a 76 to 79 day circulation period from the date of the consent decree. The established party candidates have a 15 to 20 day circulation period from the date of the original writ of election.

in a way that is likely to reach military and overseas voters. The reporting and publicity provisions are substantially similar to the requirements of the previous consent decrees with DOJ.

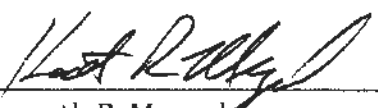
The federal court will retain jurisdiction over the matter until such time as Illinois amends the Election Code to provide for congressional special elections that can be conducted with full federal law compliance.

Important Dates for Board

With April 27, 2015 being the last day to file objections to established party candidate petitions, a brief special meeting of the SBE (sitting as the State Officers Electoral Board) will likely be needed to held on about May 1, 2015, to call the cases, adopt rules of procedure and appoint the hearing officer(s) with regard to any objections filed. It is anticipated that these cases would be ready for the Board to decide at the regularly scheduled meeting on May 18, 2015. The Board would also certify the special primary ballot at that regular meeting.

There were no new party or independent candidate petitions filed for the last few congressional special elections. However, if any are filed for this one, July 2, 2015 would be the last day to file objections to those petitions, and a brief special meeting of the SBE may be needed on about July 10, 2015, to call the cases, adopt rules of procedure and appoint the hearing officer(s) with regard to any objections filed. It is anticipated that these cases would be ready for the Board to decide at a special meeting no later than July 23, 2015, at which the Board would also certify the special election ballot.

Finally, the election authorities will be canvassing their respective special election results no later than October 1, 2015, with the Board needing a special meeting on or before October 12, 2015 to declare the official results of the special election.



Kenneth R. Menzel
General Counsel

IN THE UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION

UNITED STATES OF AMERICA,

Plaintiff,

v.

THE STATE OF ILLINOIS;
THE ILLINOIS STATE BOARD OF
ELECTIONS; and STEVE
SANDVOSS, Executive Director
of the Illinois State Board of Elections,

Defendants.

Case No.

Judge:

CONSENT DECREE

Plaintiff United States of America initiated this action against the State of Illinois, the Illinois State Board of Elections, and Steve Sandvoss, the Executive Director of the Illinois State Board of Elections, in his official capacity (collectively, “Defendants”), to enforce the requirements of the Uniformed and Overseas Citizens Absentee Voting Act (“UOCAVA”), 52 U.S.C. §§ 20301 *et seq.* The United States’ complaint alleges an imminent violation of UOCAVA with respect to a special election to fill the vacant office of United States Representative from Illinois’s Eighteenth Congressional District. Under the truncated schedule prescribed by current state law governing special elections, Illinois cannot ensure transmittal of absentee ballots to absent uniformed services voters and overseas voters (“UOCAVA voters”) by the 45th day before the recently scheduled June 8, 2015 special primary election and July 24, 2015 special election, as required by Section 102(a)(8)(A) of UOCAVA. 52 U.S.C. § 20302(a)(8). As a result, UOCAVA voters will not be provided the time specified under Federal

law to receive, mark, and submit their ballots and have those ballots counted in the upcoming special elections. The complaint also seeks relief to prevent such violations in future special elections to fill vacancies in the office of United States Representative in Illinois.

The United States and Defendants, through their respective counsel, have conferred and agree that this action should be settled without the delay and expense of litigation. This consent decree is similar in nature to a consent decree entered by the Court in *United States v. Illinois*, No. 13-cv-00189 (N.D. Ill. 2013) (consent decree provided measures to remedy the specific violations of UOCAVA during the 2013 special primary and special election for United States Representative from the State's Second Congressional District). The parties share the goal of providing UOCAVA voters with the opportunity guaranteed by Federal law to participate in the upcoming Eighteenth Congressional District special election, as well as future special elections for Federal office. The parties have negotiated in good faith and hereby agree to the entry of this consent decree as an appropriate resolution of the UOCAVA violations alleged by the United States. Accordingly, the United States and Defendants stipulate and agree that:

1. This action is brought by the U.S. Attorney General on behalf of the United States pursuant to UOCAVA, as amended by the Military and Overseas Voter Empowerment Act, Pub. L. No. 111-84, Subtitle H, §§ 575-589, 123 Stat. 2190, 2318-2335 (2009) ("MOVE Act").

2. The U.S. Attorney General is authorized to enforce the provisions of UOCAVA, 52 U.S.C. § 20307, and this Court has jurisdiction of this action pursuant to 52 U.S.C. § 20307 and 28 U.S.C. §§ 1345 and 2201.

3. UOCAVA provides that UOCAVA voters shall be permitted "to use absentee registration procedures and to vote by absentee ballot in general, special, primary, and runoff elections for Federal office." 52 U.S.C. § 20302(a)(1). UOCAVA requires the State of Illinois

to ensure that validly requested absentee ballots are transmitted to UOCAVA voters in accordance with the statute's requirements. 52 U.S.C. §§ 20302 and 20310.

4. Pursuant to amendments made by the MOVE Act, Section 102(a)(8)(A) of UOCAVA requires that states transmit validly requested ballots to UOCAVA voters not later than 45 days before an election for Federal office when the request is received at least 45 days before the election, unless a hardship exemption is obtained pursuant to Section 102(g) of UOCAVA. 52 U.S.C. §§ 20302(a)(8)(A) and 20302(g).

5. Defendant Illinois State Board of Elections is the state body with general supervisory powers over the administration of election laws in Illinois and is comprised of eight members appointed by the Governor. 10 Ill. Comp. Stat. 5/1A-1. Steve Sandvoss is the Executive Director of the Illinois State Board of Elections and is sued in his official capacity.

6. Under Illinois law, depending on the jurisdiction, the county clerk or the Board of Election Commissioners serve as "election authorities" that are responsible for the conduct of elections, including the administration of absentee voting, in their respective jurisdictions. 10 Ill. Comp. Stat. 5/1-1 *et seq.* Election authorities transmit ballots to UOCAVA voters, receive ballots returned by UOCAVA voters, and count the ballots as part of the election process. The State of Illinois, however, retains responsibility for ensuring compliance with UOCAVA. For purposes of this decree, the parties understand that although the local election authorities will continue to send, receive, and count UOCAVA ballots as provided for in state law, the State bears the responsibility of ensuring that the requirements of UOCAVA and this consent decree are met.

7. Pursuant to the Illinois Election Code, when a vacancy occurs in the office of United States Representative in Congress more than 180 days before the next general election, the

Governor shall issue a writ within five days following the vacancy setting a date within 115 days to hold a special election to fill the vacancy. 10 Ill. Comp. Stat. 5/25-7(a).

8. Aaron Shock, the United States Representative from the State's Eighteenth Congressional District, resigned effective March 31, 2015, which is more than 180 days before the next election, thus triggering the Governor's obligation to issue a writ to hold a special election.

9. On March 31, 2015, the Governor issued writs ordering a special primary election and special election to fill the vacancy in the Eighteenth Congressional District. The Governor set the special election for July 24, 2015, and a special primary election, if necessary, for June 8, 2015.

10. Pursuant to the Illinois Election Code, the deadline for filing candidate nomination petitions must be at least 15 days after the issuance of the writ of election. *See* 10 Ill. Comp. Stat. 5/7-61. Moreover, petitions for nominations to fill a vacancy in the office of United States Representative in Congress from the State must be filed between 54 and 50 days before a special primary election. 10 Ill. Comp. Stat. 5/25-7(b). Additionally, objections to candidate nomination petitions may be made within five business days after the deadline for filing such petitions. 10 Ill. Comp. Stat. 5/10-8.

11. Effective June 1, 2015, ballots from UOCAVA voters postmarked by midnight on election day will be counted if they are received by the 14th day following Election Day. *See* 10 Ill. Comp. Stat. 5/20-8, as amended by S.B. 0172, 98th Gen. Assemb. (Ill. 2014) (enacted).

12. Compliance with the referenced Election Code provisions prevents the State from ensuring transmission of absentee ballots to UOCAVA voters at least 45 days before special elections for Federal office, including the recently scheduled special primary election and special

election. As a result, the Illinois statute violates Section 102(a)(8)(A) of UOCAVA, 52 U.S.C. § 20302(a)(8)(A).

13. To avoid the burdens, delays, and uncertainties of litigation and to efficiently and expeditiously promote the parties' shared goal of ensuring that Illinois's UOCAVA voters will have sufficient opportunity under Federal law to participate in the upcoming special election for Federal office, the parties agree that this Court should enter an order regarding the upcoming elections to fill the vacant office of Representative of the State's Eighteenth Congressional District: (1) enjoining the application of provisions of the Illinois Election Code governing the schedule for special elections to the extent they impede Defendants' compliance with

UOCAVA's ballot transmission deadlines in the upcoming special elections; and (2) adopting the attached Election Calendar establishing July 7, 2015 as the date for the special primary election and September 10, 2015 as the date for the special election. The Election Calendar enlarges the time period for conducting such an election sufficiently to guarantee that special primary election and special election ballots can be transmitted to UOCAVA voters at least 45 days before the elections.

14. The parties reserve the right to modify this agreement as necessary, and to seek additional supplemental relief, if information regarding additional UOCAVA violations is discovered.

WHEREFORE, the parties having freely given their consent, and the terms of the Decree being fair, reasonable, and consistent with the requirements of UOCAVA, it is hereby ORDERED, ADJUDGED, and DECREED by the Court that:

- (1) Defendants are enjoined from applying the provisions of the Illinois Election Code governing the schedule for special elections to the

extent they impede Defendants' compliance with UOCAVA's ballot transmission deadlines in the upcoming special elections to fill a vacancy in the office of United States Representative from the State's Eighteenth Congressional District.

- (2) Defendants shall, upon entry of this decree, order the pertinent election authorities to adopt the attached Election Calendar, which requires that absentee ballots timely requested by UOCAVA voters for the July 7, 2015 special primary election and September 10, 2015 special election be transmitted on or before the 45th day before those elections.
-

Provided, however, that in any objection proceedings held pursuant to the Illinois Election Code, candidate nominating petitions drafted and/or circulated so as to comply with the writs of election issued by the Governor on March 31, 2015, shall not be ruled deficient by the Illinois State Board of Elections because of the new election dates required by this decree, nor because of any change in the petition circulation period imposed by the Illinois State Board of Elections to conform to the new election dates required by this decree.

- (3) The Defendants shall provide a report to the United States Department of Justice no later than forty-three days before the July 7, 2015 special primary election concerning the transmittal of UOCAVA ballots by local election jurisdictions for that election. The report shall:
- a. Certify whether absentee ballots were transmitted no later than the 45th day before the election to all qualified UOCAVA

voters whose applications for ballots were received and approved by that date; and

b. Indicate, by local election jurisdiction, the number of requests received and the number of UOCAVA absentee ballots transmitted by the 45th day before the election.

(4) The Defendants shall provide a report to the United States Department of Justice no later than forty-three days before the September 10, 2015 special election concerning the transmittal of UOCAVA ballots by local election jurisdictions for that election. The report shall:

a. Certify whether absentee ballots were transmitted no later than the 45th day before the election to all qualified UOCAVA voters whose applications for ballots were received and approved by that date; and

b. Indicate, by local election jurisdiction, the number of requests received and the number of UOCAVA absentee ballots transmitted by the 45th day before the election.

(5) Upon entry of this consent decree, the Defendants shall issue a press statement for immediate release, posted immediately on the Illinois State Board of Elections website and distributed to the Federal Voting Assistance Program (FVAP); International Herald Tribune (<http://www.iht.com>); USA Today International (<http://www.usatoday.com>); Military Times Media Group (cvinch@militarytimes.com); Overseas Vote Foundation

(<http://www.overseasvotefoundation.org/intro/>); Stars and Stripes (<http://www.estripes.com>); and any other newspaper or news media within Illinois that Defendants determine appropriate to reach UOCAVA voters in the Eighteenth Congressional district. The news release shall, at a minimum: (a) summarize this order, clarifying the correct election dates; (b) identify the deadlines relevant to UOCAVA voters; and (c) provide appropriate contact information for the State Board of Elections for assistance.

(6) The Defendants shall take such actions as are necessary to assure that all future special elections for Federal office are conducted in accordance with UOCAVA, including proposing legislation and taking any administrative actions needed to alter Illinois' statutorily imposed timetable for conducting special elections for filling vacancies in the office of United States Representative in Congress. Specifically, the State Board of Elections will recommend amendments to the Election Code as required to enlarge the time period for conducting such elections sufficiently to guarantee that special primary election and special election ballots can be transmitted to UOCAVA voters at least 45 days before the date of the election. The parties agree to confer on the progress of this effort, and Defendants shall file with the Court a status report on this proposed legislation no later than June 2, 2015.

The Court shall retain continuing jurisdiction over this action until the State has adopted a UOCAVA-compliant timetable for conducting all future special primary elections and special elections to fill vacancies in the office of United States Representative in Congress. The Court may enter further relief as necessary for the effectuation of the terms of this consent decree and to abate any UOCAVA violation with respect to future Federal special elections.


The undersigned agree to entry of this consent decree.

For the Plaintiff:

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United States Attorney
Northern District of Illinois

VANITA GUPTA
Acting Assistant Attorney General
Civil Rights Division

By: /s/ Patrick W. Johnson
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Date: April 6, 2015

For the Defendants:

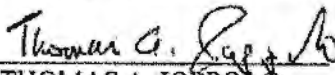
State Board of Elections

By: 

Title: Executive Dir.

LISA MADIGAN

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Telephone: (312) 814-3313

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Date: April 6, 2015

SO ORDERED this ____ day of _____, 2015.

United States District Judge

SPECIAL ELECTION CALENDAR
EIGHTEENTH CONGRESSIONAL DISTRICT

SPECIAL PRIMARY ELECTION
TUESDAY, JULY 7, 2015

Tuesday, April 8, 2015

First day for registered voters within the confines of the United States to make application by mail or in person for "Vote by Mail" Ballot for the Special Primary Election. (10 ILCS 5/19-2)

Wednesday, April 15, 2015

First day for candidates of established political parties to file original nomination papers in the principal office of the State Board of Elections. (10 ILCS 5/25-7)

Monday, April 20, 2015

Last day for candidates of established political parties to file original nomination papers in the principal office of the State Board of Elections. Nomination papers must be time stamped by 5:00 p.m. in order to be accepted. (10 ILCS 5/25-7)

Monday, April 27, 2015

Last day to file objections to nomination papers of candidates who filed nomination papers from Wednesday, April 15, 2015 through Monday, April 20, 2015. Objections may be filed in the principal office of the State Board of Elections in Springfield, or the Board's permanent branch office in Chicago. Objections must be time stamped by 5:00 p.m. in order to be accepted. (10 ILCS 5/7-12.1, 5/10-8)

Wednesday, April 29, 2015

Last day for the State Board of Elections to conduct a lottery to determine ballot position for candidates when 2 or more petitions are filed simultaneously as of the opening hour for filing nominating petitions on Wednesday, April 15, 2015 and between 4:00 p.m. and 5:00 p.m. on Monday, April 20, 2015. The State Board of Elections will give written notice to each candidate filing petitions simultaneously at 8:00 a.m. on April 15, 2015 and between 4:00 p.m. and 5:00 p.m. on April 20, 2015, of the time and place for conducting a lottery to determine ballot position for such candidates. In addition, seven days written notice shall be given to the chairman of each established political party and to each organization of citizens within the election jurisdiction entitled to have pollwatchers present at the last preceding election. Notice will also be posted at the entrance to each office of the State Board of Elections. (10 ILCS 5/7-12(6))

Friday, May 8, 2015

Last day (by 5:00 p.m.) a person may file a notarized Declaration of Intent to be a write-in candidate (Exception: If an objection to the person's nominating papers or petitions for the office is sustained after this date and the decision to sustain the objection has not been reversed by a reviewing court, the person may file a Declaration of Intent not later than 7 days prior to the Special Primary Election). Such Declarations must be filed in the offices of the appropriate election authorities. Declarations received by an election authority after 5:00 p.m. shall not be

valid. Write-in votes shall only be counted for persons who have timely filed valid declarations of intent to be write-in candidates. (10 ILCS 5/7-59(b))

Monday, May 11, 2015

Last day the election authority shall provide public notice, calculated to reach the elderly and handicapped voters, of the registration and voting aids under the Federal Voting Accessibility for the Elderly and Handicapped Act and of the availability of assistance in marking the ballot, and procedures for voting a "Vote by Mail" ballot. (10 ILCS 7-15)

Monday, May 18, 2015

Last day for the State Board of Elections to certify to the appropriate county clerks the names of candidates for the Special Primary Election. (10 ILCS 5/7-14)

Thursday, May 21, 2015

First day for the election authority to publish a schedule of the dates and times that early voting will be conducted and the location of each permanent and temporary polling place for early voting and the precincts served by each location. The election authority shall publish a similar schedule at least once a week during the early voting period and post a copy of the schedule at each location to be used for early voting and on the election authority's Internet web site. (10 ILCS 5/19A-25)

Friday, May 22, 2015

Last day for the election authority to have in his office a sufficient number of ballots printed and available for mailing to persons in the United States Service or their spouse and dependents, citizens temporarily residing outside the territorial limits of the United States and nonresident civilians. (10 ILCS 5/7-16, 16-5.01)

NOTE:

Pursuant to the Uniformed and Overseas Citizens Absentee Voting Act (UOCAVA), as amended by the Military and Overseas Voter Empowerment Act (the MOVE Act), "Vote by Mail" ballots requested by military and overseas voters must be transmitted at least 45 days before a federal election. 42 U.S.C. § 1973(ff)-1(a)(8)(A). Please be advised that the 45 day UOCAVA deadline may not be extended under any circumstances; therefore, although the 45 day deadline falls on a Saturday, May 23, 2015, military and overseas "Vote by Mail" ballots MUST be mailed by that date. An election authority that waits until the first business day following the 45 day deadlines to mail military and overseas ballots will be considered in violation of UOCAVA.

Monday, May 25, 2015

Last day for the election authority to post a schedule for early voting at each location where early voting will be conducted. The schedule shall remain posted continuously until the end of early voting. (10 ILCS 5/19A-25(b))

Thursday, May 28, 2015

Last day for organizations of citizens which have among its purposes the investigation or prosecution of election fraud and State non-partisan civic organizations to register their names and addresses and the names and addresses of their principal officers in order to be eligible for pollwatcher credentials for the Special Primary Election. Such registration shall be in the office of the election authority. (10 ILCS 5/7-34)

Thursday, May 28, 2015

First day for mailing or delivery of "Vote by Mail" Ballots for the Special Primary Election. (10 ILCS 5/19-4)

Thursday, May 28, 2015

First day for early voting at the offices of the election authority and at permanent polling place locations designated by the election authority. Early voting shall be conducted at such locations between the hours of 8:30 a.m. to 4:30 p.m. or 9:00 a.m. to 5:00 p.m. on weekdays and 9:00 a.m. to 12:00 p.m. on Saturdays, Sundays and holidays. A permanent early voting location ~~must remain open for a total of at least 8 hours on any holiday during the early voting period and a total of at least 14 hours on the final weekend during the early voting period.~~ (10 ILCS 5/19A-15)

Monday, June 8, 2015

Last day for unregistered citizens temporarily residing outside the territorial limits of the United States who are otherwise qualified to vote and who expect to be absent from their county of residence to apply for a ballot to the election authority having jurisdiction over their precinct of residence. Application must be made on the Federal Post Card Application or on forms provided by the election authority. If application is received not less than 10 days before the election, the applicant shall be sent a ballot and registration is waived. (10 ILCS 5/20-2.1)

Tuesday, June 9, 2015

Last day for registration or transfer of registration in the office of the election authority. (10 ILCS 5/4-6, 4-16, 5-5, 5-23, 6-29, 6-50, 6-53, 6-54)

Wednesday, June 10, 2015

First day of Grace Period registration or change of address in the office of the election authority or at a location designated for this purpose by the election authority. (10 ILCS 5/4-50, 5-50, 6-100)

Wednesday, June 10, 2015

First day for Grace Period voting in the office of the election authority or at a location designated for this purpose by the election authority, or by mail if the election authority has no ballots prepared at the authority's office. (10 ILCS 5/4-50, 5-50, 6-100)

Wednesday, June 17, 2015

Last day to publish notice of primary. Notice shall include the primary date, poll hours, offices to be listed on the ballot and the political parties entitled to participate. (10 ILCS 5/7-15)

Tuesday, June 23, 2015

Last day for election authority or State Board of Elections to have pollwatcher credentials available for distribution. (10 ILCS 5/7-34)

Tuesday, June 23, 2015

First day a qualified voter admitted to a hospital, nursing home or rehabilitation center not more than 14 days before the Special Primary Election may make application for personal delivery of "Vote by Mail" Ballot. (10 ILCS 5/19-13)

Monday, June 29, 2015

Last day any voter who is a member of the United States Service (members of the Armed Forces while on active duty, members of the Merchant Marine, and United States government employees serving outside the territorial limits of the United States) and his/her spouse and dependents of voting age who expects to be absent from his/her county of residence on election day to apply by mail, by facsimile machine or by other methods of electronic transmission for an official ballot to the election authority having jurisdiction over their residence. No registration is required to vote. Application must be made on the Federal Post Card Application or on forms provided by the election authority. (10 ILCS 5/20-2, 5/20-2.3, 5/20-3)

Last day for non-resident civilian citizens, otherwise qualified to vote, to make application to the election authority having jurisdiction over his/her precinct of former residence for a "Vote by Mail" ballot. Registration is not required. Application may be made on the Federal Post Card Application or by facsimile or electronic transmission. (10 ILCS 5/20-2.2)

Tuesday, June 30, 2015

Last day (by 5:00 p.m.) a person may file a notarized Declaration of Intent to be a write-in candidate if an objection to the person's nominating papers or petitions for the office is sustained and the decision to sustain the objection has not been reversed by a reviewing court after the date of certification. Such Declarations must be filed in the office of the appropriate election authority. Declarations received by the election authority after 5:00 p.m. will not be valid. Write-in votes shall only be counted for persons who have timely filed valid declarations of intent to be a write-in candidate. (10 ILCS 5/7-59(b))

Thursday, July 2, 2015

Last day for the election authority to receive an application by mail for a "Vote by Mail" Ballot for the Special Primary Election and the last day for the election authority to mail such a ballot. (10 ILCS 5/19-2)

Thursday, July 2, 2015

Last day for election authority to publish in 2 or more newspapers published in and having general circulation in the county a true and legible copy of the specimen ballot containing the names of offices and candidates to be voted on, as near as may be, in the form in which they will appear on the official ballot on election day. (10 ILCS 5/24B-18, 5/24C-18)

Thursday, July 2, 2015

Last day to post (by noon) the names and addresses of nursing home facilities from which no applications were received and in which no supervised "Vote by Mail" voting will be conducted. (10 ILCS 5/19-12.2)

Thursday, July 2, 2015

Last day for the election authority to conduct a public test of the automatic tabulating equipment (optical scan voting devices, direct recording electronic voting devices, touch screen voting devices, vote tallying software and equipment). The election authority must give public notice and notify the State Board of Elections of the date, time and location of the test at least 48 hours prior to such test. (10 ILCS 5/24A-9, 5/24B-9, 5/24C-9)

Monday, July 6, 2015

Last day for the election authority to have official ballots available for inspection by candidates or their agents. (10 ILCS 5/16-5)

Monday, July 6, 2015

Last day for early voting at the offices of the election authority and at permanent polling place locations designated by the election authority. (10 ILCS 5/19A-15)

Friday, July 3, 2015

Saturday, July 4, 2015

Sunday, July 5, 2015

Monday, July 6, 2015

Dates that may be scheduled by the election authority for "Vote by Mail" voting on the premises of facilities licensed or certified pursuant to the Nursing Home Care Act for the sole benefit of the residents of such facilities who have made prior application not later than 5 days before the election. Such voting shall be conducted during any continuous period sufficient to allow all applicants to cast their ballots between the hours of 9 a.m. and 7 p.m. on any one of these days. (10 ILCS 5/19-4, 5/19-12.2)

Monday, July 6, 2015

Last day any registered voter presently within the confines of the United States may apply in person for a "Vote by Mail" for the Special Primary Election. (10 ILCS 5/19-2)

Monday, July 6, 2015

Last day for any temporarily or permanently physically disabled voter to request the election authority that two (2) judges of election of opposite political party affiliation deliver a ballot to him/her at the point where he/she is unable to continue forward motion toward the polling place. (10 ILCS 5/7-47.1(b))

Monday, July 6, 2015

Last day for the election authority to deliver (prior to the opening of the polls) to judges of election in each precinct a list of registered voters in that precinct to whom "Vote by Mail" ballots have been issued by mail and a list of grace period and early voters. (10 ILCS 5/4-50, 5/5-50, 5/6-100, 5/19-4, 5/19A-5(c))

**SPECIAL PRIMARY ELECTION
TUESDAY, JULY 7, 2015
Polling Places open from 6:00 a.m. to 7:00 p.m. (10 ILCS 5/7-5, 5/25-7)**

Thursday, July 9, 2015

Registration re-opens in the office of the election authority and for all deputy registrars. (10 ILCS 5/4-6, 5/5-5, 5/6-50)

Tuesday, July 14, 2015

Last day a person casting a provisional ballot may submit additional information to the election authority to verify or support his/her registration status. Material must be received by the election authority by this date. (10 ILCS 5/18A-15(d))

Tuesday, July 21, 2015

Last day for the election authority to complete the validation and counting of provisional ballots. (10 ILCS 5/18A-15(a))

Tuesday, July 21, 2015

Last day for the election authority to process and count "Vote by Mail" ballots (1) mailed and postmarked by midnight preceding the opening of the polls on election day but received after the close of the polls and by this date, or (2) not postmarked at all, but having a certification date prior to election day on the certification envelope and received after the close of the polls and by this date. (10 ILCS 5/19-8(c))

Wednesday, July 22, 2015

Last day for the election authority to conduct final canvass of election returns and certify the names of candidates nominated at the Special Primary. (10 ILCS 5/18A-15(a))

Thursday, July 23, 2015

Last day for the State Board of Elections to complete its final canvass of the vote. (10 ILCS 5/22-7, 5/18A-15(a))

Thursday, July 23, 2015

Last day for the State Board of Elections to certify to the County Clerk the names of all established political party candidates whose names have been nominated as shown by the proclamation of the State Board of Elections or have been nominated to fill a vacancy in nomination, directing the County Clerk to place upon the official ballot the names of such candidates in the same manner and in the same order as shown upon the certification.

Tuesday, July 28

Last day for the election authority to transmit to the State Board of Elections the following information with respect to the election: (1) the number, by precinct, of "Vote by Mail" ballots requested, provided, and counted; (2) the number of rejected "Vote by Mail"

ballots; (3) the number of voters seeking review of rejected "Vote by Mail" ballots; and (4) the number of "Vote by Mail" ballots counted following review. (10 ILCS 5/19-20)

SPECIAL ELECTION
THURSDAY, SEPTEMBER 10, 2015
(10 ILCS 5/27-5)

Friday, June 12, 2015

First day for registered voters within the confines of the United States to make application by mail or in person for "Vote by Mail" Ballot for the Special Primary Election. (10 ILCS 5/19-2)

Monday, June 22, 2015

First day for independent and new political party candidates to file original nomination papers in the principal office of the State Board of Elections. (10 ILCS 5/25-7)

Thursday, June 25, 2015

Last day for independent and new political party candidates to file original nomination papers in the principal office of the State Board of Elections. (10 ILCS 5/25-7)

Thursday, July 2, 2015

Last day to file objections to independent and new political party candidate nomination papers filed during the period Monday, June 22, 2015 through Thursday, June 25, 2015. Objections to petitions may be filed in the principal office of the State Board of Elections in Springfield or in the Board's permanent branch office in Chicago. (10 ILCS 5/10-8)

Monday, July 6, 2015

Last day for State Board of Elections to conduct a lottery to determine ballot position for candidates where 2 or more independent candidate petitions or two or more new political party candidate petitions were filed simultaneously as of the opening hour of Monday, July 6, 2015 and between 4PM and 5PM on Wednesday, July 8, 2015. The State Board of Elections will give written notice to each candidate filing petitions simultaneously at 8AM on July 6, 2015 and between 4PM and 5PM on July 8, 2015 of the time and place for conducting a lottery to determine ballot position for such candidates. In addition, seven days written notice shall be given to the chairman of each established political party and to each organization of citizens within the election jurisdiction entitled to have pollwatchers present at the last preceding election. Notice will also be posted at the entrance to each office of the State Board of Elections. (10 ILCS 5/10-6.2)

Monday, July 13, 2015

Last day (by 5:00 p.m.) a person may file a notarized Declaration of Intent to be a write-in candidate (Exception: If an objection to the person's nominating papers or petitions for the office is sustained after this date and the decision to sustain the objection has not been reversed by a reviewing court, the person may file a Declaration of Intent not later than 7 days prior to the Special Election). Such Declarations must be filed in the offices of the appropriate election authorities. Declarations received by an election authority after 5:00 p.m. shall not be valid. Write-in votes shall only be counted for persons who have timely filed valid declarations of intent to be write-in candidates. (10 ILCS 5/17-16.1; 10 ILCS 5/18-9.1)

Monday, July 13, 2015

Last day the election authority shall provide public notice, calculated to reach the elderly and handicapped voter, of the registration and voting aids under the Federal Voting Accessibility for the Elderly and Handicapped Act and of the availability of assistance in marking the ballot, and procedures for voting by "Vote by Mail" ballot. (10 ILCS 5/12-1)

Thursday, July 23, 2015

Last day for State Board of Elections to certify to the County Clerk the names of independent candidates and new political party candidates whose nomination papers were filed with the State Board of Elections and to direct the County Clerk to place upon the official ballot for the Special Election the names of such candidates in the same manner and in the same order as shown upon the certification. (10 ILCS 5/10-14)

Monday, July 27, 2015

Last day for the election authority to have in his office a sufficient number of ballots printed and available for mailing to persons in the United States Service or their spouse and dependents, citizens temporarily residing outside the territorial limits of the United States and nonresident civilians. (42 U.S.C. § 1973(ff)-1(a)(8)(A), 10 ILCS 5/7-16, 16-5.01)

Saturday, August 1, 2015

First day for mailing or delivery of "Vote by Mail" Ballots for the Special Primary Election. (10 ILCS 5/19-4)

Monday, August 3, 2015

First day for early voting at the offices of the election authority and at permanent polling place locations designated by the election authority. Early voting shall be conducted at such locations between the hours of 8:30 a.m. to 4:30 p.m. or 9:00 a.m. to 5:00 p.m. on weekdays and 9:00 a.m. to 12:00 p.m. on Saturdays, Sundays and holidays. A permanent early voting location must remain open for a total of at least 8 hours on any holiday during the early voting period and a total of at least 14 hours on the final weekend during the early voting period. (10 ILCS 5/19A-15)

Monday, August 3, 2015

Last day for organizations of citizens which have among its purposes the investigation or prosecution of election fraud and State non-partisan civic organizations to register their names and addresses and the names and addresses of their principal officers in order to be eligible for pollwatcher credentials for the Special Election. File in the office of the election authority. (10 ILCS 5/17-23(5), 5/18-6)

Tuesday, August 11, 2015

Last day for unregistered citizens temporarily residing outside the territorial limits of the United States who are otherwise qualified to vote and who expect to be absent from their county of residence on election day to apply for a ballot to the election authority having jurisdiction over his/her precinct of residence. Application should be made on the Federal Post Card Application or on forms provided by the election authority. If application is received not less than 10 days before the election, the applicant shall be sent a ballot and registration is waived. (10 ILCS 5/20-2.1)

Thursday, August 13, 2015

Last day for registration or transfer of registration in the office of the election authority. (10 ILCS 5/4-6, 4-16, 5-5, 5-23, 6-29, 6-50, 6-53, 6-54)

Friday, August 14, 2015

First day of Grace Period registration or change of address in the office of the election authority or at a location designated for this purpose by the election authority. (10 ILCS 5/4-50, 5-50, 6-100)

Friday, August 14, 2015

First day for Grace Period voting in the office of the election authority or at a location designated for this purpose by the election authority, or by mail if the election authority has no ballots prepared at the authority's office. (10 ILCS 5/4-50, 5-50, 6-100)

Friday, August 21, 2015

Last day to publish notice of Special Election. (10 ILCS 5/12-1)

Thursday, August 27, 2014

Last day for election authority or State Board of Elections to have pollwatcher credentials available for distribution. (10 ILCS 5/17-23, 5/18-6)

Thursday, August 27, 2014

First day a qualified voter admitted to a hospital, nursing home or rehabilitation center not more than 14 days before the Election may make application for personal delivery of an "Vote by Mail" Ballot. (10 ILCS 5/19-13)

Monday, August 31, 2015

Last day any voter who is a member of the United States Service (members of the Armed Forces while on active duty, members of the Merchant Marine, and United States government employees serving outside the territorial limits of the United States) and his/her spouse and dependents of voting age who expects to be absent from his/her county of residence on election day to apply by mail, by facsimile machine or by other methods of electronic transmission for an official ballot to the election authority having jurisdiction over their residence. No registration required to vote. Application should be made on the Federal Post Card Application or on forms provided by the election authority. (10 ILCS 5/20-2, 5/20-2.3, 5/20-3)

Last day for non-resident civilian citizens, otherwise qualified to vote, to make application to the election authority having jurisdiction over his/her precinct of former residence for an "Vote by Mail" ballot. Registration is not required. Application may be made on the Federal Post Card Application or by facsimile or electronic transmission. (10 ILCS 5/20-2.2)

Last day for election authority to publish precinct polling place addresses, if not included in notice of election. (10 ILCS 5/12-4)

Thursday, September 3, 2015

Last day (by 5:00 p.m.) a person may file a notarized Declaration of Intent to be a write-in candidate if an objection to the person's nominating papers or petitions for the office is sustained and the decision to sustain the objection has not been reversed by a reviewing court after the date of certification. Such Declaration must be filed in the office of the appropriate election authority. Declarations received by the election authority after 5:00 p.m. shall not be valid. Write-in votes shall only be counted for persons who have timely filed valid declarations of intent to be write-in candidates. (10 ILCS 5/17-16.1; 10 ILCS 5/18-9.1)

Tuesday, September 7, 2015

Last day for the election authority to receive an application by mail for a "Vote by Mail" Ballot for the Special Election and the last day for the election authority to mail such a ballot. (10 ILCS 5/19-2, 5/19-4)

Tuesday, September 7, 2015

Last day for election authority to publish in 2 or more newspapers published in and having general circulation in the county a true and legible copy of the specimen ballot containing the names of offices and candidates to be voted on, as near as may be, in the form in which they will appear on the official ballot on election day. (10 ILCS 5/24B-18, 524C-18)

Tuesday, September 7, 2015

Last day (by noon) to post the names and addresses of nursing home facilities from which no applications were received and in which no supervised "Vote by Mail" voting will be conducted. (10 ILCS 5/19-12.2)

Tuesday, September 7, 2015

Last day for election authority to conduct a public test of the automatic tabulating equipment (optical scan voting devices, direct recording electronic voting equipment, touch screen voting devices, vote tallying software and equipment). The election authority must give public notice and notify the State Board of Elections of the date, time and location of the test at least 48 hours prior to such test. (10 ILCS 5/24A-9, 5/24B-9, 5/24C-9)

Friday, September 4, 2015

Saturday, September 5, 2015

Sunday, September 6, 2015

Monday, September 7, 2015

Dates that may be scheduled by the election authority for "Vote by Mail" voting on the premises of facilities licensed or certified pursuant to the Nursing Home Care Act for the sole benefit of the residents of such facilities who have made prior application not later than 5 days before the election. Such voting shall be conducted during any continuous period sufficient to allow all applicants to cast their ballots between the hours of 9 a.m. and 7 p.m. on any one of these days. (10 ILCS 5/19-4, 5/19-12.2)

Wednesday, September 9, 2015

Last day any registered voter presently within the confines of the United States may apply in person for a "Vote by Mail" Ballot for the Special Election. (10 ILCS 5/19-2)

Wednesday, September 9, 2015

Last day for early voting at the office of the election authority and permanent locations designated by the election authority. (10 ILCS 5/19A-15, 19A-20)

Wednesday, September 9, 2015

Last day for any temporarily or permanently physically disabled voter to request the election authority that two (2) judges of election of opposite political party affiliation deliver a ballot to him/her at the point where he/she is unable to continue forward motion toward the polling place. (10 ILCS 5/17-13, 5/18-5.1)

**SPECIAL ELECTION
THURSDAY, SEPTEMBER 10, 2015**

Polling Places open from 6:00 a.m. to 7:00 p.m. (10 ILCS 5/17-1, 5/18-2, 5/25-7)

Saturday, September 12, 2015

Registration re-opens in the office of the election authority and for deputy registrars. (10 ILCS 5/4-6, 5/5-5, 5/6-50)

Thursday, September 17, 2015

Last day a person casting a provisional ballot may submit additional information to the election authority to verify or support his/her registration status. Material must be received by the election authority by this date. (10 ILCS 5/18A-15(d))

Thursday, September 24, 2015

Last day for the election authority to complete the validation and counting of provisional ballots. (10 ILCS 5/18A-15(a))

Thursday, September 24, 2015

Last day for the election authority to process and count absent voters' ballots (1) mailed and postmarked by midnight preceding the opening of the polls on election day but received after the close of the polls and by this date, or (2) not postmarked at all, but having a certification date prior to election day on the certification envelope and received after the close of the polls and by this date. (10 ILCS 5/19-8(c))

Thursday, October 1, 2015

Last day for the election authority to conduct final canvass of election returns. (10 ILCS 5/18A-15(a))

Thursday, October 1, 2015

Last day for the election authority to transmit to the State Board of Elections the following information with respect to the election: (1) the number, by precinct, of "Vote by Mail" ballots requested, provided, and counted; (2) the number of rejected "Vote by Mail" ballots; (3) the number of voters seeking review of rejected "Vote by Mail" ballots; and (4) the number of "Vote by Mail" ballots counted following review. (10 ILCS 5/19-20, 5/20-20)

Monday, October 12, 2015

Last day for the State Board of Elections to complete its final canvass of the vote. (10 ILCS 5/22-7, 5/18A-15(a))

STATE BOARD OF ELECTIONS



From the desk of.... **Kyle Thomas**
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

Kevin Turner
Director of Information Technology
Phone: 217-782-1579
Email: ktturner@elections.il.gov

To: Steven Sandvoss, Executive Director

Re: Senate Bill 172 Update

Date: April 9, 2015

SB172 requires the State Board of Elections to interface with a number of state agencies to gather both voter registration information and data to be exchanged with the Election Registration Information Center (ERIC). In March we met with staff from the Department on Aging and the Department of Human Services to discuss the requirements of the legislation. These meetings were very productive with both agencies stating they were eager to get started on the project. We are nearing completion of the document containing the technical specifications required for the interagency data exchange. We plan to forward this information to these parties in mid-April.

We have yet to meet with representatives from the Department of Employment Security (IDES) and the Department of Healthcare and Family Services (HFS). We have learned that IDES does not intend to implement any requirements of SB172. After speaking with several individuals at HFS, we are attempting to schedule an initial meeting with their staff.

In March, we completed merging the Paperless Online Voter Application (POVA) system with the paper based online registration system (OVR). Following testing, the new system was activated upon the reopening of voter registration following the April 7th election. Once the online applicant answers the general questions surrounding voter registration, this new interface directs the user to either POVA or OVR. Simply put, the system will determine if the user can apply to register purely online (those with IL Driver's Licenses or State ID's) or if the individual (those without an IL DL or ID) must print, sign, and mail their application.

Representatives from the Cook County Clerk's office requested a meeting to discuss SB172 and its implementation. Since these individuals were involved with drafting portions of the legislation, these conversations were of great benefit. A major point of discussion was the section of the mandate related to the National Change of Address (NCOA) interface. We expressed that even though we are not required by SB172 to match files with NCOA before October, it is our plan to run a match this spring as we have done in years past. This will allow jurisdictions to take advantage of the summer window of lower election activity to cleanse and update their registration rolls. SB172 carries with it an NCOA implementation date of June 1st. SBE IT will make interim changes to IVRS to provide the jurisdictions easier access to the information. As the legislation requires a major restructuring of IVRS, the new system will contain a permanent solution to the NCOA matches.

STATE BOARD OF ELECTIONS

Meeting Schedule JULY 1, 2015 - JUNE 30, 2016

<u>DATE</u>	<u>PRINCIPAL LOCATION</u>
* Wednesday, July 1	Springfield
** Thursday, July 23 - Video conference	Springfield/Chicago
Tuesday, August 18	Chicago
Monday, September 21	Springfield
*** Friday, October 9	
Tuesday, October 20 (meeting can be moved to October 9 if so desired)	Chicago
Monday, November 16	Springfield
Tuesday, December 22	Chicago
**** Thursday, January 7	Chicago
***** Wednesday, February 17	Chicago
Monday, March 21	Springfield
***** Friday, April 15	Chicago
Monday, May 16	Springfield
Tuesday, June 21	Chicago
* Statutory meeting for election of Chairman and Vice Chairman	
** Court ordered date to certify ballot for September Special Congressional election	
*** Proclamation of results of Special Congressional Election	
**** Statutory date to certify ballot for March primary election	
***** Regular meeting date changed due to holiday	
***** Proclamation of results of the March primary election	

Meetings between the Springfield and Chicago offices will be connected via video conference if the necessary equipment is available. All meetings will begin at 10:30 a.m. Dates, times and location of the meetings are subject to change. Notice of any changes will be posted prior to the meeting or information can be obtained by calling 217/782-4141 or 312/814-6440.

Springfield – 2329 S. MacArthur, Springfield, Illinois
Chicago – 100 W. Randolph, Chicago, Illinois

**STATE BOARD OF ELECTIONS
INTER-OFFICE MEMORANDUM**

From the desk of:

Michael Roate, Director of Administrative Services/CFO

TO: Exec. Director Steve Sandvoss, Members of the Board
SUBJECT: FY15 Budget Reduction – 2.25% Across The Board
DATE: April 7, 2015

In late March, the Governor and the Legislature passed HB317, which reduced the FY2015 GRF operating appropriation of SBE by 2.25% (a total dollar reduction of-\$261,000).

In anticipation of a possible cut of this nature, the SBE had been delaying a number of significant equipment and contractual expenditures in FY15, as well as filling of new and/or existing vacancies. Given these pre-emptive actions, the SBE is able to absorb this cut as an 'across-the-board' reduction across all spending lines. This should not cause significant hardships to the Agency's normal operations spending for the rest of the fiscal year.

This cut will, however, significantly reduce resources available to implement SB172 during the FY15 fiscal year. With the prospect of additional funding for SB172 highly unlikely for the remainder of FY15, this across the board cut will make redirection of existing resources for SB172 activities substantially more difficult.

Please let me know if you have any questions or comments.

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: March 31, 2015	FY15 ORIGINAL APPROPRIATION	FY15 <u>REDUCED</u> APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,662,400	\$4,558,300	\$3,101,557.66	\$0.00	\$1,456,742.34	68.04%
STATE PAID RETIREMENT	\$186,500	\$182,600	\$118,451.98	\$0.00	\$64,148.02	64.87%
RETIREMENT (inc. supplemental)	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$356,500	\$348,500	\$228,206.93	\$0.00	\$120,293.07	65.48%
CONTRACTUAL SERVICE	\$1,356,000	\$1,325,500	\$479,615.59	\$200,184.27	\$645,700.14	36.18%
TRAVEL	\$127,700	\$124,900	\$44,629.93	\$0.00	\$80,270.07	35.73%
PRINTING	\$28,200	\$27,400	\$5,358.10	\$0.00	\$22,041.90	19.56%
COMMODITIES	\$40,400	\$39,500	\$14,138.41	\$0.00	\$25,361.59	35.79%
EQUIPMENT	\$169,400	\$165,200	\$118,193.92	\$0.00	\$47,006.08	71.55%
TELECOMMUNICATIONS	\$144,900	\$141,700	\$74,070.46	\$0.00	\$67,629.54	52.27%
OPERATION OF AUTO EQUIPMENT	\$5,300	\$5,200	\$1,427.42	\$0.00	\$3,772.58	27.45%
ELECTION CODE BOOKS	\$17,500	\$17,100	\$0.00	\$0.00	\$17,100.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$577,200	\$564,200	\$0.00	\$0.00	\$564,200.00	0.00%
INTEREST PAYMENTS	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (OPERATIONS)	\$7,572,000	\$7,500,100	\$4,185,650.40	\$200,184.27	\$3,114,265.33	55.81%
HAVA MAINTENANCE OF EFFORT	\$550,000	\$537,700	\$220,992.78	\$162,561.66	\$154,145.56	41.10%
CO CLERK & RECORDER STIPENDS (FUND 802)	\$799,500	\$799,500	\$0.00	\$0.00	\$799,500.00	0.00%
ELECTION JUDGE REIMBURSEMENT (FUND 802)	\$5,043,000	\$5,043,000	\$2,373,250.00	\$0.00	\$2,669,750.00	47.06%
ELECTION JUDGES/EARLY VOTING	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$3,378,000	\$3,301,200	\$0.00	\$0.00	\$3,301,200.00	0.00%
REDISTRICTING	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
IDIS SYSTEM REPLACEMENT	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (GRANTS)	\$9,770,500.00	\$9,681,400.00	\$2,694,242.78	\$162,561.66	\$6,924,695.56	26.80%
TOTAL APPROPRIATION	\$17,442,500	\$17,181,500	\$6,779,893.18	\$362,745.93	\$10,038,860.89	39.46%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: March 31, 2015	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE					
1205 Freight Express & Drayage	\$3,000.00	\$2,900.00	\$361.68	\$2,538.32	12.47%
1221 Repair/Maint. Furn./Office Equipment					
1232 Rental Motor Vehicles	\$3,600.00	\$3,500.00	\$1,800.00	\$1,700.00	51.43%
1239 Rental, NEC	\$300.00	\$300.00	\$262.50	\$37.50	87.50%
1243 Book Binding Services					
1266 Court Reporting & Filing Services	\$18,000.00	\$17,600.00	\$5,869.75	\$11,730.25	33.35%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
1275 Subscriptions					
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00	\$100.00	\$0.00	\$100.00	0.00%
1277 Association Dues					
1279 Employee Tuition & Fees					
1280 Copying, Photographic & Printing Services	\$200.00	\$200.00	\$0.00	\$200.00	0.00%
1286 Travel, Non-State Employee					
1289 Contractual Services, NEC	\$1,000.00	\$1,000.00	\$226.71	\$773.29	22.67%
TRAVEL					
1291 In-State	\$19,000.00	\$13,400.00	\$2,501.55	\$10,898.45	18.67%
1292 Out-of-State	\$2,000.00	\$1,800.00	\$0.00	\$1,800.00	0.00%
1293 In-State (Vendor)		\$2,200.00	\$2,154.96	\$45.04	97.95%
1295 Mileage		\$3,200.00	\$3,158.34	\$41.66	98.70%
EQUIPMENT					
1510 Office Furniture & Equipment	\$700.00	\$600.00	\$0.00	\$600.00	0.00%
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments					

BOARD GRAND TOTAL	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	\$27,200.00	\$26,600.00	\$8,520.64		\$18,079.36
TRAVEL	\$21,000.00	\$20,600.00	\$7,814.85		\$12,785.15
EQUIPMENT	\$700.00	\$600.00	\$0.00		\$600.00
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00		\$0.00
TOTAL	\$48,900.00	\$47,800.00	\$16,335.49	\$0.00	\$31,464.51

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION MONTH ENDING: March 31, 2015	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$863,100.00	\$843,800.00	\$591,188.16	\$252,611.84	70.06%
1129 State Paid Retirement	\$34,400.00	\$33,700.00	\$21,330.30	\$12,369.70	63.29%
1161 Retirement					
1161-008 Compt. Supplemental Retirement					
1170 Social Security	\$65,700.00	\$64,200.00	\$43,088.66	\$21,111.34	67.12%
CONTRACTUAL SERVICE					
1201 Petty Cash	\$400.00	\$300.00	\$122.61	\$177.39	40.87%
1202 Employee Reimbursement	\$200.00	\$200.00	\$149.00	\$51.00	74.50%
1205 Freight Express & Drayage	\$600.00	\$500.00		\$500.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$14,500.00	\$14,100.00	\$4,581.03	\$9,518.97	32.49%
1223 Repair/Maint. Real Property	\$2,000.00	\$1,900.00	\$506.00	\$1,394.00	26.63%
1229 Repair & Maintenance NEC					
1230 In-House Repair & Maintenance					
1231 Rental, Office Equipment	\$32,800.00	\$30,000.00	\$1,790.59	\$28,209.41	5.97%
1232 Rental, Motor Vehicles	\$4,600.00	\$4,500.00	\$3,600.00	\$900.00	80.00%
1233 Rental, Real Property	\$399,800.00	\$399,800.00	\$284,025.38	\$115,774.62	71.04%
1234 Rental, Machinery & Mechanical Eqmt					
1239 Rental, NEC	\$1,000.00	\$500.00	\$0.00	\$500.00	0.00%
1240 Statistical & Tabulation Services	\$22,500.00	\$21,800.00	\$12,402.18	\$9,397.82	56.89%
1245 Professional & Artistic					
1248 Building & Grounds Maintenance	\$1,000.00	\$900.00	\$0.00	\$900.00	0.00%
1251 Gas					
1252 Electricity					
1253 Water					
1255 Utilities, NEC					
1261 Postage	\$76,700.00	\$72,000.00	\$52,098.00	\$19,902.00	72.36%
1266 Court Reporting					
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00	\$800.00	\$0.00	\$600.00	0.00%
1276 Subscription & Information Services	\$3,900.00	\$2,500.00	\$1,966.00	\$534.00	78.64%
1276 Reg Fees & Conf. Expenses (Employee)	\$400.00	\$300.00		\$300.00	0.00%
1277 Association Dues	\$2,200.00	\$2,000.00	\$1,765.00	\$235.00	88.25%
1279 Tuition - Employee					
1280 Copying, Photographic & Printer Services					
1281 Interviewee Expense - To Vendors					
1285 Taxes, Licenses & Fees	\$100.00	\$100.00	\$0.00	\$100.00	0.00%
1289 Contractual Services, NEC	\$11,300.00	\$9,000.00	\$3,757.72	\$5,242.28	41.75%
TRAVEL					
1291 In-State	\$9,800.00	\$9,800.00	\$3,535.83	\$6,264.17	36.08%
1292 Out-of-State	\$1,500.00	\$1,300.00		\$1,300.00	0.00%
1293 In-State (Vendor)					
1295 Mileage	\$1,300.00	\$1,300.00	\$1,268.60	\$31.40	97.58%
PRINTING	\$9,900.00	\$9,600.00	\$4,042.00	\$5,558.00	42.10%
COMMODITIES					
1304 Office/Library Supplies	\$14,800.00	\$14,400.00	\$5,878.96	\$8,521.04	40.83%
1306 Food for Human Consumption					
1335 Rock Salt					
1391 Household & Cleaning Supplies	\$300.00	\$300.00	\$165.75	\$134.25	55.25%
1394 Office/Library Equip., Not exc. \$100	\$100.00	\$100.00	\$85.76	\$14.24	85.76%
1395 Small Tools Not Exceeding \$100					
1397 Household Cleaning Equip. NEC \$100					
1398 Equipment, NEC	\$500.00	\$500.00	\$181.47	\$318.53	36.29%
1399 Commodities, NEC	\$700.00	\$700.00	\$82.87	\$617.13	11.84%
EQUIPMENT					
1510 Office Furniture & Equipment	\$5,000.00	\$5,000.00	\$1,974.54	\$3,025.46	39.49%
1599 Equipment NEC	\$1,000.00	\$800.00		\$800.00	0.00%
TELECOMMUNICATIONS					
1710 Repair/Maintenance Telecom					
1721 Rental, Telephone Serv. & Equip.	\$52,200.00	\$51,100.00	\$30,921.12	\$20,178.88	60.51%
1722 Rental, Data Comm. Serv. & Equip.	\$81,000.00	\$79,200.00	\$38,674.89	\$40,525.11	48.83%
1728 Videoconferencing	\$7,200.00	\$7,000.00	\$4,474.45	\$2,525.55	63.92%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00	\$2,900.00	\$0.00	\$2,900.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00	\$1,500.00	\$0.00	\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment					
OPERATION OF AUTO EQUIPMENT					
1893 Repair & Maint., Auto. Equipment	\$2,100.00	\$2,100.00	\$79.98	\$2,020.02	3.81%
1894 Parts & Fittings, Auto Equipment	\$200.00	\$200.00	\$18.00	\$182.00	9.00%
1896 Gasoline, Oil & Antifreeze	\$3,000.00	\$2,900.00	\$1,329.44	\$1,570.56	45.84%
1899 Auto. Expenses, NEC					
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments					
ADMINISTRATION GRAND TOTAL	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF BALANCE EXPENDITURE
PERSONAL SERVICE	\$863,100.00	\$843,800.00	\$591,188.16	\$252,611.84	70.06%
STATE PAID RETIREMENT	\$34,400.00	\$33,700.00	\$21,330.30	\$12,369.70	63.29%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$65,700.00	\$64,200.00	\$43,088.66	\$21,111.34	67.12%
CONTRACTUAL SERVICE	\$573,900.00	\$561,000.00	\$386,763.51	\$78,594.37	65.38%
TRAVEL	\$12,600.00	\$12,400.00	\$4,804.43	\$7,595.57	38.75%
PRINTING	\$9,900.00	\$9,600.00	\$4,042.00	\$5,558.00	42.10%
COMMODITIES	\$16,400.00	\$16,000.00	\$6,394.81	\$9,605.19	39.97%
EQUIPMENT	\$6,000.00	\$5,800.00	\$1,974.54	\$3,825.46	34.04%
TELECOMMUNICATIONS	\$144,900.00	\$141,700.00	\$74,070.46	\$67,629.54	52.27%
OPERATION OF AUTO EQUIPMENT	\$5,300.00	\$5,200.00	\$1,427.42	\$3,772.58	27.45%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
TOTAL	\$1,732,200.00	\$1,693,400.00	\$1,115,084.29	\$115,842.12	\$462,873.59 65.85%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

ELECTIONS MONTH ENDING: March 31, 2015	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE	
PERSONAL SERVICE	\$1,808,200.00	\$1,767,700.00	\$1,187,898.13	\$579,801.87	67.20%	
1129 State Paid Retirement	\$72,400.00	\$70,800.00	\$46,562.03	\$24,237.97	65.77%	
1161 Retirement						
1161-008 Compt. Supplemental Retirement						
1170 Social Security	\$138,400.00	\$135,300.00	\$87,506.91	\$47,793.09	64.68%	
CONTRACTUAL SERVICE						
1145 Contractual Payroll						
1202 Contractual reimbursement To Employee	\$500.00	\$500.00	\$437.00	\$63.00	87.40%	
1205 Freight Express & Drayage	\$2,700.00	\$2,600.00	\$0.00	\$2,600.00	0.00%	
1221 Repair/Maint. Furn./Office Equipment	\$200.00	\$200.00	\$0.00	\$200.00	0.00%	
1224 Repair/Maint. Machinery & Mechanical Equip						
1231 Rental, Office Equipment						
1232 Rental, Motor Vehicles						
1237 Rental, Film & Audio/Visual Aids	\$100.00	\$100.00	\$0.00	\$100.00	0.00%	
1239 Rental, NEC	\$200.00	\$200.00	\$100.00	\$100.00	50.00%	
1242 Auditing & Management Services						
1245 Professional & Artistic Services, NEC	\$10,000.00	\$9,800.00	\$0.00	\$9,800.00	0.00%	
1266 Court Reporting & Filing Services						
1273 Advertising						
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00	\$4,000.00	\$0.00	\$4,000.00	0.00%	
1275 Subscription & Information Services	\$600.00	\$600.00	\$0.00	\$600.00	0.00%	
1276 Reg. Fees & Conf. Expenses (Employee)	\$4,200.00	\$4,100.00	\$0.00	\$4,100.00	0.00%	
1277 Association Dues	\$1,400.00	\$1,400.00	\$60.00	\$1,340.00	4.29%	
1279 Employee Tuition & Fees	\$1,300.00	\$1,200.00	\$0.00	\$1,200.00	0.00%	
1280 Copying, Photographic & Printing Services	\$1,500.00	\$1,400.00	\$0.00	\$1,400.00	0.00%	
1284 Software	\$100.00	\$100.00	\$49.95	\$50.05	49.95%	
1285 Operating Taxes, Licenses & Fees						
1286 Travel, Non-state Employees						
1289 Contractual Services, NEC	\$100.00	\$100.00	\$0.00	\$100.00	0.00%	
TRAVEL						
1291 In-State	\$34,600.00	\$32,700.00	\$7,407.46	\$25,292.54	22.65%	
1292 Out-of-State	\$12,300.00	\$11,800.00	\$0.00	\$11,800.00	0.00%	
1293 In-State (Vendor)	\$5,100.00	\$5,100.00	\$5,043.58	\$56.42	98.89%	
1295 Mileage	\$9,900.00	\$10,900.00	\$10,850.59	\$49.41	99.55%	
PRINTING	\$7,000.00	\$6,800.00	\$43.10	\$6,756.90	0.63%	
EQUIPMENT						
1510 Office Furniture & Equipment	\$1,900.00	\$1,800.00	\$259.99	\$1,540.01	14.44%	
LUMP SUMS & OTHER PURPOSES						
1991 Interest Payments						
HAVA - Maintenance of Effort	\$550,000.00	\$537,700.00	\$220,992.78	\$316,707.22	41.10%	
ELECTION CODE BOOKS	\$17,500.00	\$17,100.00	\$0.00	\$17,100.00	0.00%	
Redistricting						
Election Judge Reimbursements (Fund 802)	\$5,043,000.00	\$5,043,000.00	\$2,373,250.00	\$2,669,750.00	47.06%	
Stipends (Fund 802)	\$799,500.00	\$799,500.00	\$0.00	\$799,500.00	0.00%	
Early Voting Election Judges						
State HAVA Match						
Voting System Integrity Center						
Electronic Canvassing Implementation						
Electronic Canvassing Interest Payments						
IDIS Replacement System						
ELECTIONS GRAND TOTAL	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF EXPENDITURE	
PERSONAL SERVICE	\$1,808,200.00	\$1,767,700.00	\$1,187,898.13	\$579,801.87	67.20%	
STATE PAID RETIREMENT	\$72,400.00	\$70,800.00	\$46,562.03	\$24,237.97	65.77%	
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
SOCIAL SECURITY	\$138,400.00	\$135,300.00	\$87,506.91	\$47,793.09	64.68%	
CONTRACTUAL SERVICE	\$26,900.00	\$26,300.00	\$646.95	\$25,653.05	2.46%	
TRAVEL	\$61,900.00	\$60,500.00	\$23,301.63	\$37,198.37	38.52%	
PRINTING	\$7,000.00	\$6,800.00	\$43.10	\$6,756.90	0.63%	
EQUIPMENT	\$1,900.00	\$1,800.00	\$259.99	\$1,540.01	14.44%	
HAVA - Maintenance of Effort	\$550,000.00	\$537,700.00	\$220,992.78	\$162,561.66	\$154,145.56	41.10%
ELECTION CODE BOOKS	\$17,500.00	\$17,100.00	\$0.00	\$17,100.00	0.00%	
Redistricting	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
Election Judge Reimbursements (FUND 802)	\$5,043,000.00	\$5,043,000.00	\$2,373,250.00	\$2,669,750.00	47.06%	
Stipends (FUND 802)	\$799,500.00	\$799,500.00	\$0.00	\$799,500.00	0.00%	
Early Voting Election Judges	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
State HAVA Match	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
Voting System Integrity Center	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
Electronic Canvassing Implementation	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
Electronic Canvassing Interest Payments	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
IDIS Replacement System	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
TOTAL	\$8,526,700.00	\$8,466,500.00	\$3,940,461.52	\$162,561.66	\$4,363,476.82	46.54%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: March 31, 2015	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$344,700.00	\$337,100.00	\$240,643.38	\$96,456.62	71.39%
1129 State Paid Retirement	\$13,800.00	\$13,500.00	\$9,634.63	\$3,865.37	71.37%
1161 Retirement					
1170 Social Security	\$26,400.00	\$25,800.00	\$17,757.79	\$8,042.21	68.83%
CONTRACTUAL SERVICE					
1145 Contractual Payroll					
1202 Reimbursement to Employee	\$1,200.00	\$1,200.00	\$1,146.00	\$54.00	95.50%
1205 Freight Express & Drayage					
1221 Repair/Maint. Furn./Office Equipment					
1244 Legal Fees	\$74,900.00	\$73,300.00	\$29,952.50	\$43,347.50	40.86%
1245 Professional & Artistic Services, NEC					
1266 Court Reporting & Filing Services	\$15,000.00	\$14,700.00	\$6,121.65	\$8,578.35	41.64%
1273 Advertising					
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
1275 Subscription & Information Services	\$1,000.00	\$1,000.00	\$172.22	\$827.78	17.22%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
1277 Association Dues	\$1,500.00	\$1,300.00	\$0.00	\$1,300.00	0.00%
1279 Employee Tuition & Fees					
1280 Copying, Photographic & Printing Services					
1284 Computer Software					
1289 Contractual Services, NEC	\$5,300.00	\$5,100.00	\$4,405.24	\$694.76	86.38%
1991 Interest Payments	\$100.00	\$100.00	\$98.07	\$1.93	98.07%
TRAVEL					
1291 In-State	\$6,400.00	\$6,100.00	\$2,421.96	\$3,678.04	39.70%
1292 Out-of-State	\$1,500.00	\$1,400.00	\$0.00	\$1,400.00	0.00%
1293 In-State (Vendor)	\$500.00	\$500.00	\$453.32	\$46.68	90.66%
1295 Mileage	\$1,600.00	\$1,800.00	\$1,763.52	\$36.48	97.97%
EQUIPMENT					
1510 Office Furniture & Equipment	\$500.00	\$400.00	\$0.00	\$400.00	0.00%
LUMP SUMS & OTHER PURPOSES					

GENERAL COUNSEL GRAND TOTAL	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$344,700.00	\$337,100.00	\$240,643.38		\$96,456.62	71.39%
STATE PAID RETIREMENT	\$13,800.00	\$13,500.00	\$9,634.63		\$3,865.37	71.37%
RETIREMENT	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$26,400.00	\$25,800.00	\$17,757.79		\$8,042.21	68.83%
CONTRACTUAL SERVICE	\$101,000.00	\$98,700.00	\$41,895.68	35,047.50	\$21,756.82	42.45%
TRAVEL	\$10,000.00	\$9,800.00	\$4,638.80		\$5,161.20	47.33%
EQUIPMENT	\$500.00	\$400.00	\$0.00		\$400.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$496,400.00	\$485,300.00	\$314,570.28	\$35,047.50	\$135,682.22	64.82%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE MONTH ENDING: March 31, 2015	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$842,700.00	\$823,900.00	\$522,478.94	\$301,421.06	63.42%
1129 State Paid Retirement	\$33,700.00	\$33,100.00	\$20,908.21	\$12,191.79	63.17%
1161 Retirement					
1161-0008 Compt. Supplemental Retirement					
1170 Social Security	\$64,500.00	\$63,100.00	\$38,248.85	\$24,851.15	60.62%
CONTRACTUAL SERVICE					
1202 Employee Reimbursement	\$100.00	\$100.00	\$47.90	\$52.10	47.90%
1205 Freight Express & Drayage	\$100.00	\$100.00	\$0.00	\$100.00	0.00%
1221 Repair & Maint. Furn & Office Equipment					
1225 Repair & Maint, EDP					
1229 Repair & Maint, NEC					
1232 Rental, Motor Vehicles					
1239 Rental, NEC					
1245 Professional & Artistic Services, NEC					
1248 Building & Ground Maintenance					
1266 Court Reporting & Filing Services	\$500.00	\$500.00	\$422.50	\$77.50	84.50%
1274 Reg Fees & Conf. Expenses (Vendor)					
1275 Subscription & Information Services					
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,100.00	\$1,100.00	\$1,100.00	\$0.00	100.00%
1277 Association Dues					
1279 Employee Tuition and Fees	\$300.00	\$200.00	\$0.00	\$200.00	0.00%
1285 Operating Taxes, Licenses & Fees					
1286 Travel, Non-State Employee					
1289 Contractual Services, NEC	\$200.00	\$200.00	\$120.00	\$80.00	60.00%
TRAVEL					
1291 In-State	\$7,300.00	\$6,700.00	\$7.20	\$6,692.80	0.11%
1292 Out-of-State	\$3,800.00	\$3,600.00	\$1,527.59	\$2,072.41	42.43%
1293 In-State (Vendor)		\$500.00	\$478.88	\$21.12	95.78%
1295 Mileage	\$200.00	\$200.00	\$163.57	\$36.43	81.79%
PRINTING	\$10,600.00	\$10,400.00	\$1,273.00	\$9,127.00	12.24%
EQUIPMENT					
1510 Office Furniture & Equipment	\$500.00	\$400.00	\$0.00	\$400.00	0.00%
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments					

CAMPAIGN DISCLOSURE GRAND TOTAL	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$842,700.00	\$823,900.00	\$522,478.94		\$301,421.06	63.42%
STATE PAID RETIREMENT	\$33,700.00	\$33,100.00	\$20,908.21		\$12,191.79	63.17%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$64,500.00	\$63,100.00	\$38,248.85		\$24,851.15	60.62%
CONTRACTUAL SERVICE	\$2,300.00	\$2,200.00	\$1,690.40		\$509.60	76.84%
TRAVEL	\$11,300.00	\$11,000.00	\$2,177.24		\$8,822.76	19.79%
PRINTING	\$10,600.00	\$10,400.00	\$1,273.00		\$9,127.00	12.24%
EQUIPMENT	\$500.00	\$400.00	\$0.00		\$400.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$965,600.00	\$944,100.00	\$586,776.64	\$0.00	\$357,323.36	62.15%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY MONTH ENDING: March 31, 2015	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$803,700.00	\$785,800.00	\$559,349.05	\$226,450.95	71.18%
1129 State Paid Retirement	\$32,200.00	\$31,500.00	\$20,016.81	\$11,483.19	63.55%
1161 Retirement					
1161-0008 Compt. Supplemental Retirement					
1170 Social Security	\$61,500.00	\$60,100.00	\$41,604.72	\$18,495.28	69.23%
CONTRACTUAL SERVICE					
1145 Contractual Payroll					
1205 Freight Express & Drayage					
1221 Repair/Maint. Furn./Office Equipment	\$12,000.00	\$11,700.00	\$0.00	\$11,700.00	0.00%
1223 Repair/Maint. Real Property					
1225 Repair/Maint. EDP Equipment	\$120,400.00	\$117,700.00	\$28,717.63	\$88,982.37	24.40%
1230 In-House Repair of Equipment					
1234 Rental, Machinery and Mech. Equip					
1239 Rental, NEC	\$1,800.00	\$1,700.00	\$1,414.65	\$285.35	83.21%
1242 Auditing & Management Services					
1244 Legal Fees					
1245 Professional & Artistic Services, NEC	\$323,400.00	\$316,200.00	\$0.00	\$316,200.00	0.00%
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$1,000.00	\$708.00	\$292.00	70.80%
1272 Travel & Expense Reimbursement (Vendor)					
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00	\$5,800.00	\$0.00	\$5,800.00	0.00%
1275 Subscription & Information Services	\$600.00	\$600.00	\$0.00	\$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)					
1277 Association Dues					
1279 Employee Tuition and Fees	\$8,000.00	\$7,800.00	\$0.00	\$7,800.00	0.00%
1284 Computer Software	\$150,500.00	\$147,200.00	\$29,258.13	\$117,941.87	19.88%
1285 Operating Taxes, Licenses & Fees					
1286 Travel, Non-State Employee					
1289 Contractual Services, NEC	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
TRAVEL					
1291 In-State	\$5,200.00	\$5,100.00	\$1,683.54	\$3,416.46	33.01%
1292 Out-of-State	\$5,400.00	\$5,200.00	\$0.00	\$5,200.00	0.00%
1293 In-State (Vendor)					
1295 Mileage	\$300.00	\$300.00	\$209.44	\$90.56	69.81%
PRINTING	\$700.00	\$600.00	\$0.00	\$600.00	0.00%
COMMODITIES					
1304 Office/Library Supplies	\$22,800.00	\$21,900.00	\$6,299.62	\$15,600.38	28.77%
1332 Industrial & Shop Materials					
1394 Office & Library Equipment Under \$100	\$800.00	\$900.00	\$865.72	\$34.28	96.19%
1395 Small Tools < \$100					
1398 Equipment, NEC	\$400.00	\$400.00	\$343.05	\$56.95	85.76%
1399 Commodities, NEC		\$300.00	\$235.21	\$64.79	78.40%
EQUIPMENT					
1510 Office Furniture & Equipment	\$400.00	\$400.00	\$337.99	\$62.01	84.50%
1515 EDP Equipment	\$159,400.00	\$155,800.00	\$115,821.40	\$40,178.60	74.21%
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments					

INFORMATION TECHNOLOGY GRAND TOTAL	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$803,700.00	\$785,800.00	\$559,349.05		\$226,450.95	71.18%
STATE PAID RETIREMENT	\$32,200.00	\$31,500.00	\$20,016.81		\$11,483.19	63.55%
RETIREMENT	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$61,500.00	\$60,100.00	\$41,604.72		\$18,495.28	69.23%
CONTRACTUAL SERVICE	\$624,700.00	\$610,700.00	\$60,098.41	\$49,494.65	\$501,106.94	9.84%
TRAVEL	\$10,900.00	\$10,600.00	\$1,892.98		\$8,707.02	17.86%
PRINTING	\$700.00	\$600.00	\$0.00		\$600.00	0.00%
COMMODITIES	\$24,000.00	\$23,500.00	\$7,743.60		\$15,756.40	32.95%
EQUIPMENT	\$159,800.00	\$156,200.00	\$115,959.39		\$40,240.61	74.24%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$1,717,500.00	\$1,679,000.00	\$806,664.96	\$49,494.65	\$822,840.39	48.04%

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
General Revenue Fund

IVRS LUMP SUM
MONTH ENDING: March 31, 2015

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE	\$311,922.77
1129 State Paid Retirement	\$12,482.43
1161 Retirement	
1170 Social Security	\$22,747.25
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	
1291 In-State	\$250.00
1292 Out-of-State	
1293 In-State (Vendor)	
1295 Mileage	\$790.92
PRINTING	
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than \$100	
EQUIPMENT	
1510 Office Furniture & Equipment	
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	

SUPP. APPROP. - IVRS

	Original Approp.	Reduced Approp.
LUMP SUM - OPERATIONS APPROP FOR YEAR	\$577,200.00	\$564,200.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES		\$348,193.37
REMAINING LUMP SUM APPROPRIATION		\$216,006.63
LUMP SUM - GRANTS APPROP FOR YEAR	\$3,378,000.00	\$3,301,200.00
TOTAL LUMP SUM - GRANT EXPENDITURES		\$0.00
REMAINING LUMP SUM APPROPRIATION		\$3,301,200.00
LUMP SUM TOTAL APPROPRIATION	\$3,955,200.00	\$3,865,400.00
TOTAL LUMP SUM EXPENDITURES		\$348,193.37
REMAINING LUMP SUM APPROPRIATION		\$3,517,206.63

STATE BOARD OF ELECTIONS
FY15 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 Discretionary Funds	SECTION 102 Entitlement Payments	SECTION 261 EAID Disbursements	SECTION 251 Requirements	SECTION 251 Additional Requirements	TOTAL Fund Activity
MONTH ENDING: March 31, 2015						
CASH BALANCE FROM FY14	\$553,557.44	\$0.00	\$0.00	\$3,555,326.11	\$3,559,543.77	\$7,668,427.32
Program Revenues from Federal Govt			\$374,073.60			\$374,073.60
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$1,521.33			\$7,744.31	\$7,260.36	\$16,526.00
Interest Penalties Received	\$29.20					\$29.20
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$1,550.53	\$0.00	\$374,073.60	\$7,744.31	\$7,260.36	\$390,628.80
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STATE-PAID RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$3,811.00	\$0.00	\$0.00	\$8,998.83	\$0.00	\$12,809.83
TRAVEL	\$9,839.18	\$0.00	\$0.00	\$0.00	\$0.00	\$9,839.18
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$448.31	\$0.00	\$0.00	\$0.00	\$0.00	\$448.31
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$14,098.49	\$0.00	\$0.00	\$8,998.83	\$0.00	\$23,097.32
AWARDS & GRANTS	\$0.00	\$0.00	\$374,690.60	\$2,500,000.00	\$2,261,236.50	\$5,135,927.10
TOTAL CASH EXPENDITURES	\$14,098.49	\$0.00	\$374,690.60	\$2,508,998.83	\$2,261,236.50	\$5,159,024.42
CASH BALANCE AT END OF MONTH	\$541,009.48	\$0.00	(\$617.00)	\$1,054,071.59	\$1,305,567.63	\$2,900,031.70

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS
MONTH ENDING: March 31, 2015

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EOP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1286 Travel, Reimb. to Non-State Employees
1289 Contractual Services, NEC

\$520.00

\$520.00

\$3,291.00

\$3,291.00

TRAVEL

1291 In-State & 1293 In-State (to vendor)
1292 Out-of-State
1295 Mileage

\$1,599.04

\$1,599.04

\$6,536.39

\$6,536.39

\$1,703.75

\$1,703.75

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

\$448.31

\$448.31

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

\$0.00

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments (PPA)
4470 Grants to Local Governments (EAI)
4470 Grants to Local Governments (AAG)
4470 Grants to Local Governments
4479 Payments to Other State Agencies

SECTION 101 GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE
STATE PAID RETIREMENT
RETIREMENT
SOCIAL SECURITY
GROUP INSURANCE
CONTRACTUAL SERVICE
TRAVEL
PRINTING
COMMODITIES
EQUIPMENT
TELECOMMUNICATIONS
OPERATION OF AUTO EQUIPMENT
INDIRECT COST REFUNDS
GRANTS

\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$3,811.00
\$9,839.18
\$0.00
\$0.00
\$0.00
\$448.31
\$0.00
\$0.00
\$0.00

\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$3,811.00
\$9,839.18
\$0.00
\$0.00
\$0.00
\$448.31
\$0.00
\$0.00
\$0.00

TOTAL

\$14,098.49

\$14,098.49

\$0.00

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: March 31, 2015

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments, NEC

SECTION 102 GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
GRANTS	\$0.00	\$0.00

TOTAL	\$0.00	\$0.00	\$0.00
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STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: March 31, 2015

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not exc. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Governmental Units
4470 Grants to Local Governments (VAID II)
4470 Grants to Local Governments (VAID III)
4470 Grants to Local Governments (VAID IV)
4470 Grants to Local Governments (VAID V)

\$374,690.60

\$374,690.60

SECTION 261 GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE
STATE PAID RETIREMENT
RETIREMENT
SOCIAL SECURITY
CONTRACTUAL SERVICE
TRAVEL
PRINTING
COMMODITIES
EQUIPMENT
TELECOMMUNICATIONS
OPERATION OF AUTO EQUIPMENT
INDIRECT COST REFUNDS
GRANTS

\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$374,690.60

\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$0.00
\$374,690.60

TOTAL

\$374,690.60

\$374,690.60

\$0.00

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS
MONTH ENDING: March 31, 2015

FY15
APPROPRIATION **YEAR TO DATE**
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
 1161 Retirement
 1170 Social Security
 1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage			
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.	\$0.00		
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services			
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$5,801.40		\$5,801.40
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software	\$3,197.43		\$3,197.43
1289 Contractual Services, NEC			

TRAVEL

1291 In-State
 1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
 1308 Educational & Instructional Materials
 1394 Office/Library Equip., Not Exceed, \$100
 1398 Equipment, NEC
 1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
 1515 EDP Equipment
 1561 Training Equipment
 6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
 1722 Rental, Data Comm. Serv. & Equip.
 1728 Video Conferencing
 1729 Rental, Other Comm. Serv. & Equip.
 1730 Parts & Supplies for Telephone
 1740 Answering & Pag. Comm. Serv. Equip.
 1750 Telephone, Data, Radio & Other Equip.
 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
 1894 Parts & Fittings, Automotive Equipment
 1896 Gasoline, Oil & Antifreeze
 1898 Automotive Services, NEC
 1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)			
4453 Reimbursement to Govt Units (Phase III)	\$2,500,000.00		\$2,500,000.00
4453 Reimbursement to Govt Units (ALA)			
4453 Reimbursement to Govt Units (EDG)			
4470 Grants to Local Governments (VRS)			
4479 Payments to Other State Agencies			

SECTION 251 (OLD REQ) GRAND TOTAL

FY15 **YEAR TO DATE** **OBLIGATED**
APPROPRIATION **EXPENDITURE** **MONEY**

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$8,998.83	\$8,998.83	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$2,500,000.00	\$2,500,000.00	
TOTAL	\$2,608,998.83	\$2,508,998.83	\$0.00

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: March 31, 2015

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (Phase III)
4479 Payments to Other State Agencies

\$2,261,236.50

\$2,261,236.50

SECTION 251 (NEW REQ) GRAND TOTAL

FY15
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE

\$0.00

\$0.00

STATE PAID RETIREMENT

\$0.00

\$0.00

RETIREMENT

\$0.00

\$0.00

SOCIAL SECURITY

\$0.00

\$0.00

GROUP INSURANCE

\$0.00

\$0.00

CONTRACTUAL SERVICE

\$0.00

\$0.00

TRAVEL

\$0.00

\$0.00

PRINTING

\$0.00

\$0.00

COMMODITIES

\$0.00

\$0.00

EQUIPMENT

\$0.00

\$0.00

TELECOMMUNICATIONS

\$0.00

\$0.00

OPERATION OF AUTO EQUIPMENT

\$0.00

\$0.00

INDIRECT COST REFUNDS

\$0.00

\$0.00

GRANTS

\$2,261,236.50

\$2,261,236.50

TOTAL

\$2,261,236.50

\$2,261,236.50

\$0.00

Start Date	End Date	Activity	Division
3 /30/2015		Notify state sentral committee chairmen of the time and place of SBE's random selection procedure for the election jurisdiction's 5% re-tabulation of early voting equipment. 10 ILCS 5/24A-15, 5/24B-15, 5/24C-15	VRS
3 /30/2015		Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdictions' 5% re-tabulation of precincts. 10 ILCS 5/24B-15 and 5/24C-15	VRS
4 /1 /2015		Prepare dates for the Appointment of Election Judges 2016/2018 document. Send to all election authorities by 5/21/2015. 10ILCS 5/13-1(1.1,2,3,5 & 7)	ET&RD
4 /1 /2015		First day that any political committee shall file its 2015 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
4 /2 /2015		Last day for election authority to notify public of time, date, and place of public test for General Consolidated. 10 ILCS 5/24B-9	VRS
4 /2 /2015		Send verification letter to election authorities to confirm the precinct data they originally submitted for their 5% retabulation. 10 ILCS 5/24B-15, 24C-15	VRS
4 /2 /2015		Last day to conduct SBE tests of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9	VRS
4 /3 /2015		Last day for election authority to notify public of time, date, and place of re-tabulation for General Consolidated. 10 ILCS 5/24B-15	VRS
4 /4 /2015		Last day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
4 /4 /2015		Last day of grace period registration change of address and voting. 10 ILCS 5/4-50, 5-50, 6-100	EL INFO
4 /6 /2015		Last day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the consolidated election, must be reported within two business days following its receipt. 10 ILCS 5/9-10(c).	CAMP DISC

Start Date	End Date	Activity	Division
4 /6 /2015		Last day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).	CAMP DISC
4 /6 /2015		Begin preparation of the 2016 Election and Campaign Finance Calendar. 10 ILCS 5/1A-8 (1-13)	EL INFO
4 /7 /2015		Last day for election authorities to send a sealed copy of each tested program for the upcoming election to the State Board of Elections. The SBE secures the programs until the next Consolidated Election. 10 ILCS 5/24B-9, 24C-9	VRS
4 /7 /2015		CONSOLIDATED ELECTION	EL INFO
4 /8 /2015		Destroy the sealed program or programs from the previous election of the same type. 10 ILCS 5/24B-9	VRS
4 /8 /2015		Begin updating ELECTION JUDGES' TRAINING PROGRAM AND JUDGES' EXAMS. (Complete by 8/14/2015) 10 ILCS 5/1A-8 (1, 2, 6, 11)	ET&RD
4 /8 /2015		Begin preparing the ELECTION JUDGES MANUALS OF INSTRUCTION for elections in 2016. (Complete by 8/14/2015) 10 ILCS 5/1A-8 (1, 2, 3, 4, 11)	ET&RD
4 /8 /2015		Complete analysis of Referenda Profile for the Consolidated Primary Election. 10 ILCS 5/1A-8 (1, 2, 12)	VRS
4 /8 /2015		Provide the election authorities in those jurisdictions where in-precinct counting equipment is utilized a list of the precincts selected on a random basis by the State Board of Elections. 10 ILCS 5/24B-15, 24C-15 (Rules and Regulations)	VRS
4 /14/2015		Begin review of computer operator's logs for the performance of automatic tabulating equipment used in the Consolidated Election. (Rules and Regulations)	VRS
4 /15/2015		Last day that a political committee shall file its 2015 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC

Start Date	End Date	Activity	Division
4 /17/2015		(date subject to change) 3rd Reading Deadline Final day on which SENATE bills may be called for final action on 3rd reading in the SENATE. (Senate Rule) Final day on which HOUSE bills may be called for a final vote on 3rd reading in the HOUSE. (House Rule)	LEG
4 /21/2015		Last day for local election authorities to count absentee, military & overseas ballots which were postmarked by midnight April 7, 2015. 10 ILCS 5/19-8[c] & 20-8(c)	LEG
4 /21/2015		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
4 /29/2015		Send notification reminder to election officials who failed to submit their computer operator's log or analogous information. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations).	VRS
5 /1 /2015		Complete Appointment of Election Judges dates for 2014/2016. Project started 5/4/2015 10ILCS 13-1 (1/1 2,3,4,5,7)	ET&RD
5 /1 /2015		Date upon which the State Board of Election shall notify political committees that have failed to file the 2015 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
5 /1 /2015		Notify election authorities of requirement to submit computerized voter registration information for the May 15, 2015 submission in a format prescribed by the SBE	VRS
5 /1 /2015		Begin preparation of packet materials for 2015 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed May 1, 2015. 10 ILCS 5/9-15.	CAMP DISC
5 /4 /2015		The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the Consolidated General 2015 submission	VRS

Start Date	End Date	Activity	Division
5 /8 /2015		(date subject to change) Final day for which HOUSE committees may take action on SENATE bills. (House Rule) Final day for which SENATE committees may take action on HOUSE bills. (Senate Rule)	LEG
5 /8 /2015		Canvass results of Fox Waterway Agency trustees election.	EL INFO
5 /15/2015		Send draft of the 2016 CANDIDATE'S GUIDE to legal for review. Project started 1/2/2015 (Complete by 6/29/2015.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
5 /15/2015		First day for election authority to submit computerized voter registration file for the May 15, 2015 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
5 /18/2015		BOARD MEETING 10 ILCS 5/1A7	EXEC DIR
5 /19/2015		Complete analysis of Referenda Profile for the Consolidated Election. 10 ILCS 5/1A-8 (1, 2, 12)	VRS
5 /20/2015		Send to each election authority a current computerized voter registration error report, from the Consolidated General 2015 submission	VRS
5 /20/2015		Send notice to election authorities who failed to submit a computerized voter registration file, for the Consolidated General 2015 submission. Rules and Regulations	VRS
5 /22/2015		(date subject to change) Final day on which all HOUSE bills may be called for a final SENATE vote on 3rd reading. (Senate Rule) Final day on which all SENATE bills may be called for a final HOUSE vote on 3rd reading. (House Rule)	LEG
5 /25/2015		Last day for election authorities to submit request for extension to file computerized voter registration information for the May 15, 2015 submission. Rules and Regulations	VRS
5 /25/2015		Last day for election authority to submit computerized voter registration file for the May 15, 2013 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
5 /31/2015		General Assembly Adjournment (House/Senate Rule)	LEG

6. **Follow up.**
7. **Comments from the general public.**
8. **Next Board Meeting scheduled for Monday, May 18, 2015 in Springfield.**
9. **Executive session.**